

# TECHNICAL PROPOSAL

## VOLUME 1

■ COPY 1 OF 5



REQUEST FOR PROPOSAL  
Response to the Request for Proposal to

## Sherman Minton Corridor Project through a Public-Private Agreement

Submitted to:  
INDIANA FINANCE AUTHORITY

November 13, 2020

## EXHIBIT E

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Preliminary DBE Performance Plan	No forms are provided	<u>Volume 1</u> <u>Preliminary DBE</u> <u>Performance Plan Tab</u>
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Responsible Proposer Recertification (to be delivered after the Proposal Due Date)	<u>Form C-2</u>	<u>Will be provided after</u> <u>proposal due date as</u> <u>requested</u>
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Technical Proposal – Volumes 1 and 2		
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Preliminary Quality Management Plan	No forms are provided	<u>Volume 2</u> <u>Page 19</u>
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Preliminary Design-Build Plan	No forms are provided	<u>Volume 2</u> <u>Pages 29-50</u>
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Technical Proposal – Volumes 1 and 2		
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Preliminary Project Baseline Schedule	No forms are provided	<u>Volume 2 Appendix Preliminary Project Baseline Schedule Tab</u>
<b>G. NTP + 180 Schedule</b>		
NTP + 180 Schedule (Narrative plus Schedule)	No forms are provided	<u>Separate Envelope as Requested</u>



# Executive Summary





## 3.1 Executive Summary

Kokosing Construction Company, Inc. (Kokosing) is pleased for the opportunity to submit our Technical Proposal for the design and construction of the Sherman Minton Corridor Project. We have assembled a team of professionals who have generated a complete and well-thought-out design and construction plan to deliver this project safely, efficiently, and cost effectively.

### a) Explanation of Organization and Contents of Proposal

The contents of this proposal are presented in an order consistent with the ITP Exhibit E “checklist”. This checklist has been provided at the beginning of each Proposal volume and references the page number on which each item can be found for ease of navigation.

Volume 1 of the Technical Proposal contains executed forms from our design-build team members as appropriate, along with our teams approved ATCs, letters of commitment from our insurance agencies, a Preliminary DBE Performance Plan, and an index for confidential contents. Additional materials in Volume 1 consist of authorization and organizational documents and DB teaming agreements and contracts.

Volume 2 of the Technical Proposal begins with a narrative description of our teams Preliminary Performance Plan and then transitions into the Preliminary Design-Build Plan. Resumes for Key Personnel, which were approved by the IFA as part of the Pre-Proposal Submittal, are included as an appendix in Volume 2. Following the resumes are the teams technical drawings and roll plots for preliminary design and then the Preliminary Project Baseline Schedule.

Included as part of the Technical Proposal, but provided separately, is our NTP + 180 Schedule which

is consistent with the Preliminary Project Baseline Schedule provided in the Proposal.

### b) Summary of Changes to Information Submitted in Proposer’s SOQ

The Kokosing DBT would like to clarify that during the SOQ process it was recognized by the IFA that Kokosing Inc. was the Financially Responsible Party for Kokosing Construction Company, Inc. The Kokosing DBT agreed with this interpretation and submitted additional information at the time of SOQ submission to clarify this point. With this Technical Proposal submission, we are reaffirming that this relationship remains unchanged and that Kokosing Inc. is the Financially Responsible Party for Kokosing Construction Company, Inc. and therefore a Major Participant as defined in the PPA, and Kokosing Construction Company, Inc. is the Proposer for the project.

A change from SOQ submission for the Kokosing DBT was the addition of Metric Environmental, LLC. Metric Environmental qualifies as a Major Participant on the project as one of their employees, Samantha Wickizer, will fulfill a Key Personnel position. Metric’s expertise in the field of environmental compliance, cultural resources, and permitting brings great value to our team and we are excited to bring them on board.

### c) Summary of Proposer’s Organization

A graphical representation of our design-build team is shown on the last page of this Executive Summary. It starts with **Kokosing Construction** as the Proposer and prime contractor for the project with the financial backing and guarantee of **Kokosing, Inc.** We will be supported by a design team and a subcontracting effort. **North Star Painting** will be the team’s structural

painting subcontractor as identified previously in the SOQ. Providing additional construction services will be **Metric Environmental** and **DLZ** to support the construction team with environmental compliance and quality control management respectively.

**Jacobs** will lead the engineering design effort, supported by subconsultants whose qualifications match the unique challenges of the Sherman Minton Corridor Project. Design subconsultants, **DLZ, Metric Environmental, and Modjeski & Masters** were strategically selected for their expertise and specialized knowledge of INDOT and KYTC procedures, structural rehabilitation specialties, local subsurface conditions, and utility coordination.

**d) A summary of the proposed management, decision making, and day-to-day operation structure of Proposer, and a statement that each Major Participant who is not an Equity Member has committed to provide the specified people**

As the Project Manager, **Vince Martini** will have ultimate responsibility for the overall project. He will oversee all aspects of the job including management of the design and construction. Vince is one of Kokosing's top project managers, currently finishing the construction of a \$1 billion expansion at the Cincinnati/Northern Kentucky International Airport, where he leads the site development team.

He will actively manage the project, working closely with Design Manager **Daniel Morris** and Construction Manager **Brad Young** while partnering with the IFA, INDOT, KYTC, and other stakeholders to confirm project success. He is a hands-on manager, actively leading and participating in management and task force meetings and coordinating with Owner representatives and third parties.

Design Manager **Daniel Morris** leads the design team and is responsible for the overall management of design production and delivery, including staffing, implementing design criteria and procedures, and

managing the design schedule and budget. Daniel's leadership of multi-discipline design teams along with his ability to manage any kind of project makes him best suited to successfully lead the design team to deliver this project to IFA.

A key piece Daniel's design team will be Structural Design Lead Engineer, **John Finke**. John will be responsible for managing the structures taskforce team of Jacobs engineer and subconsultants and will be overall responsible for the structures design.

Construction Manager, **Brad Young**, will manage and oversee all project construction and have the authority to leverage Kokosing's personnel and equipment resources, ensuring the project is built safely to plans and specifications and on schedule. He will be responsible for the day-to-day activities on site including multiple trade superintendents reporting to Brad, implementation of Safety Manager **Ashley Hagan's** site-specific safety plan, and coordination with subcontractors on-site including Major Participant **North Star Painting**.

As the Design-Build Coordinator, **Mark Maday** will be responsible for providing overall coordination between design work and construction work. He will provide oversight and supervision over the design team's technical work and resolve issues pertaining to the review of plans and designer submittals to make sure we meet project and constructability standards.

Kokosing Construction Company has committed to provide the following Key Personnel:

- Project Manager – Vince Martini
- Construction Manager – Brad Young
- MOT Design-Build Coordinator – Shane Santos
- Safety Manager – Ashley Hagan
- Project Scheduler – Gary Obert, PE

Jacobs Engineering has committed to provide the following Key Personnel:

- Design Manager – Dan Morris, PE
- Structural Design Lead Engineer – John Finke, D.Engr., PE, SE, F.SEI
- Design-Build Coordinator – Mark Maday, PE

- Design Quality Manager – Debra Herrmann, AICP

DLZ has committed to provide the following Key Personnel:

- Construction Quality Manager - Michael Leavitt, PE
- Public Information Coordinator - Brandon Lowe, PE

North Star Painting has committed to provide the following Key Personnel:

- Structural Steel Painting Manager - Ioannis Kalouris

Metric Environmental has committed to provide the following Key Personnel:

- Environmental Compliance Manager – Samantha Wickizer

### **e) A summary of the Preliminary Project Management Plan**

Our Project Management Plan (PMP) is a combined, multidiscipline effort addressing both design and construction. Vince Martini works with task force leaders and coordinates the effort to create, oversee, and manage any revisions to the PMP. A cohesive document, the PMP will combine the following individual plans to create a project-specific document that sets the project up for success throughout its duration:

- Project Administration Plan
- Design Quality Management Plan
- Construction Quality Management Plan
- Environmental Management Plan
- Safety Plan
- Public Involvement Plan

The PMP will contain commitments and requirements that the project sponsors will be able to verify through their audit and monitoring processes.

### **f) A summary of Proposer's approach to addressing environmental sensitivity, safety, traffic management, and construction staging**

### **Environmental Sensitivity**

Prior to construction, an initial pre-disturbance meeting shall be conducted with the Stormwater Quality Manager and appropriate entities from the Kokosing DBT, INDOT, KYTC, and IFA to discuss initial implementation of the Stormwater Pollution Prevention Plan, waterway permit conditions and authorized impacts, delineation of environmentally sensitive areas, and all relevant environmental commitments and special provisions.

To properly track and verify that environmental commitments are implemented, we will construct a risk register that identifies and lists the potential risks associated with each environmental commitment and other environmental project risk factors that could result in a non-compliance or affect project schedule and/or cost. The risk register is forward looking and will include presumptive engineering and/or work controls to mitigate, eliminate, or reduce associated risks including avoidance.

### **Safety**

At Kokosing, our number one core value is Safety First and, as such, it is fundamental to the way we manage our projects. As noted above, Ashley Hagan will be leading our safety efforts. Our approach starts with a well-defined safety kick-off meeting before construction work begins. We communicate these safety expectations to all parties involved in the project. Ashley is committed to connecting with each individual on the project to drive zero at-risk behaviors through both coaching and observation.

Kokosing continues to monitor the spread of the coronavirus. We are focused on keeping people healthy and making sure we can continue providing services to our project owners through prevention protocols and well-defined processes for our projects to utilize.

### **Traffic Management**

We implement Temporary Traffic Control plans that are easily understood by the traveling public which increases safety in the work zone for both the public and workers on the project. The foundation of our safety philosophy is that zero recordable incidents can

be accomplished with proper planning, resources, and follow-through that conveys traffic safely through the high-volume, high-speed freight corridor. A summary of traffic management throughout construction consists of providing:

- Two EB lanes and two WB lanes of traffic on I-64
- Maintain usage of adjacent project interstate ramps
- Access to all businesses and residences
- A detailed Public Improvement Plan that is coordinated with the IFA, local agencies and emergency services
- An effective and continuously monitored Traffic Incident Management and Response plan
- Regularly scheduled MOT Task Force Group meetings to evaluate operation of the work zone

### **Construction Sequencing**

Our sequencing consists of a four-phase approach using contraflow traffic and each phase will build one half of either the upper or lower Sherman Minton and adjacent bridge decks. Phase 1 will be construction of half of the I-64 EB bridge deck. One lane of EB traffic will be maintained on the EB deck while the other lane is placed in contra-flow on the I-64 WB bridge deck with two lanes of I-64 WB traffic. Phase 2 will move the single I-64 EB lane onto the new bridge deck and complete construction of the other half of the EB deck.

Phase 3 will construct half of the I-64 WB bridge deck. One lane of WB traffic will be maintained on the WB deck while the other lane is placed in contra-flow on the I-64 EB bridge deck with two lanes of I-64 EB traffic. Phase 4 will move the single I-64 WB lane onto the new bridge deck and complete construction of the other half of the WB deck. Cable hangers will be replaced during Phases 3 and 4.

### **g) A summary of Proposer's approach to satisfying the applicable DBE requirements**

The Kokosing DBT is committed to meeting the 6% DBE goal for the Sherman Minton project. We conducted the first steps to achieving this goal pre-bid. We identified potential work packages for DBE firms

early in the bid process and calculated ballpark estimates of the potential value of the DBE work. We then used this preliminary DBE goal attainment report to seek out DBE firms that were willing and capable of performing the work to achieve the goal. We communicated with potential DBE firms that perform work relevant to the project by sending solicitations, follow up emails, and then follow up phone calls to make sure that as many firms as possible were considered. We logged communications with all DBE firms as part of our Good Faith Effort.

As we received DBE firm proposals, we carefully reviewed and consider them toward attaining the DBE goal. Once our team is the awarded bidder for the project, we will sign subcontracts and vendor agreements with the selected DBE firms. Prior to starting work on the project, our staff will meet individually with the DBE firms, usually on-site at the project field office, to review the project site conditions, project schedule, safety, maintenance of traffic, erosion control, and any additional requirements relative to the DBE firms' successful performance of their work.

We continuously track DBE firm usage throughout the project to verify that we meet the project's goal. As the individual DBE firms perform work on the project, project staff will record each firm's contract amounts paid throughout the life of the project. We regularly review the DBE contract amounts paid and progress toward the DBE goal attainment to make sure that that our team is on track to meet the project goal.

### **h) A summary of any innovative concepts and approved ATCs included (including the listing of the ATC number)**

Throughout the procurement process, the Kokosing DBT submitted 13 separate ATCs. The four ATC's listed below were conditionally approved and are incorporated into our proposal.

- ATC 01 – Alternate Temporary Concrete Barrier
- ATC 03 – Alternative Bridge Railing on Sherman Minton Spans



- ATC 05R1 – Revised Cable Hanger Replacement Provisions
- ATC 08 – Alternate Temporary Steel Barrier

ATC 01 and ATC 08 are both related to using alternative temporary barrier wall types on the project. We do intend to utilize both of these on the project with a combination of concrete and steel barrier wall as they serve different purposes in different locations on the job. We understand that barrier wall types cannot be mixed within a barrier wall run and we will adhere to this requirement.

Key to the project's success will be the cable hanger replacements. Using ATC 05R1 is critical to our team's implementation of the cable replacements. Rather than utilize a system of temporary hangers to remove the existing hangers and then install new hangers, we are proposing to eliminate temporary hangers altogether.

We accomplish this by mounting the new permanent hanger system outboard of their current location. Figure 1 below shows a typical section for the proposed final configuration of the system with existing cables removed.

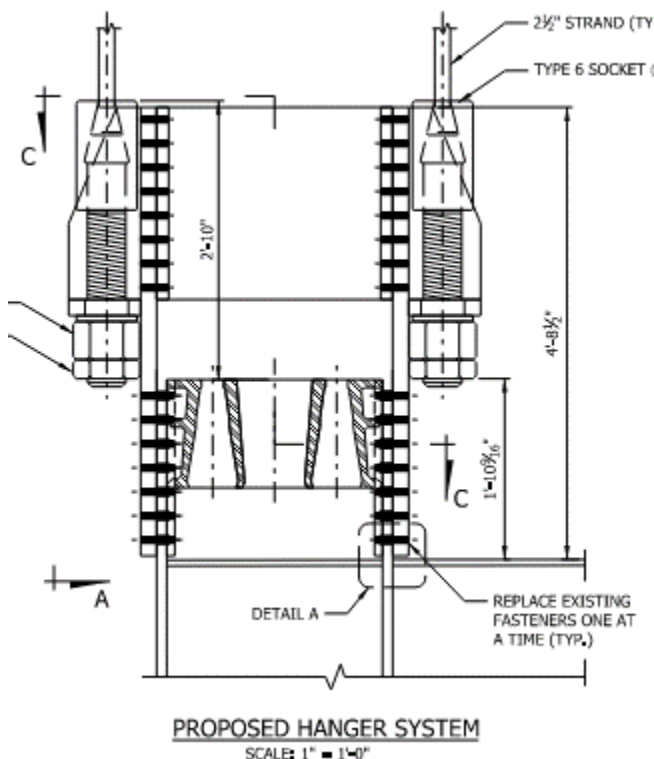


Figure 1 - Proposed Lower Hanger Connection System

Another area of innovation on the project which we feel brings tremendous value is the demolition and replacement of the cover concrete on the KY Approach Pier Caps. We have preliminarily analyzed the piers and developed a strategy for safely removing the cover concrete on the cap beams without the need for temporary supports. The cover concrete shall be removed in discrete sections at each face of the caps as follows: front face, back face, ends, bottom faces outside of columns, bottom face between columns, and top face. Removal and replacement shall be limited to no more than half the length of the cap at any face.

This will be accomplished using of state-of-the-art hydro demolition equipment. Minor hand chipping will also be utilized along with water pressured wands to reach into tight areas and clean out debris.



Figure 2 - AquaJet Aqua Cutter is a remote controlled and telescoping hydro demolition equipment to be utilized for cover concrete removal

### i) A summary describing Proposer's approach for working with the Project Sponsors and third parties, including the approach to resolving conflicts

We will bring an integrated team approach to provide effective communication and coordination with the IFA, INDOT, and KYTC. All owners will be encouraged to attend and actively participate in all Task Force Meetings and the weekly Design Coordination Meeting. Additionally, the Kokosing DBT will coordinate project items with IFA at progress meetings that will include key subcontractors and other project stakeholders.



IFA staff engaged as part of the Task Force meetings, design review meetings, and over-the-shoulder design reviews enable prompt owner feedback, resolve design or constructability issues, and to provide IFA with the opportunity to influence design decisions.

Our team members, both corporately and individually, have worked together on past projects and very familiar with each other's processes and personalities. Our corporate philosophies align in mutual trust and respect. Both Kokosing and Jacobs take a shirt-sleeve approach to decision-making and conflict resolution, working as a committed partnership striving to make decisions at the lowest level possible.

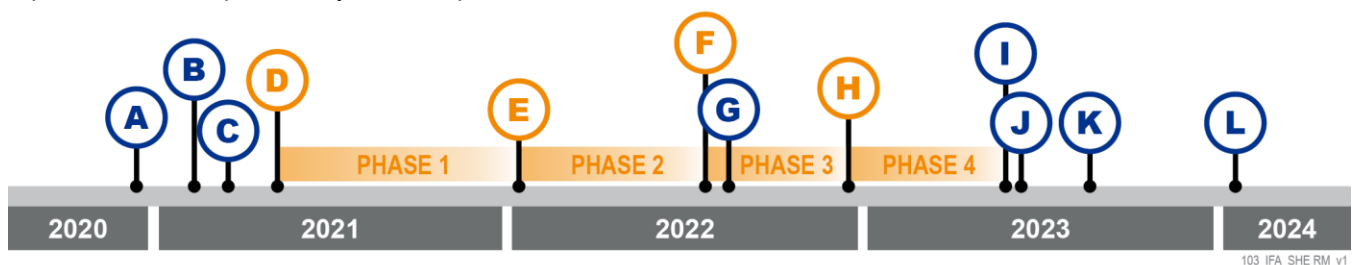
We empower our employees to work with their counterparts in other firms to make decisions that keep the project moving. This starts with informal partnering among our team members during a post-bid kickoff meeting. The project staff will discuss the expectations and common goals for the project, along with reviewing the decision-making tree that helps align various communicating entities.

In addition to regular Task Force Meetings, we promptly conduct issue-specific meetings to discuss design development, utility adjustments, railroad coordination, and other meetings with appropriate personnel and representatives to proactively address potential issues.

We will form an Executive Committee comprised of **Kevin Ohl** from Kokosing and **John Ferguson** from Jacobs. Each is a Vice President within their company with a specific focus on the design-build delivery method. Kevin and John have worked together for years and jointly developed Jacobs' design subcontract. They will stay informed about the project through regular meetings with the on-site staff, and if any issues need elevation above the project level, Kevin and John are empowered to resolve them, allowing the dedicated project staff to focus on continuing their design and construction functions.

#### j) A summary of Proposer's conceptual Project schedule, anticipated milestones for development, design and construction of the Project.

The Preliminary Project Baseline Schedule duration for this project meets the contract requirements with an assumed notice to proceed date of February 9, 2021 resulting in a substantial completion date of August 27, 2023. Figure 3 below provides a summary of the project schedule with anticipated milestones.



#### PROJECT MILESTONES

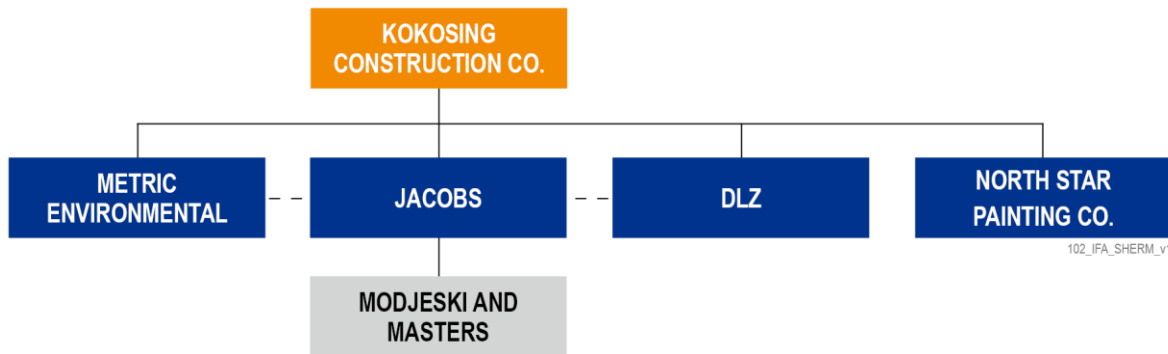
- |   |  |
|---|--|
| <b>A</b> Selection of Proposer 12/18/2020       | <b>G</b> New Albany Local Street Repaving 08/2022      |
| <b>B</b> Project Award 02/09/2021               | <b>H</b> Phase 4 Construction 12/2022 – 05/2023        |
| <b>C</b> Begin Design Development 02/2021       | <b>I</b> Complete Cable Hanger Replacement 05/2023     |
| <b>D</b> Phase 1 Construction 04/2021 – 01/2022 | <b>J</b> Switch Traffic to Final Configuration 05/2023 |
| <b>E</b> Phase 2 Construction 01/2022 – 07/2022 | <b>K</b> Substantial Completion 08/27/2023             |
| <b>F</b> Phase 3 Construction 07/2022 – 12/2022 | <b>L</b> Final Completion 01/24/2024                   |

Figure 3 - Summary of Key Project Milestones

## Requested Organization Charts (not in page count)

The organization chart below shows our team's composition, with Kokosing Construction Company as the Proposer working with Jacobs, North Star Painting, DLZ, and Metric Environmental as Major Participants.

Rounding out our team is Modjeski and Masters, a subcontractor to Jacobs. DLZ and Metric Environmental will be providing both design and construction services. Both are working for Kokosing, but will collaborate with Jacobs for design related items.



Kokosing, Inc. is the parent company of Kokosing Construction Company. Kokosing, Inc. serves as the Equity Member, Guarantor, and Financially Responsible Party.





# Proposer Information, Certifications and Documents

Proposer Information,  
Certifications and Documents



# Proposal Letter

■ Form A



VOLUME 1

**INDIANA FINANCE AUTHORITY  
SHERMAN MINTON CORRIDOR PROJECT  
INSTRUCTIONS TO PROPOSERS**

**FORM A**

**PROPOSAL LETTER**

PROPOSER: KOKOSING CONSTRUCTION COMPANY, INC.

Proposal Due Date: November 13, 2020

The undersigned ("**Proposer**") submits this proposal (this "**Proposal**") in response to that certain Request for Proposals (as amended, the "**RFP**") issued by the Indiana Finance Authority ("**IFA**"), a body politic and corporate, not a state agency but an independent instrumentality exercising essential public functions, in cooperation with the Indiana Department of Transportation ("**INDOT**"), dated June 15, 2020, to design and build the Sherman Minton Corridor Project (the "**Project**"), as more specifically described herein and in the documents provided with the RFP (the "**RFP Documents**"). Initially capitalized terms not otherwise defined herein shall have the meanings set forth in the RFP and the RFP Documents.

Subject to the terms below, in consideration for IFA supplying us, at our request, with the RFP Documents and agreeing to examine and consider this Proposal, the undersigned undertake(s):

a) Subject to ITP Section 4.6.2, to keep this Proposal open for acceptance initially for 150 days after the Proposal Due Date, without unilaterally varying or amending its terms and without any member or partner withdrawing or any other change being made in the composition of Proposer on whose behalf this Proposal is submitted, without first obtaining the prior written consent of IFA, in IFA's sole discretion; and

b) If this Proposal is accepted, to provide security (including bonds and insurance) for the due performance of the Public-Private Agreement ("**Agreement**") as stipulated in the Agreement and the RFP.

If IFA properly draws on Proposer's Proposal Security in accordance with the terms, and subject to the conditions of the RFP Documents, and the surety/ies or other financial institution(s) providing the Proposal Security refuses to honor IFA's proper draw thereon, by its signature(s) below, the undersigned undertakes, on behalf of Proposer's Equity Members, and by such signature, Proposer's Equity Members each assume, joint and several liability to IFA for the entire stated amount (in the case of a certified check made payable to IFA) or penal sum (in the case of a Proposal Bond) of the Proposal Security.



If selected by IFA, Proposer agrees to do the following or to cause the Design-Build Contractor to do the following: (a) if requested by IFA in its sole discretion, enter into good faith negotiations with IFA regarding the terms of the Agreement and in accordance with the requirements of the RFP, (b) enter into the Agreement without varying or amending its terms (except for modifications agreed to by IFA, in its sole discretion) and satisfy all other conditions to award of the Agreement; and (c) perform its obligations as set forth in the ITP and Agreement, including compliance with all commitments contained in this Proposal.

The following individual(s) is/are authorized to enter into negotiations with IFA on behalf of Proposer and Design-Build Contractor in connection with this RFP, the Project and the Agreement: Kevin Ohl, Vice President Engineering and Alternative Delivery, Timothy J. Freed, Chief Financial Officer / Treasurer, John Householder, President, and Dan Schweiger, Regional Manager.

Enclosed, and by this reference incorporated herein and made a part of this Proposal, are the following:

- Executive Summary
- Technical Proposal, including Proposer Information, Certifications and Documents
- Price Proposal, including Proposal Security and Documents

Proposer acknowledges receipt of the following Addenda and sets of questions and responses:

Addenda issued:

Addendum # 1 issued on August 17, 2020

Addendum #2 issued on October 15, 2020

Addendum #3 issued on November 6, 2020

Responses issued

Q&A Matrix #1 issued on August 17, 2020

Q&A Matrix #2 issued on October 15, 2020

Q&A Matrix #3 issued on November 6, 2020

Proposer certifies that its Proposal is submitted without reservation, qualification, assumptions or conditions and that it agrees to the terms and conditions of the RFP Documents. Proposer certifies that it has carefully examined and is fully familiar with all of the provisions of all of the RFP Documents, has reviewed all materials posted on the SharePoint Site, the Addenda (if any) and responses to questions and comments, and is

satisfied that the RFP Documents provide sufficient detail regarding the obligations to be performed by Design-Build Contractor and do not contain internal inconsistencies, errors or omissions; that it has carefully checked all the words, figures and statements in this Proposal; that it has conducted a Reasonable Investigation in preparing this Proposal; and that it has notified the Project Sponsors in writing of any deficiencies or errors in or omissions from any RFP Documents or other documents provided by IFA and of any unusual site conditions observed prior to the date hereof.

Proposer represents that all statements made in the SOQ previously delivered to IFA (as amended and resubmitted) are true, correct and accurate as of the date hereof, except as otherwise specified in the enclosed Proposal and Proposal forms. Proposer agrees that such SOQ, except as modified by the enclosed Proposal and Proposal forms, is incorporated as if fully set forth herein.

Proposer understands that IFA is not bound to award the Agreement to the best financial Proposal, lowest price, the highest ranked Proposal or any Proposal that IFA may receive.

Proposer further understands that all costs and expenses incurred by it in preparing this Proposal and participating in the RFP process will be borne solely by Proposer, except any stipend that IFA may pay Proposer in accordance with the Stipend Agreement and ITP Section 6.3. Proposer acknowledges that it has executed the Stipend Agreement and, in doing so, has irrevocably elected to accept the stipend offered for such work product.

Subject to Proposer's rights to assert exemptions under the Public Records Act, the application of which exemptions shall be as determined by IFA, in its sole discretion, Proposer consents to disclosure of its Proposal by the Project Sponsors pursuant to Indiana Code 8-15.5-4-13 to any Persons after award and execution of the Agreement by IFA and acknowledges and agrees to the provisions and deadlines set forth in ITP Section 1.8.4. Proposer acknowledges and agrees to the disclosure terms of the ITP. Proposer expressly waives any right to contest such disclosures. In addition, Proposer confirms and understands that Proposer and the Proposer team must maintain the confidentiality of (i) the procurement process, (ii) documents transmitted to Proposer by on or behalf of either or both of the Project Sponsors, and (iii) the contents of its Proposal and its other submittals to IFA until the award and execution of the PPA.

Proposer agrees that the Project Sponsors will not be responsible or liable for any errors, omissions, inaccuracies, inconsistencies or incomplete statements in this Proposal.

Proposer acknowledges the procurement protest procedures set forth in ITP Section 7.0 and agrees that if it files a protest of this procurement or award of an Agreement hereunder and that protest is denied or is otherwise unsuccessful, Proposer shall forfeit its Proposal stipend and shall be liable to the Project Sponsors for the Project Sponsors' costs incurred to defend against or resolve the protest, including legal and consultant fees and costs, and any unavoidable damages sustained by the Project Sponsors as a consequence of the protest.

This Proposal shall be governed by and construed in all respects according to the laws of the State of Indiana, without regard to any conflict of laws principles or provisions.

Proposer's business address:

<u>6235</u>	<u>Westerville Road</u>	
(No.)	(Street)	(Floor or Suite)
<u>Westerville</u>	<u>Ohio</u>	<u>43081</u> <u>United States of America</u>
(City)	(State or Province)	(ZIP or Postal Code) (Country)

State or Country of Incorporation/Formation/Organization: Ohio

*[Insert appropriate signature block from following pages]*

1. Sample signature block for corporation or Limited Liability Company:

*Kokosing Construction Company, Inc.*

By: 

Print Name: Kevin Ohl, PE, DBIA

Title: Vice President Engineering and Alternative Delivery

2. Sample signature block for consortium, partnership or any other form of joint venture:

*[Insert Proposer's name]*

By: *[Insert general partner's or member's name]*

By: \_\_\_\_\_

Print Name: \_\_\_\_\_

Title: \_\_\_\_\_

*[Add signatures of additional general partners or members as appropriate]*

3. Sample signature block for attorney in fact:

*[Insert Proposer's name]*

By: \_\_\_\_\_

Print Name: \_\_\_\_\_

Attorney in Fact

## **ADDITIONAL INFORMATION TO BE PROVIDED WITH PROPOSAL LETTER:**

- A. If Proposer is a corporation, enter the state or country of incorporation in addition to the business address. If Proposer is a partnership, enter the state or country of formation. If Proposer is a limited liability company, enter the state or country of organization.
- B. Describe in detail the legal structure of Proposer/Design-Build Contractor and Equity Members.
1. If Proposer/Design-Build Contractor/Equity Member is a corporation or includes a corporation as a joint venture member, partner or member, provide articles of incorporation (and amendments, if any) and bylaws for Proposer/Design-Build Contractor/Equity Member and each corporation certified by an appropriate individual. If any entity is not yet formed, so state and indicate that these documents will be provided prior to commercial close as required by the ITP.
  2. If Proposer/Design-Build Contractor/Equity Member is a general partnership or includes a general partnership as a joint venture member, partner or member, attach full names and addresses of all partners and the equity ownership interest of each entity, provide the incorporation, formation and organizational documentation for Proposer/Design-Build Contractor/Equity Member (partnership agreement and certificate of partnership for a partnership, articles of incorporation and bylaws for a corporation, operating agreement for a limited liability company and joint venture agreement for a joint venture) certified by an appropriate individual. If any entity is not yet formed, so state and indicate that these documents will be provided prior to commercial close as required by the ITP.
  3. If Proposer/Design-Build Contractor/Equity Member is a limited partnership or includes a limited partnership as a joint venture member, partner or member, attach full names and addresses of all general partners and the equity ownership interest of each entity, provide the incorporation, formation and organizational documentation for Proposer/Design-Build Contractor/Equity Member (partnership agreement and certificate of partnership for a partnership, articles of incorporation and bylaws for a corporation, operating agreement for a limited liability company and joint venture agreement for a joint venture) certified by an appropriate individual. If any entity is not yet formed, so state and indicate that these documents will be provided prior to commercial close as required by the ITP.
  4. If Proposer/Design-Build Contractor/Equity Member is a consortium, joint venture or includes a joint venture as a joint venture member, partner or member, attach full names and addresses of all consortium or joint venture members and the equity ownership interest of each entity, provide the incorporation, formation and organizational documentation for



Proposer/Design-Build Contractor/Equity Member (partnership agreement and certificate of partnership for a partnership, articles of incorporation and bylaws for a corporation, operating agreement for a limited liability company and joint venture agreement for a joint venture) certified by an appropriate individual. If any entity is not yet formed, so state and indicate that these documents will be provided prior to commercial close as required by the ITP.

5. If Proposer/Design-Build Contractor/Equity Member is a limited liability company or includes a limited liability company as a joint venture member, partner or member, attach full names and addresses of all members and the equity ownership interest of each entity, provide the incorporation, formation and organizational documentation for Proposer/Design-Build Contractor/Equity Member (partnership agreement and certificate of partnership for a partnership, articles of incorporation and bylaws for a corporation, operating agreement for a limited liability company and joint venture) certified by an appropriate individual. Attach evidence to the Proposal Letter, in respect of the Proposal, and to each letter required under the Proposal Letter that the person signing has authority to do so. If any entity is not yet formed, so state and indicate that these documents will be provided as required by the ITP. For purposes of clarity, Proposer may append to the Proposal Letter a letter from each person signing the Proposal that such person has the authority to do so, which shall suffice for the purposes of the requirements set forth in this Section B.5.

For purposes of this clause B, the term “organizational documentation” in respect of an Equity Member shall mean such entity’s certificate of formation/articles of incorporation/certificate of partnership/joint venture agreement, or equivalent charter documentation; provided, further, that such entity shall provide its partnership agreement/operating agreement/bylaws/equivalent joint venture prior to commercial close as required by the ITP.

- C. With respect to authorization of execution and delivery of the Proposal and validity thereof, if Proposer is a:
  - corporation, it shall provide evidence in the form of a resolution of its governing body certified by an appropriate officer of the corporation, with sufficient information (or orientation within corporate documents) demonstrating the authority of the certifying officer.
  - partnership, such evidence shall be in the form of a partnership resolution and a general partner resolution (as to each general partner) providing such authorization, in each case, certified by an appropriate officer of the general partner, with sufficient information (or orientation within partnership documents) demonstrating the authority of the certifying officer.

- limited liability company, such evidence shall be in the form of a limited liability company resolution and a managing member(s) resolution providing such authorization, certified by an appropriate officer of the managing member(s), with sufficient information (or orientation within organizational documents) demonstrating the authority of the certifying officer. If there is no managing member, each member shall provide the foregoing information, also, with sufficient information (or orientation within each such member's organizational documents) demonstrating the authority of the individual certifying such evidence.
  - consortium or other form of joint venture, such evidence shall be in the form of a resolution of each consortium or joint venture member, certified by an appropriate officer of such consortium or joint venture member, with sufficient information (or orientation within joint venture or consortium documents) demonstrating the authority of the certifying officer.
  - consortium, joint venture or a partnership, the Proposal must be executed by all consortium or joint venture members or all general partners, as applicable.
- D. Except as set forth in this clause D, Design-Build Contractor's partnership agreement, limited liability company operating agreement, charter or joint venture/consortium agreement, as applicable, must include an express provision satisfactory to IFA, in its sole discretion, (i) stating that, in the event of a dispute between or among joint venture members, partners, members or shareholders, as applicable, no joint venture member, partner, member or shareholder, as applicable, shall be entitled to stop, hinder or delay work on the Project; (ii) a statement agreeing that the partners, members, shareholders, or joint venture/consortium members are jointly and severally liable to IFA for the acts or omissions of the Design-Build Contractor under the PPA Documents; and (iii) providing for continuation of Proposer (and Design-Build Contractor) in the event of bankruptcy or withdrawal of any of its members. Proposer shall submit the applicable agreement to IFA and identify on a cover page where in the agreement the provision can be found. If Design-Build Contractor is not yet formed, provide draft organizational documents and indicate where the provision is found. If Design-Build Contractor is an existing partnership, limited liability company or joint venture not formed or created for the Project and is unable to modify its partnership agreement, limited liability operating agreement or joint venture agreement, as applicable, it may satisfy this requirement by submitting a unanimous consent executed by its partners, members or joint venturers, as applicable, agreeing to abide to the provisions of this clause (D).



6235 WESTERVILLE RD | WESTERVILLE, OH 43081  
PHONE 614.228.1029

November 13, 2020

Silvia Perez  
Indiana Finance Authority  
One North Capitol Avenue, Suite 900  
Indianapolis, Indiana 46024

Attention Silvia Perez,

In response to the additional information to be provided with the proposal letter.

- A. Kokosing Construction Company, Inc. is a corporation formed under the laws of the State of Ohio, with a principle place of business located at 6235 Westerville Road, Westerville, Ohio 43081.
- B. Kokosing Construction Company, Inc. is an Ohio corporation and a wholly owned subsidiary of Kokosing, Inc. See Amended and Restated Articles of Incorporation, the four Amendments to the Articles, and the current Code of Regulations (referred to in other states as the Bylaws) in Volume 1 Appendices.
- C. See Certified Corporate Resolution providing for Signature Authority in Volume 1 Appendices.
- D. See Unanimous Consent Agreement of the Sole Shareholder in Volume 1 Appendices.

Sincerely,

A handwritten signature in blue ink, appearing to read "K. Ohl", is placed above the typed name.

Kevin Ohl, PE, DBIA  
Vice President Engineering and Alternative Delivery



AN EQUAL  
OPPORTUNITY  
EMPLOYER



# Identification of Proposer and Equity Members

■ Form B-1

Identification of Proposer and  
Equity Members - Form B-1



VOLUME 1

**FORM B-1**

**IDENTIFICATION OF PROPOSER AND EQUITY MEMBERS**

<b>NAME OF ENTITY AND CONTACT INFORMATION (address, representative, phone, fax, email)</b>	<b>ROLE OF ENTITY IN PROPOSER ORGANIZATION</b>	<b>INDOT/KYTC Prequalification Categories (Specify Which)</b>	<b>Description of Work/Services To Be Performed By Entity (if applicable)</b>
Kokosing Construction Company, Inc. 6235 Westerville Road, Westerville, OH 43081 Kevin Ohl (T) 614.228.1029 (F) 740.957.9239 <a href="mailto:kao@kokosing.biz">kao@kokosing.biz</a>	Proposer	INDOT Certificate of Qualification for at least \$150 million in the aggregate  \$Unlimited in the following INDOT construction prequalification work type: D (a) and D (c)  INDOT Prequalification Work Type A (a), E (I)	Design-Build Contractor
Kokosing, Inc. 6235 Westerville Road, Westerville, OH 43081 Timothy J. Freed (T) 740.848.4955 (F) 740.957.9239 <a href="mailto:tfreed@kokosing.biz">tfreed@kokosing.biz</a>	Equity Member	Not applicable	Not applicable


The above information is true, correct and accurate.

Executed November 9, 2020.

\_\_\_\_\_  
(Signature)

Kevin Ohl, PE, DBIA

\_\_\_\_\_  
(Name Printed)

Vice President Engineering and Alternative  
Delivery

\_\_\_\_\_  
(Title)

Kokosing Construction Company, Inc.

\_\_\_\_\_  
(Proposer)



# Information About Proposer Organization

■ Form B-2



VOLUME 1

## **FORM B-2**

### **INFORMATION ABOUT PROPOSER'S ORGANIZATION**

1.0 Name of Proposer: Kokosing Construction Company, Inc.

2.0 Name of firm completing **Form B-2**: Kokosing Construction Company, Inc.

Firm's role on Proposer team (check one):

☒ Proposer; ☐ Equity Member; ☐ Major Participant; ☐ Financially Responsible Party  
☐ Subcontractor (non-Major Participant)

3.0 Year established: 1951 State/county of organization: Ohio

4.0 Firm's licenses, registrations and credentials (attach copies): see attached

5.0 Name of firm's authorized representative executing **Form B-2**: Kevin Ohi, PE, DBIA

6.0 Representative's Title: Vice President Engineering and Alternative Delivery

7.0 Representative's Phone No.: 614.228.1029 E-mail address: kao@kokosing.biz

8.0 Name of firm's CEO/chairman: Wm. Bryce Burgett

9.0 Federal Tax ID No.: XXXXXXXXXX

10.0 North American Industry Classification Code: 237310

11.0 Type of Business Organization (check one):

☒ Corporation; ☐ Partnership; ☐ Joint Venture; ☐ Limited Liability Company;  
☐ Other (describe)

---

12.0 Business address: 6235 Westerville Road, Westerville, Ohio 43081

Headquarters address: 6235 Westerville Road, Westerville, Ohio 43081

Location of office performing work: 400 Techne Center Drive, Suite 200, Milford, Ohio 45150

13.0 Describe firm's role/anticipated scope of work: Proposer/Design-Build Contractor

14.0 How many years (measured from the date of issuance of this RFQ) has the firm completing the form been: (a) in its current line of business; and (b) in business under its present name?

Name	No. of years in business	No. of years under present name
Kokosing Construction Company, Inc.	69	69

15.0 Under what other or former names has the entity completing this form operated and during what years?

Name	Year(s)
Not Applicable	

16.0 If the firm completing **Form B-2** is a partnership, joint venture or limited liability company, provide the name and role of each partner, joint venturer or member firm, as applicable, in the space below. Complete a separate **Form B-2** and **Form C** (Certification) for each partner, joint venturer and member firm and include in the SOQ.

Name of entity	Role (e.g., partner, joint venturer, member)
Not Applicable	

17.0 If the firm completing Form B-2 is Proposer or a Financially Responsible Party:

If the Proposer organization has already been formed, as an attachment, provide complete copies of the organizational documents and, if available, evidence of Proposer's right to conduct business in the State. If the Proposer organization has not yet been formed, provide draft copies of the underlying agreements, if available. If Proposer is a partnership, joint venture, limited liability company or other privately held company, include a statement that the Equity Members will be fully liable for performance under the PPA Documents if Proposer is selected

I declare under penalty of perjury under the laws of the State of Indiana that the foregoing declaration is true, correct and accurate.

Executed November 9, 2020.



(Signature)

Kevin Ohi, PE, DBIA

(Name Printed)

Vice President Engineering and Alternative  
Delivery

(Title)

Kokosing Construction Company, Inc.

(Name of Firm Signing/Completing Form)

Kokosing Construction Company, Inc.

(Name of Proposer Team)

State of Indiana  
Office of the Secretary of State

CERTIFICATE OF EXISTENCE

To Whom These Presents Come, Greeting:

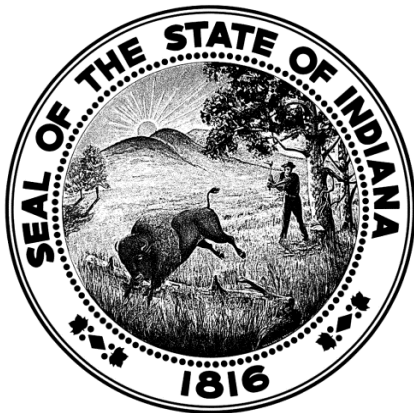
I, CONNIE LAWSON, Secretary of State of Indiana, do hereby certify that I am, by virtue of the laws of the State of Indiana, the custodian of the corporate records and the proper official to execute this certificate.

I further certify that records of this office disclose that

KOKOSING CONSTRUCTION COMPANY, INC.

duly filed the requisite documents to commence business activities under the laws of the State of Indiana on April 22, 1992, and was in existence or authorized to transact business in the State of Indiana on November 09, 2020.

I further certify this Foreign For-Profit Corporation has filed its most recent report required by Indiana law with the Secretary of State, or is not yet required to file such report, and that no notice of withdrawal, dissolution, or expiration has been filed or taken place. All fees, taxes, interest, and penalties owed to Indiana by the domestic or foreign entity and collected by the Secretary of State have been paid.



In Witness Whereof, I have caused to be affixed my signature and the seal of the State of Indiana, at the City of Indianapolis, November 09, 2020

*Connie Lawson*

CONNIE LAWSON  
SECRETARY OF STATE

1992050106 / 20201706000

All certificates should be validated here: <https://bsd.sos.in.gov/ValidateCertificate>

Expires on December 09, 2020.



**JON HUSTED**  
**OHIO SECRETARY OF STATE**

180 East Broad Street, Suite 103 (ground floor) • Columbus, Ohio 43215  
Toll Free: (877) SOS-FILE (767-3453) Central Ohio: (614) 466-3910  
[www.OhioSecretaryofState.gov](http://www.OhioSecretaryofState.gov) • [busserv@OhioSecretaryofState.gov](mailto:busserv@OhioSecretaryofState.gov)

**Please return the approval certificate to:**

Name:

Kokosing Construction Company

(Individual or Business Name)

To the attention of:

Beth Dannaher

(If necessary)

Address:

6235 Westerville Road, Suite 200

City:

Westerville

State:

Ohio

ZIP Code:

43081-4074

Phone Number:

614-212-5618

E-mail Address:

bad@kokosing.biz

- ☐ Check here if you would like to receive important notices via e-mail from the Ohio Secretary of State's office regarding Business Services.
- ☐ Check here if you would like to be signed up for our Filing Notification System for the business entity being created or updated by filing this form. This is a free service provided to notify you via e-mail when any document is filed on your business record.

**Type of Service Being Requested: (PLEASE CHECK ONE BOX BELOW)**

- ☐ **Regular Service:** Only the filing fee listed on page one of the form is required and the filing will be processed in approximately 3-7 business days. The processing time may vary based on the volume of filings received by our office.
- ☒ **Expedite Service 1:** By including an Expedite fee of \$100.00, **in addition** to the regular filing fee on page one of the form, the filing will be processed within 2 business days after it is received by our office.
- ☐ **Expedite Service 2:** By including an Expedite fee of \$200.00, **in addition** to the regular filing fee on page one of the form, the filing will be processed within 1 business day after it is received by our office. **This service is only available to walk-in customers who hand deliver the document to the Client Service Center.**
- ☐ **Expedite Service 3:** By including an Expedite fee of \$300.00, **in addition** to the regular filing fee on page one of the form, the filing will be processed within 4 hours after it is received by our office, if received by 1:00 p.m. **This service is only available to walk-in customers who hand deliver the document to the Client Service Center.**
- ☐ **Preclearance Filing:** For the purpose of advising as to the acceptability of the proposed filing, a form that is to be submitted at a later date for processing may be submitted for examination for a fee of \$50.00. The Preclearance will be complete within 1-2 business days.

## DEMOGRAPHIC INFORMATION

Name: William M. Clifford

## ADDRESS INFORMATION

City/State/Zip: Columbus OH 43212  
County: Franklin

## LICENSE INFORMATION

Lic #: LS21000221  
Status: Active  
Method: ComityProfession: Land Surveyor Board  
Issued: 6/17/2010Type: Professional Surveyor  
Expiration: 7/31/2022

Secondary:

## DISCIPLINE INFORMATION

## RELATED LICENSES

No Related Licenses

## DOCUMENTS

No Public Documents Available





# Kentucky Board of Engineers & Land Surveyors

- ABOUT
- GETTING  
LICENSED
- CONSUMER  
INFORMATION
- ALREADY  
LICENSED?
- CONTACT US
- CALENDAR OF  
EVENTS

Search for Kentucky Licensees

Number Found: 1

Individual Results

	FirstName	LastName	AddressLine1	City	State	Zip	PE #	PE Status	Expires	LS #	LS Status	Expires	Disciplinary Action?
Select	William	Clifford	Kokosing Construction Co	Westerville	OH	43081				4280	Current	6/30/2021	No

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# Kentucky Board of Engineers & Land Surveyors

- ABOUT
- GETTING  
LICENSED
- CONSUMER  
INFORMATION
- ALREADY  
LICENSED?
- CONTACT US
- CALENDAR OF  
EVENTS

Search for Kentucky Licensees

**Name:** William Clifford  
**Professional Engineer:**  
**Professional Land Surveyor:** Number: 4280  
Status: Current  
Issue Date: 09/27/2019  
Expiration Date: 06/30/2021  
**Address of Record:** Kokosing Construction Co  
6235 Westerville Rd  
Westerville, OH 43081  
**Responsible Charge For:**  
**Disciplinary Action?:**

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All rights reserved.

**FORM B-2**

**INFORMATION ABOUT PROPOSER'S ORGANIZATION**

1.0 Name of Proposer: Kokosing Construction Company, Inc.

2.0 Name of firm completing **Form B-2**: Kokosing, Inc.

Firm's role on Proposer team (check one):

- ☐ Proposer; ☐ Equity Member; ☐ Major Participant; ☒ Financially Responsible Party  
☐ Subcontractor (non-Major Participant)

3.0 Year established: 2015 State/county of organization: Ohio

4.0 Firm's licenses, registrations and credentials (attach copies): see attached

5.0 Name of firm's authorized representative executing **Form B-2**: Timothy J. Freed

6.0 Representative's Title: Chief Financial Officer / Treasurer

7.0 Representative's Phone No.: 740.507.7544 E-mail address: tfreed@kokosing.biz

8.0 Name of firm's CEO/chairman: Wm. Bryce Burgett

9.0 Federal Tax ID No.: XXXXXXXXXX

10.0 North American Industry Classification Code: 237310

11.0 Type of Business Organization (check one):

- ☒ Corporation; ☐ Partnership; ☐ Joint Venture; ☐ Limited Liability Company;  
☐ Other (describe)
- 

12.0 Business address: 6235 Westerville Road, Westerville, Ohio 43081

Headquarters address: 6235 Westerville Road, Westerville, Ohio 43081

Location of office performing work: 6235 Westerville Road, Westerville, Ohio 43081

13.0 Describe firm's role/anticipated scope of work: Kokosing, Inc. is the parent company of Kokosing Construction Company, Inc. (the Proposer) and is the Financially Responsible Party.

14.0 How many years (measured from the date of issuance of this RFQ) has the firm completing the form been: (a) in its current line of business; and (b) in business under its present name?

Name	No. of years in business	No. of years under present name
Kokosing, Inc.	5	5

15.0 Under what other or former names has the entity completing this form operated and during what years?

Name	Year(s)
Not Applicable	

16.0 If the firm completing **Form B-2** is a partnership, joint venture or limited liability company, provide the name and role of each partner, joint venturer or member firm, as applicable, in the space below. Complete a separate **Form B-2** and **Form C** (Certification) for each partner, joint venturer and member firm and include in the SOQ.

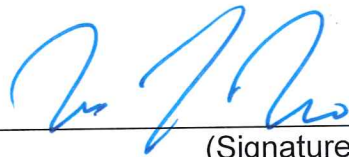
Name of entity	Role (e.g., partner, joint venturer, member)
Not Applicable	

17.0 If the firm completing Form B-2 is Proposer or a Financially Responsible Party:

If the Proposer organization has already been formed, as an attachment, provide complete copies of the organizational documents and, if available, evidence of Proposer's right to conduct business in the State. If the Proposer organization has not yet been formed, provide draft copies of the underlying agreements, if available. If Proposer is a partnership, joint venture, limited liability company or other privately held company, include a statement that the Equity Members will be fully liable for performance under the PPA Documents if Proposer is selected

I declare under penalty of perjury under the laws of the State of Indiana that the foregoing declaration is true, correct and accurate.

Executed November 9, 2020.



(Signature)

Timothy J. Freed

(Name Printed)

Chief Financial Officer / Treasurer

(Title)

Kokosing, Inc.

(Name of Firm Signing/Completing Form)

Kokosing Construction Company, Inc.

(Name of Proposer Team)



Form 532A Prescribed by:

**JON HUSTED**  
Ohio Secretary of State

Central Ohio: (614) 466-3910  
Toll Free: (877) SOS-FILE (767-3453)  
[www.OhioSecretaryofState.gov](http://www.OhioSecretaryofState.gov)  
[Busserv@OhioSecretaryofState.gov](mailto:Busserv@OhioSecretaryofState.gov)

Mail this form to one of the following:

Regular Filing (non expedite)  
P.O. Box 670  
Columbus, OH 43216

Expedite Filing (Two-business day processing  
time requires an additional \$100.00).  
P.O. Box 1390  
Columbus, OH 43216

**Initial Articles of Incorporation**  
**(For Profit, Domestic Corporation)**  
**Filing Fee: \$125**  
**(113 - ARF)**

**First:** Name of Corporation   
(Name must include the following word or abbreviation: company, co., corporation, corp., incorporated, or inc.)

**Second:** Location of Principal office in Ohio  
   
City State  
  
County

Effective Date (Optional)   
mm/dd/yyyy (The legal existence of the corporation begins upon the filing of the articles or on a later date specified that is not more than ninety days after filing)

**Third:** The number of shares which the corporation is authorized to have outstanding.  
(Please state if shares are common or preferred and their par value, if any.)

<input type="text" value="1,000"/>	<input type="text" value="common"/>	<input type="text" value="\$1.00"/>
Number of Shares	Type	Par Value

**Fourth:** If the corporation is to have an initial stated capital, please state the amount of that stated capital  
  
Amount

**\*\*Note:** ORC Chapter 1701 allows additional provisions to be included in the Articles of Incorporation that are filed with this office. If including any of these additional provisions, please do so by including them in an attachment to this form.\*\*

### ORIGINAL APPOINTMENT OF STATUTORY AGENT

The undersigned, being at least a majority of the incorporators of Kokosing, Inc.  
hereby appoint the following to be statutory agent upon whom any process, notice or demand required or permitted by  
statute to be served upon the corporation may be served. The complete address of the agent is

Gabe J. Roehrenbeck

Name

6235 Westerville Road

Mailing Address

Westerville

City

Ohio

State

43081

Zip Code

Must be signed by the  
Incorporators or a  
majority of the  
incorporators

Wm Bruce Burgett  
Signature

Signature

Signature

### ACCEPTANCE OF APPOINTMENT

The Undersigned, Gabe J. Roehrenbeck, named herein as the  
Statutory Agent Name

Statutory agent for Kokosing, Inc.  
Corporation Name

hereby acknowledges and accepts the appointment of statutory agent for said corporation.

Statutory Agent Signature Gabe Roehrenbeck

Individual Agent's Signature/Signature on Behalf of Business Serving as Agent

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

**Required**  
Articles and original appointment of agent must be signed by the incorporator(s).

If the incorporator is an individual, then they must sign in the "signature" box and print his/her name in the "Print Name" box.

If the incorporator is a business entity, not an individual, then please print the entity name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print his/her name and title/authority in the "Print Name" box.

Kokosing, Inc.
Signature
<i>Wm Brian Burgett</i>
By
Wm. Brian Burgett
Print Name
Signature
By
Print Name
Signature
By
Print Name



## **FORM B-2**

### **INFORMATION ABOUT PROPOSER'S ORGANIZATION**

1.0 Name of Proposer: Kokosing Construction Company, Inc.

2.0 Name of firm completing **Form B-2**: Jacobs Engineering Group Inc.

Firm's role on Proposer team (check one):

☐ Proposer; ☐ Equity Member; ☒ Major Participant; ☐ Financially Responsible Party ☐ Subcontractor (non-Major Participant)

3.0 Year established: 1987\_\_ State/county of organization: Delaware

4.0 Firm's licenses, registrations and credentials (attach copies): see attached

5.0 Name of firm's authorized representative executing **Form B-2**: Michael McCarty

6.0 Representative's Title: Vice President Missouri Operations

7.0 Representative's Phone No.: 618.541.7776 (mobile) E-mail address: michael.mccarty@jacobs.com

8.0 Name of firm's CEO/chairman: Steve Demetriou

9.0 Federal Tax ID No.: [REDACTED]

10.0 North American Industry Classification Code: 541330

11.0 Type of Business Organization (check one):

☒ Corporation; ☐ Partnership; ☐ Joint Venture; ☐ Limited Liability Company;

☐ Other (describe) \_\_\_\_\_

12.0 Business address: 501 N. Broadway, St. Louis, MO 63102

Headquarters address: 1999 Bryan Street, Suite 1200, Dallas, TX 75201

Location of office performing work: 501 N. Broadway, St. Louis, MO 63102

13.0 Describe firm's role/anticipated scope of work: Major Participant with Key Personnel roles including Design Manager, Lead Structural Design, Design-Build Coordinator, Design Quality Manager, and supporting design roles.

- 14.0 How many years (measured from the date of issuance of this RFQ) has the firm completing the form been: (a) in its current line of business; and (b) in business under its present name?

Name	No. of years in business	No. of years under present name
Jacobs Engineering Group Inc.	73	33

- 15.0 Under what other or former names has the entity completing this form operated and during what years?

Name	Year(s)
Jacobs Engineering Group Inc. was incorporated under the laws of the State of Delaware on January 8, 1987. On March 4, 1987, the corporation succeeded by merger to the business and assets of Jacobs Engineering Group Inc., a California corporation that, in 1974, had succeeded to a business organized originally by our founder, Dr. Joseph J. Jacobs, in 1947.	

- 16.0 If the firm completing **Form B-2** is a partnership, joint venture or limited liability company, provide the name and role of each partner, joint venturer or member firm, as applicable, in the space below. Complete a separate **Form B-2** and **Form C** (Certification) for each partner, joint venturer and member firm and include in the SOQ.

Name of entity	Role (e.g., partner, joint venturer, member)
Not Applicable	

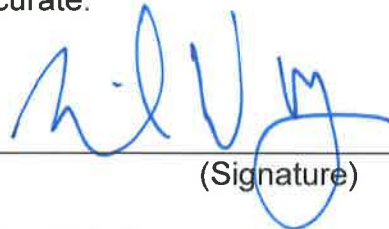
- 17.0 If the firm completing Form B-2 is Proposer or a Financially Responsible Party:

If the Proposer organization has already been formed, as an attachment, provide complete copies of the organizational documents and, if available, evidence of

Proposer's right to conduct business in the State. If the Proposer organization has not yet been formed, provide draft copies of the underlying agreements, if available. If Proposer is a partnership, joint venture, limited liability company or other privately held company, include a statement that the Equity Members will be fully liable for performance under the PPA Documents if Proposer is selected

I declare under penalty of perjury under the laws of the State of Indiana that the foregoing declaration is true, correct and accurate.

Executed 11-6-20

  
(Signature)

Michael McCarty  
(Name Printed)

Vice President Missouri Operations  
(Title)

Jacobs Engineering Group Inc.  
(Name of Firm Signing/Completing Form)

Kokosing Construction Company, Inc.  
(Name of Proposer Team)

## State of Indiana

## DEMOGRAPHIC INFORMATION

Name: Daniel Michael Morris

## ADDRESS INFORMATION

City/State/Zip: Saint Charles MO 63304

County: Saint Charles

## LICENSE INFORMATION

Lic #: PE10707463

Profession: Engineer  
Board

Type: Professional  
Engineer

Secondary:

Status: Active

Issued: 1/12/2007

Expiration: 7/31/2022

Method: Comity

## DISCIPLINE INFORMATION

-

## RELATED LICENSES

No Related Licenses

## DOCUMENTS

No Public Documents Available

## Search for Kentucky Licensees

Number Found: 1

## Individual Results

	<u>FirstName</u>	<u>LastName</u>	<u>AddressLine1</u>	<u>City</u>	<u>State</u>	<u>Zip</u>	<u>PE #</u>	<u>PE Status</u>	<u>Expires</u>	<u>LS #</u>	<u>LS Status</u>	<u>Expires</u>	<u>Disciplinary Action?</u>
<a href="#">Select</a>	Daniel	Morris	107 GIBRALTAR POINT CT	SAINT CHARLES	MO	63304	30355	Current	6/30/2022				No

## State of Indiana

## DEMOGRAPHIC INFORMATION

Name: John E. Finke

## ADDRESS INFORMATION

City/State/Zip: Florissant MO 63033

County: Saint Louis

## LICENSE INFORMATION

Lic #: PE11100226

Profession: Engineer  
Board

Type: Professional  
Engineer

Secondary:

Status: Active

Issued: 4/18/2011

Expiration: 7/31/2022

Method: Comity

## DISCIPLINE INFORMATION

-

## RELATED LICENSES

No Related Licenses

## DOCUMENTS

No Public Documents Available



# Kentucky Board of Engineers & Land Surveyors

- ABOUT
- GETTING  
LICENSED
- CONSUMER  
INFORMATION
- ALREADY  
LICENSED?
- CONTACT US
- CALENDAR OF  
EVENTS

Search for Kentucky Licensees

Number Found: 1

Individual Results

<u>Select</u>	<u>FirstName</u>	<u>LastName</u>	<u>AddressLine1</u>	<u>City</u>	<u>State</u>	<u>Zip</u>	<u>PE #</u>	<u>PE Status</u>	<u>Expires</u>	<u>LS #</u>	<u>LS Status</u>	<u>Expires</u>	<u>Disciplinary Action?</u>
	John	Finke	Jacobs Engineering Group Inc	SAINT LOUIS	MO	63102	28920	Current	6/30/2021				No



Search for Kentucky Licensees

**Legal Name:** Jacobs Engineering Group, Incorporated  
**Engineering Permit:** Number: 824  
Status: Current  
Issue Date: 07/18/1994  
Expiration Date: 12/31/2020

**Land Surveying Permit:**  
**Address of Record:** 1000 Wilshire Blvd., Ste. 2100 (Legal)  
Los Angeles, CA 90017

**Responsible Charge(s):** Responsible Charge(s):  

	FirstName	LastName	PE	LS	PE Status	LS Status
<a href="#">Select</a>	Zhiyong	Zhao	25775		Current	

**Disciplinary Action?:**

## FORM B-2

### INFORMATION ABOUT PROPOSER'S ORGANIZATION

1.0 Name of Proposer: KOKOSING CONSTRUCTION COMPANY

2.0 Name of firm completing **Form B-2**: North Star Painting CO. INC.

Firm's role on Proposer team (check one):

☐ Proposer; ☐ Equity Member; ☒ Major Participant; ☐ Financially Responsible Party

3.0 Year established: 1987 State/county of organization: MAHONING COUNTY OH

4.0 Firm's licenses, registrations and credentials (attach copies):

5.0 Name of firm's authorized representative executing **Form B-2**: IOANNIS KALOURIS

6.0 Representative's Title: VICE PRESIDENT

7.0 Representative's Phone No.: (330)-503-1551 E-mail address: Yiannik10@outlook.com

8.0 Name of firm's CEO/chairman: IRENE KALOURIS

9.0 Federal Tax ID No.: XXXXXXXXXX

10.0 North American Industry Classification Code: 238320

11.0 Type of Business Organization (check one):

☒ Corporation; ☐ Partnership; ☐ Joint Venture; ☐ Limited Liability Company;

☐ Other (describe) \_\_\_\_\_

12.0 Business address: 3526 MCCARTNEY RD. YOUNGSTOWN, OH 44505

Headquarters address: SAME AS ABOVE

Location of office performing work: SAME AS ABOVE

13.0 Describe firm's role/anticipated scope of work: CLEAN & PAINT ENTIRE BRIDGE STRUCTURES

- 14.0 How many years (measured from the date of issuance of this RFQ) has the firm completing the form been: (a) in its current line of business; and (b) in business under its present name?

Name	No. of years in business	No. of years under present name
NORTH STAR PAINTING CO. INC.	33	33

- 15.0 Under what other or former names has the entity completing this form operated and during what years?

Name	Year(s)

- 16.0 If the firm completing **Form B-2** is a partnership, joint venture or limited liability company, provide the name and role of each partner, joint venturer or member firm, as applicable, in the space below. Complete a separate **Form B-2** and **Form C** (Certification) for each partner, joint venturer and member firm and include in the SOQ.

Name of entity	Role (e.g., partner, joint venturer, member)
N/A	

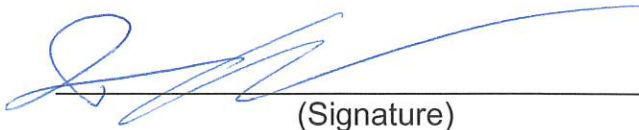
- 17.0 If the firm completing Form B-2 is Proposer or a Financially Responsible Party:

If the Proposer organization has already been formed, as an attachment, provide complete copies of the organizational documents and, if available, evidence of Proposer's right to conduct business in the State. If the Proposer organization has not yet been formed, provide draft copies of the underlying agreements, if available. If Proposer is a partnership, joint venture, limited liability company or

Verification / Declaration

I declare under penalty of perjury under the laws of the State of Indiana that the foregoing declaration is true, correct and accurate.

Executed November 13, 2020.

  
\_\_\_\_\_  
(Signature)

Ioannis Kalouris  
\_\_\_\_\_  
(Name Printed)

Vice President  
\_\_\_\_\_  
(Title)

North Star Painting Company Inc.  
\_\_\_\_\_  
(Name of Organization)



North Star Painting Company, Inc.

*of*

Campbell, OH

*has met or exceeded the requirements set forth in the  
SSPC Painting Contractor Certification Program for*

**FIELD APPLICATION OF COATINGS  
COMPLEX STRUCTURES**

**SSPC - QP1**



.....  
President, SSPC  
March 31, 2020 – March 31, 2021  
.....  
Validation Period



Certification for dates listed above to North Star Painting Co., Inc. Campbell, OH  
Owners are advised to contact SSPC at 412-281-2331 ext. 2235 or ext. 2209 to verify authenticity of certification  
SSPC 800 Trumbull Dr. Pittsburgh, PA 15205 PCCP rules V July 2019





North Star Painting Company, Inc.

of

Campbell, OH

*has met or exceeded the requirements set forth in the  
SSPC Painting Contractor Certification Program for*

**INDUSTRIAL HAZARDOUS  
PAINT REMOVAL  
SSPC-QP2**

"A"

Category

March 31, 2020 – March 31, 2021

Validation Period



President, SSPC

Certification for dates listed above to North Star Painting Co., Inc. Campbell, OH  
Owners are advised to contact SSPC at 412-281-2331 ext. 2235 or ext. 2209 to verify authenticity of certification.

SSPC 800 Trumbull Dr. Pittsburgh, PA 15205 PCCP rules V. July 2019





# Certificate of Qualification

ISSUED BY

## Indiana Department of Transportation

INDIANAPOLIS, IN

February 26, 2020

TO

NORTH STAR PAINTING CO

YOUNGSTOWN, OH

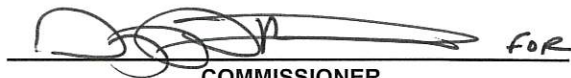
who has filed with the Department a Contractor's Statement of Experience and Financial Condition as required under Indiana Code 8-23-10, is hereby qualified to bid at any Department of Transportation letting in Classes of Work and within the amount and other limitation of each classification as listed below, for such period as the uncompleted work on hand from all sources does not exceed the Aggregate amount. Classification references by name or symbol are in accordance with the definitions in the Contractor's Statement of Experience and Financial Condition. This certificate supersedes any certificate previously issued, but is subject to revision or revocation according to the law, if and when changes in the financial condition of the contracting firm or other facts justify such revision or revocation.

Valid February 11, 2020 Thru January 31, 2021

AGGREGATE .....	\$64,703,000
0998 CLEANING & PAINTING BRIDGES: SSPC QP1 .....	\$64,703,000
E(M) CLEANING & PAINTING BRIDGES: SSPC QP2 .....	\$64,703,000



PREQUALIFICATION ENGINEER



COMMISSIONER





COMMONWEALTH OF KENTUCKY  
TRANSPORTATION CABINET

[www.transportation.ky.gov/](http://www.transportation.ky.gov/)

Andy Beshear  
GOVERNOR

Jim Gray  
SECRETARY

February 20, 2020

Dear Contractor:

The Transportation Cabinet has issued the enclosed Certificate of Eligibility which indicates the maximum amount of work permitted to be under contract at anyone time, as a prime contractor. The Construction Prequalification Committee has approved those types of work for which your organization is considered qualified to bid or accept a subcontract on highway projects in Kentucky.

This certificate will expire at the end of your current fiscal year, or calendar year whichever is applicable as provided by Internal Revenue Service Regulations, but will be extended for 120 days to permit sufficient time for filing new data and renewal of eligibility without loss of bidding capacity.

Please examine the certificate carefully and note that it may not grant eligibility for all of the types of work requested in your application. If you should have reasons to request for reconsideration of types of work permitted by the Transportation Cabinet, please see our website for information and instructions on how to request additional work items.

New prequalified contractors will need to purchase or download the latest edition of the Standard Specifications for Road and Bridge Construction and the Supplemental Specification Manual. First time bidders should pay special attention to Section 102, Bidding Requirements and Conditions, and Section 103, Awards and Execution of Contracts. The Standard Specifications for Road and Bridge Construction and Supplemental Specification Manual may be obtained by visiting the Division of Construction website at [www.transportation.ky.gov/construction/](http://www.transportation.ky.gov/construction/).

Sincerely,

A handwritten signature in blue ink that reads "Shella J Eagle".

Shella J Eagle, Administrative Branch Manager  
Division of Construction Procurement  
Prequalification Branch  
502-782-4815  
[shella.eagle@ky.gov](mailto:shella.eagle@ky.gov)

se/rla  
Enclosure





Certificate No.

A - 2020

01513

## Certificate of Eligibility

Issued By

Commonwealth of Kentucky

Transportation Cabinet

This certifies that NORTH STAR PAINTING COMPANY INC

3526 MCCARTNEY RD

YOUNGSTOWN, OH 44505

is hereby qualified to accept a contract or subcontract on projects of the Department of Highways for such a period as uncompleted work under prime contract at any time does not exceed the aggregate amount of **\$79,436,036**. This certificate which expires **September 30, 2020** is subject to revision or revocation, and is extended to 120 days from this expiration date. An application for renewal of this certificate must be filed within ninety days after the above date.

## Types of Work

118A BRIDGE PAINTING - LEVEL A

Date Issued: February 18, 2020

By

*For [Signature]*  
FOR State Highway Engineer

Department of Highways

## **FORM B-2**

### **INFORMATION ABOUT PROPOSER'S ORGANIZATION**

1.0 Name of Proposer: Kokosing Construction Company

2.0 Name of firm completing **Form B-2**: DLZ Indiana, LLC

Firm's role on Proposer team (check one):

☐ Proposer; ☐ Equity Member; ☒ Major Participant; ☐ Financially Responsible Party ☐ Subcontractor (non-Major Participant)

3.0 Year established: 1916 State/county of organization: Indiana/St. Joseph

4.0 Firm's licenses, registrations and credentials (attach copies): See Attached.

5.0 Name of firm's authorized representative executing **Form B-2**: Ram Rajadhyaksha, PE

6.0 Representative's Title: Vice President

7.0 Representative's Phone No.: 312.286.8808 E-mail address: ramr@dlz.com

8.0 Name of firm's CEO/chairman: Vikram Rajadhyaksha

9.0 Federal Tax ID No.: XXXXXXXXXX

10.0 North American Industry Classification Code: 541380; 541370; 541340; 541330; 541310

11.0 Type of Business Organization (check one):

☐ Corporation; ☐ Partnership; ☐ Joint Venture; ☒ Limited Liability Company;

☐ Other (describe) \_\_\_\_\_

12.0 Business address: 157 East Maryland Street, Indianapolis, Indiana 46204

Headquarters address: 2211 East Jefferson Boulevard, South Bend, Indiana 46615

Location of office performing work: Indianapolis

13.0 Describe firm's role/anticipated scope of work: Structures, traffic modeling, surveying, bridge inspection

- 14.0 How many years (measured from the date of issuance of this RFQ) has the firm completing the form been: (a) in its current line of business; and (b) in business under its present name?

Name	No. of years in business	No. of years under present name
DLZ Indiana, LLC	104	19

- 15.0 Under what other or former names has the entity completing this form operated and during what years?

Name	Year(s)
Chas W. Cole	1916-1938
Chas W. Cole & Son	1938-1964
Chas W. Cole & Son, Inc.	1964-1973
Cole & Associates, Inc.	1973-2000
DLZ Indiana, Inc.	2000-2001

- 16.0 If the firm completing **Form B-2** is a partnership, joint venture or limited liability company, provide the name and role of each partner, joint venturer or member firm, as applicable, in the space below. Complete a separate **Form B-2** and **Form C** (Certification) for each partner, joint venturer and member firm and include in the SOQ.

Name of entity	Role (e.g., partner, joint venturer, member)

- 17.0 If the firm completing Form B-2 is Proposer or a Financially Responsible Party:

If the Proposer organization has already been formed, as an attachment, provide complete copies of the organizational documents and, if available, evidence of Proposer's right to conduct business in the State. If the Proposer organization has not yet been formed, provide draft copies of the underlying agreements, if available. If Proposer is a partnership, joint venture, limited liability company or

other privately held company, include a statement that the Equity Members will be fully liable for performance under the PPA Documents if Proposer is selected

I declare under penalty of perjury under the laws of the State of Indiana that the foregoing declaration is true, correct and accurate.

Executed 11/9/2020.



(Signature)

Ram Rajadhyaksha, PE

(Name Printed)

Vice President

(Title)

DLZ Indiana, LLC

(Name of Firm Signing/Completing Form)

Kokosing Construction Company

(Name of Proposer Team)

**BUSINESS INFORMATION**  
CONNIE LAWSON  
INDIANA SECRETARY OF STATE  
08/02/2019 09:21 AM

#### Business Details

Business Name: **DLZ INDIANA, LLC** Business ID: **2000112000098**  
Entity Type: **Domestic Limited Liability Company** Business Status: **Active**  
Creation Date: **11/17/2000** Inactive Date:  
Principal Office Address: **2211 EAST JEFFERSON BLVD, SOUTH BEND, IN, 46615, USA** Expiration Date: **Perpetual**  
Jurisdiction of Formation: **Indiana** Business Entity Report Due Date: **11/30/2020**  
Years Due:

#### Principal Information

Title	Name	Address
Member	DLZ Indiana, Inc.	2221 EAST JEFFERSON BLVD, South Bend, IN, 46615, USA
Member	DLZ National, Inc.	6121 HUNTLEY ROAD, Columbus, OH, 43229, USA

#### Registered Agent Information

Type: **Business Commercial Registered Agent**  
Name: **CORPORATION SERVICE COMPANY**  
Address: **135 North Pennsylvania Street, Suite 1610, Indianapolis, IN, 46204, USA**



# STATE OF INDIANA

Eric J. Holcomb, Governor

## DEPARTMENT OF ADMINISTRATION Division of Supplier Diversity

Indiana Government Center South  
402 West Washington Street, Room W469  
Indianapolis, IN 46204  
(317) 232 - 3061

April 22, 2020

Mr. Vikram V. Rajadhyaksha, Chairman & CEO  
DLZ Indiana, LLC  
157 East Maryland St.  
Indianapolis, IN 46204

Subject: Application for MBE Certification

Dear Mr. Vikram Rajadhyaksha,

***Congratulations!*** The Indiana Department of Administration, Division of Supplier Diversity is pleased to inform you that **DLZ Indiana, LLC** is hereby certified as a Minority Business Enterprise (MBE).

Your company provides a commercially useful function in the areas listed below. Only work performed in these areas will be counted towards Minority Business Enterprise participation:

### UNSPSC CODE(S)

<i>Code</i>	<i>Description</i>
73181008	Drilling services
76101602	Asbestos decontamination or removal
77000000	Environmental services
81101500	Civil engineering
81101508	Architectural engineering
81101600	Mechanical engineering
81101700	Electrical and electronic engineering
81102200	Transportation engineering
81151604	Land surveying

On September 13, 2010, the Governor's Commission on Minority and Women's Business Enterprises approved the department's effort to streamline its recertification process. Instead of conducting an onsite visit to each company seeking recertification, the department now has the discretion to waive the visit after a thorough review of the company's file and recertification documents. We have approved your recertification and it is valid through **April 30, 2023**. Please note that IDOA continues to reserve the right to conduct a site visit or phone interview at any time to certified companies.

Although your certification is valid for a three-year period, you are required to submit an annual ***Affidavit of Continued Eligibility (ACE)*** form, located at [www.in.gov/idoa/mwbe/files/ACE\\_Form.pdf](http://www.in.gov/idoa/mwbe/files/ACE_Form.pdf). Please remember you must notify us immediately if any changes occur. Failure to notify us of changes or to provide

an ACE form annually will result in revocation of your certification. Changes include, but are not limited to, changes in location, contact information, ownership and control.

We encourage you to visit IDOA's procurement website, [www.in.gov/idoa/2464.htm](http://www.in.gov/idoa/2464.htm), and update your Business Registration Profile. It is important that you review and update your profile regularly, because state purchasing agents and prime contractors may use this information to contact you for business opportunities. For questions regarding your registration profile, you may contact our office at 317-232-3061.

While this letter serves as notification of certification, it does not serve to prove continued eligibility. Please visit [www.in.gov/idoa/mwbe/2743.htm](http://www.in.gov/idoa/mwbe/2743.htm) to verify certification status. Please contact our office at (317) 232-3061 if you have any other questions.

We ask that you please contact our office at (317) 232-3061 or [mwbe@idoa.in.gov](mailto:mwbe@idoa.in.gov) if you have any questions or concerns about your letter.

Sincerely,

COVID-19

*Ralph W. Adams Jr.*

Ralph W. Adams, Jr., Deputy Director of Certifications  
Indiana Department of Administration  
Division of Supplier Diversity

RWA/vc

THIS CERTIFIES THAT

# DLZ Indiana, LLC



\* Nationally certified by the: **MID-STATES MINORITY SUPPLIER DEVELOPMENT COUNCIL**

\* NAICS Code(s): 541380; 541370; 541340; 541330; 541310

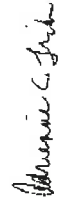
\* Description of their product/services as defined by the North American Industry Classification System (NAICS)

03/08/2020

**Issued Date**

IN01461

**Certificate Number**

  
Adrienne Trimble

03/08/2021

**Expiration Date**

  
Carolyn E. Mosby, President/CEO

By using your password (NMSDC issued only), authorized users may log into NMSDC Central to view the entire profile: <http://nmsdc.org>

[Certify, Develop, Connect, Advocate.](#)

\* MBEs certified by an Affiliate of the National Minority Supplier Development Council, Inc.®



## FORM B-2

### INFORMATION ABOUT PROPOSER'S ORGANIZATION

1.0 Name of Proposer: Kokosing Construction Company, Inc.

2.0 Name of firm completing **Form B-2**: Metric Environmental, LLC

Firm's role on Proposer team (check one):

☐ Proposer; ☐ Equity Member; ☒ Major Participant; ☐ Financially Responsible Party  
☐ Subcontractor (non-Major Participant)

3.0 Year established: 2014 State/county of organization: Indiana

4.0 Firm's licenses, registrations and credentials (attach copies): \_\_\_\_\_

5.0 Name of firm's authorized representative executing **Form B-2**: Vince Epps

6.0 Representative's Title: Vice President/Senior Environmental Scientist

7.0 Representative's Phone No.: 317.608.2724 E-mail address: vincee@metricenv.com

8.0 Name of firm's CEO/chairman: Kenneth Beache, PE

9.0 Federal Tax ID No.: XXXXXXXXXX

10.0 North American Industry Classification Code: 514620, 541330, 562910

11.0 Type of Business Organization (check one):

☐ Corporation; ☐ Partnership; ☐ Joint Venture; ☒ Limited Liability Company;  
☐ Other (describe)

12.0 Business address: 6971 Hillsdale Court, Indianapolis, IN 46250

Headquarters address: 6971 Hillsdale Court, Indianapolis, IN 46250

Location of office performing work: Indianapolis, IN

13.0 Describe firm's role/anticipated scope of work: Environmental Management

- 14.0 How many years (measured from the date of issuance of this RFQ) has the firm completing the form been: (a) in its current line of business; and (b) in business under its present name?

Name	No. of years in business	No. of years under present name
Metric Environmental	4	4

- 15.0 Under what other or former names has the entity completing this form operated and during what years?

Name	Year(s)
n/a	

- 16.0 If the firm completing **Form B-2** is a partnership, joint venture or limited liability company, provide the name and role of each partner, joint venturer or member firm, as applicable, in the space below. Complete a separate **Form B-2** and **Form C** (Certification) for each partner, joint venturer and member firm and include in the SOQ.

Name of entity	Role (e.g., partner, joint venturer, member)
Metric Environmental, LLC	member

- 17.0 If the firm completing Form B-2 is Proposer or a Financially Responsible Party:

If the Proposer organization has already been formed, as an attachment, provide complete copies of the organizational documents and, if available, evidence of Proposer's right to conduct business in the State. If the Proposer organization has not yet been formed, provide draft copies of the underlying agreements, if available. If Proposer is a partnership, joint venture, limited liability company or

other privately held company, include a statement that the Equity Members will be fully liable for performance under the PPA Documents if Proposer is selected

I declare under penalty of perjury under the laws of the State of Indiana that the foregoing declaration is true, correct and accurate.

Executed 11/9/2020.



\_\_\_\_\_  
(Signature)

Vince L. Epps  
\_\_\_\_\_  
(Name Printed)

Vice President  
\_\_\_\_\_  
(Title)

Metric Environmental, LLC  
\_\_\_\_\_  
(Name of Firm Signing/Completing Form)

Kokosing Construction Co.  
\_\_\_\_\_  
(Name of Proposer Team)

# Indiana DBE Certification Program

In accordance with 49 CFR Part 26 as published in the code of federal regulations, the Indiana Department of Transportation acknowledges

**Metric Environmental , LLC**

as a certified DBE in the State of Indiana.

Date Issued:03/24/2017

No Change Affidavit Due:02/28/2021

*E Crawford*

Elizabeth Kiefner Crawford, Director  
Economic Opportunity Division

*Derrick Casson*

Derrick Casson, Certification Manager  
Economic Opportunity Division

(Reference the Indiana Department of Transportation's DBE Public Search at  
<http://www.in.gov/2674.htm> for the most current information regarding this certification)



**Commonwealth of Kentucky**  
**Michael G. Adams, Secretary of State**

Michael G. Adams  
Secretary of State  
P. O. Box 718  
Frankfort, KY 40602-0718  
(502) 564-3490  
<http://www.sos.ky.gov>

**Certificate of Authorization**

Authentication number: 238296  
Visit <https://web.sos.ky.gov/ftshow/certvalidate.aspx> to authenticate this certificate.

I, Michael G. Adams, Secretary of State of the Commonwealth of Kentucky, do hereby certify that according to the records in the Office of the Secretary of State,

**METRIC ENVIRONMENTAL LLC**

, a limited liability company authorized under the laws of the state of Indiana, is authorized to transact business in the Commonwealth of Kentucky, and received the authority to transact business in Kentucky on March 23, 2016.

I further certify that all fees and penalties owed to the Secretary of State have been paid; that an application for certificate of withdrawal has not been filed; and that the most recent annual report required by KRS 14A.6-010 has been delivered to the Secretary of State.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my Official Seal at Frankfort, Kentucky, this 11<sup>th</sup> day of November, 2020, in the 229<sup>th</sup> year of the Commonwealth.



*Michael G. Adams*

Michael G. Adams  
Secretary of State  
Commonwealth of Kentucky  
238296/0947922

**State of Indiana**  
**Office of the Secretary of State**

**Certified Copies**

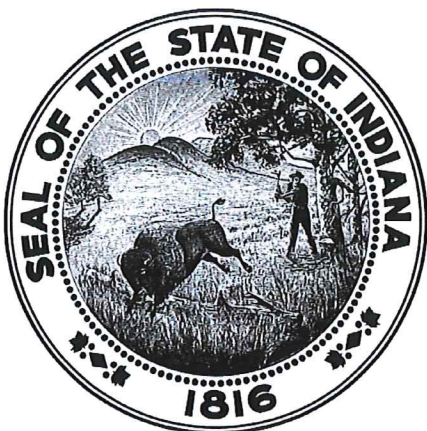
To Whom These Presents Come, Greeting:

I, CONNIE LAWSON, Secretary of State of Indiana, do hereby certify that I am, by virtue of the laws of the State of Indiana, the custodian of the corporate records and the proper official to execute this certificate.

I further certify that this is a true and complete copy of this 2 page document consisting of the following records filed in this office:

Certification Date: November 11, 2020  
Business Name: METRIC ENVIRONMENTAL LLC  
Business ID: 2014121900149

Transaction	Date Filed	No. of pages
Articles of Organization	12/19/2014	2
Total No. of pages		2



In Witness Whereof, I have caused to be affixed my signature and the seal of the State of Indiana, at the City of Indianapolis, November 11, 2020

*Connie Lawson*

CONNIE LAWSON  
SECRETARY OF STATE

2014121900149 / 13214206

All certificates should be validated here: <https://bsd.sos.in.gov/ValidateCertificate>

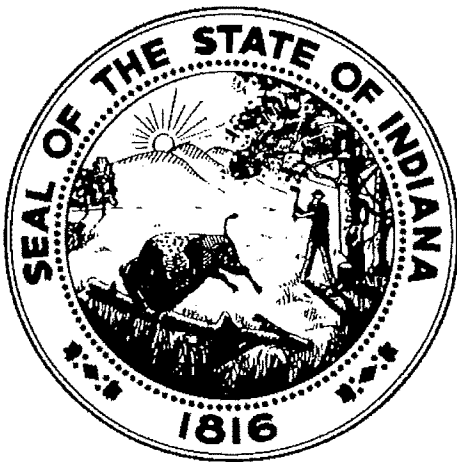
Expires on December 11, 2020.

State of Indiana  
Office of the Secretary of State

CERTIFICATE OF ORGANIZATION  
of  
METRIC ENVIRONMENTAL, LLC

I, Connie Lawson, Secretary of State of Indiana, hereby certify that Articles of Organization of the above Domestic Limited Liability Company (LLC) has been presented to me at my office, accompanied by the fees prescribed by law and that the documentation presented conforms to law as prescribed by the provisions of the Indiana Business Flexibility Act.

NOW, THEREFORE, with this document I certify that said transaction will become effective Friday, December 19, 2014.



In Witness Whereof, I have caused to be affixed my signature and the seal of the State of Indiana, at the City of Indianapolis, December 19, 2014

*Connie Lawson*

CONNIE LAWSON,  
SECRETARY OF STATE

2014121900149 / 2014121900149

APPROVED AND FILED  
CONNIE LAWSON  
INDIANA SECRETARY OF STATE  
12/19/2014 9:20 AM

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**ARTICLES OF ORGANIZATION**

---

Formed pursuant to the provisions of the Indiana Business Flexibility Act.

---

**ARTICLE I - NAME AND PRINCIPAL OFFICE**

---

METRIC ENVIRONMENTAL, LLC

6510 FALL CREEK ROAD, INDIANAPOLIS, IN 46220

---

**ARTICLE II - REGISTERED OFFICE AND AGENT**

---

MARIE BOONE  
2222 CUNNINGHAM ROAD, INDIANAPOLIS, IN 46224

The Signator represents that the registered agent named in the application has consented to the appointment of registered agent.

---

**ARTICLE III – GENERAL INFORMATION**

---

What is the latest date upon which the entity is to Perpetual  
dissolve?:

Who will the entity be managed by?: Managers

Effective Date: 12/19/2014

Electronic Signature: KENNETH BEACHE



## FORM B-2

### INFORMATION ABOUT PROPOSER'S ORGANIZATION

1.0 Name of Proposer: Kokosing Construction Company

2.0 Name of firm completing **Form B-2**: Modjeski and Masters, Inc.

Firm's role on Proposer team (check one):

- ☐ Proposer; ☐ Equity Member; ☐ Major Participant; ☐ Financially Responsible Party  
☒ Subcontractor (non-Major Participant)

3.0 Year established: 1893 State/county of organization: Pennsylvania

4.0 Firm's licenses, registrations and credentials (attach copies): See attached

5.0 Name of firm's authorized representative executing **Form B-2**: Michael F. Britt

6.0 Representative's Title: President and CEO

7.0 Representative's Phone No.: (717) 790-9565 x10404 E-mail address: mfbritt@modjeski.com

8.0 Name of firm's CEO/chairman: See above

9.0 Federal Tax ID No.: XXXXXXXXXX

10.0 North American Industry Classification Code: 541330

11.0 Type of Business Organization (check one):

- ☒ Corporation; ☐ Partnership; ☐ Joint Venture; ☐ Limited Liability Company;  
☐ Other (describe) \_\_\_\_\_

12.0 Business address: 100 Sterling Parkway, Suite 302, Mechanicsburg, PA 17050

Headquarters address: See business address

Location of office performing work: See business address

13.0 Describe firm's role/anticipated scope of work: Sherman Minton Bridge hanger replacements, Structural steel repairs design and detailing, and Independent modeling for the Sherman Minton Bridge and coordination with Jacobs

14.0 How many years (measured from the date of issuance of this RFQ) has the firm completing the form been: (a) in its current line of business; and (b) in business under its present name?

Name	No. of years in business	No. of years under present name
Modjeski and Masters, Inc.	1893	30 Years

15.0 Under what other or former names has the entity completing this form operated and during what years?

Name	Year(s)
Modjeski and Masters	1924-1990
Ralph Modjeski ( <i>under a variety of different names per project</i> )	1893-1924

16.0 If the firm completing **Form B-2** is a partnership, joint venture or limited liability company, provide the name and role of each partner, joint venturer or member firm, as applicable, in the space below. Complete a separate **Form B-2** and **Form C** (Certification) for each partner, joint venturer and member firm and include in the SOQ.

Name of entity	Role (e.g., partner, joint venturer, member)
N/A	

17.0 If the firm completing Form B-2 is Proposer or a Financially Responsible Party:

If the Proposer organization has already been formed, as an attachment, provide complete copies of the organizational documents and, if available, evidence of Proposer's right to conduct business in the State. If the Proposer organization has not yet been formed, provide draft copies of the underlying agreements, if available. If Proposer is a partnership, joint venture, limited liability company or other privately held company, include a statement that the Equity Members will be fully liable for performance under the PPA Documents if Proposer is selected

I declare under penalty of perjury under the laws of the State of Indiana that the foregoing declaration is true, correct and accurate.

Executed Michael F. Britt.

*Michael F. Britt*

\_\_\_\_\_  
(Signature)

*Michael F. Britt*

\_\_\_\_\_  
(Name Printed)

*President & CEO*

\_\_\_\_\_  
(Title)

*Modjeski and Masters, Inc.*

\_\_\_\_\_  
(Name of Firm Signing/Completing Form)

*Kokosing Construction Company*

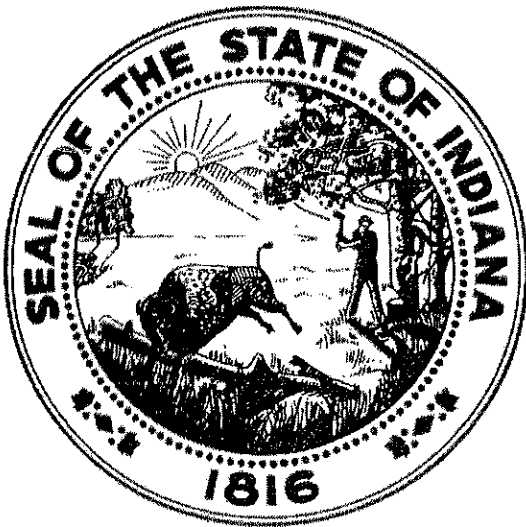
\_\_\_\_\_  
(Name of Proposer Team)

**State of Indiana  
Office of the Secretary of State**

**CERTIFICATE OF AUTHORITY  
of  
MODJESKI AND MASTERS, INC.**

I, TODD ROKITA, Secretary of State of Indiana, hereby certify that Application for Certificate of Authority of the above Pennsylvania For-Profit Foreign Corporation has been presented to me at my office, accompanied by the fees prescribed by law and that the documentation presented conforms to law as prescribed by the provisions of the Indiana Business Corporation Law.

NOW, THEREFORE, with this document I certify that said transaction will become effective Monday, July 16, 2007.



In Witness Whereof, I have caused to be affixed my signature and the seal of the State of Indiana, at the City of Indianapolis, July 16, 2007.

A handwritten signature in cursive script that reads "Todd Rokita".

TODD ROKITA,  
SECRETARY OF STATE



# Kentucky Board of Engineers & Land Surveyors

[ABOUT](#)

[GETTING  
LICENSED](#)

[CONSUMER  
INFORMATION](#)

[ALREADY  
LICENSED?](#)

[CONTACT US](#)

[CALENDAR OF  
EVENTS](#)

Search for Kentucky Licensees

**Legal Name:** Modjeski & Masters, Inc.

**Engineering Permit:** Number: 165  
Status: Current  
Issue Date: 03/29/1993  
Expiration Date: 12/31/2020

**Land Surveying Permit:**

**Address of Record:** 100 Sterling Parkway  
Suite 302  
Mechanicsburg, PA 17050

**Responsible Charge(s):** Responsible Charge(s):

FirstName	LastName	PE	LS	PE Status	LS Status
<a href="#">Select</a>	Thomas	Murphy	29880	Current	

**Disciplinary Action?:**



# Licensing Information

Tuesday, August 25, 2020

11:04:00 AM

Modjeski and Masters, Inc.

Client Name	License Type	License No.
Alabama Department of Transportation	Certificate of Authorization	CA 363 E
Arizona Department of Transportation	Registration Number	21067
Arkansas State Highway and Transportation Department	Certificate of Authorization	2337
City of Baltimore	Certificate of Prequalification	228
City of Charleston, WV	Business	1283613
City of New Orleans	Occupational License	175932
City of Philadelphia	Commercial Activity License	594492
	City of Philadelphia Tax Account	6306310
City of St. Louis	Business License	LC9921713
Colorado Department of Transportation	Secretary of State Periodic Report	-
Connecticut Department of Transportation	Professional Engineering Corporation	0000518
Delaware Department of Transportation	Certificate of Authorization	65
	Licensed Corporation	1992101136
District of Columbia - DOT	Business License	400316900877
	Certificate of Authorization	230197
Florida Department of Transportation	License to Perform Engineering	00008823
Georgia	License to Perform Engineering	PEF001692
Idaho Transportation Department	Certificate of Authorization	3289
	Certificate of Authority	C204942
Illinois Department of Transportation	Professional Design Firm	184001502
	IDHR Public Contract No.	111336-00
Indiana DOT	Certificate of Authority	2007071600267
Kansas Department of Transportation	Certificate of Authority	4615456
	Certificate of Authorization	E-2371
Kentucky Transportation Cabinet	Licensed to Perform Engineering	165
Louisiana Department of Transportation	C of A	EF.0000570
	Occupational License	175932
Maryland State Highway Administration	Firm Permit	47977
Michigan Department of Transportation	Certificate of Authority	844839
Mississippi Department of Transportation	Certificate of Authority	E00001168
Missouri Department Of Transportation	License Corporation	001416
Montana DOT	C of A	F073987-519654
Nebraska Department of Roads	Certificate of Authorization	CA4398
New Jersey Department Of Transportation	Certificate of Authorization	24GA28045800
North Carolina Department Of Transportation	Licensed to Perform Engineering	C-2979
North Dakota Department of Transportation	Certificate of Authority	412 PE
Ohio Department of Transportation	Certificate of Authorization	01922
Oklahoma Department Of Transportation	Licensed to Perform Engineering	CA 2533
Ontario Canada	Certificate of Authorization	100212264
Oregon Department of Transportation	Foreign Business Corporation	343168-85
Professional Engineers Ontario	Certificate of Authorization	100212264
Rhode Island Department of Transportation	Certificate of Authority	5129
	Secretary of State ID Number	000072375

South Carolina DOT	Certificate of Authorization	1043
South Dakota Department of Transportation	Certificate of Authorization	C-7639
Tennessee DOT	Certificate of Authority	000583086
	Certificate of Authorization	5531
Texas Department of Transportation	Firm Registration	F-12185
Virginia Department Of Transportation	Licensed to Perform Engineering	0407 001136
West Virginia Department of Transportation	Certificate of Authorization	C00837-00
	City of Charleston Business License	1342527
Wyoming Department of Transportation	Certificate of Authorization	E-1367

# Information About Major Participants and Identified Subcontractors

■ Form B-3



VOLUME 1



**FORM B-3**

**INFORMATION ABOUT MAJOR PARTICIPANTS AND IDENTIFIED  
SUBCONTRACTORS**

*[This form will be used to provide information about any Major Participants (excluding Equity Members that do not fall into categories (a) through (e) of the definition of Major Participant) and any other Subcontractors that have been identified as of the Proposal Due Date.]*

Proposer Name Kokosing Construction Company, Inc.

<b>Name of Entity and Contact Information (address, representative, phone, fax, email)</b>	<b>Address of Head Office</b>	<b>INDOT/KYTC Pre-qualification Categories (Specify Which)</b>	<b>Description of Work/Services To Be Performed By Entity (if applicable)</b>	<b>Major Participant (Y/N)</b>
Kokosing Construction Company, Inc.  6235 Westerville Road, Westerville, OH 43081  Kevin Ohl, PE, DBIA  (T) 614.228.1029 (F) 740.957.9239 <a href="mailto:kao@kokosing.biz">kao@kokosing.biz</a>	6235 Westerville Road Westerville, OH 43081	INDOT Certificate of Qualification for at least \$150 million in the aggregate  \$Unlimited in the following INDOT construction prequalification work type: D (a) and D (c)  INDOT Prequalification Work Type A (a), E (I)	Design-Build Contractor	Y
Jacobs Engineering Group Inc.  501 North Broadway St. Louis, MO 63102	Jacobs Engineering Group Inc. 1999 Bryan Street, Suite 1200	INDOT Prequalification categories 3.2, 8.2, 9.1, 9.2, 10.1, 10.3, 10.4, 10.5, 10.6, 14.5 and 17.3	Lead design firm	Y

Michael McCarty  (M)618.541.7776 (F)314.335.5104 <a href="mailto:Michael.mccarty@jacobs.com">Michael.mccarty@jacobs.com</a>	Dallas, TX 75201	KYTC Prequalification Certification in Bridge Spans Less than 500 feet and Bridge Spans Greater than 500 feet		
North Star Painting Co. Inc.  3526 McCartney Road Youngstown, OH 44505  Ioannis Kalouris (T) 330.743.2333 (F) 330.743.3434 <a href="mailto:Yiannik10@outlook.com">Yiannik10@outlook.com</a>	3526 McCartney Road Youngstown, OH 44505	INDOT Prequalification Work Type E (m)	Install platform and containment, clean and paint existing structural steel	Y
DLZ Indiana, LLC  157 East Maryland Street, Indianapolis, IN 46204  Ram Rajadhyaksha, PE (T) 312.286.8808	2211 East Jefferson Boulevard, South Bend, IN 46615	6.1 Topographic Survey Data Collection  7.1 Geotechnical Engineering Services  14.1 Regular Bridge Inspections	Structures, traffic modeling, surveying, bridge inspections	Y

(F) 317.633.4177 <a href="mailto:ramr@dlz.com">ramr@dlz.com</a>		14.2 Complex Bridge Inspections 16.1 Utility Coordination 18.1 Pavement Analysis and Design		
Metric Environmental, LLC 6971 Hillsdale Court Indianapolis, IN 46250 Vince L. Epps (T) (F) 317.608.2724 <a href="mailto:vincee@metrice nv.com">vincee@metrice nv.com</a>	6971 Hillsdale Court, Indianapolis, IN 46250	INDOT 5.6, 5.9, 5.10 KYTC – Historic Archaeology, Prehistoric Archaeology, Cultural Historic Analysis, Stream and Wetland Mitigation, Water Quality, Wetlands	Environmental Management	Y
Modjeski and Masters, Inc. 100 Sterling Parkway, suite 302, Mechanicsburg, PA 17050 Daniel McCaffrey, PE (T) 717.790.9565 (F) 717.790.9564 <a href="mailto:demccaffrey@modjeski.com">demccaffrey@modjeski.com</a>	100 Sterling Parkway, Suite 302, Mechanicsburg, PA 17050	9.1 Level 1 Bridge Design 9.2 Level 2 Bridge Design 14.2 Complex Bridge Inspection 14.5 Bridge Load Capacity Rating and Other Bridge Analysis/Testing	Sherman Minton Bridge hanger replacements, structural steel repairs design and detailing, and independent modeling for the Sherman Minton Bridge and coordination with Jacobs	N



If any Major Participant or Subcontractor identified above is a single purpose entity formed for the Project, complete the following matrix for each such single purpose entity:

Name of Major Participant/Subcontractor	Form of Entity (partnership, joint venture, LLC, corporation, etc.)	Entities with Ownership Interest	Percentage of Ownership Interest
Not Applicable			

Add additional sheet(s) as necessary.

The undersigned Proposer hereby certifies that it has not entered into any substantive negotiations with Major Participants resulting in an agreement to enter into any Contracts with respect to the Project, except for those listed above. Proposer agrees that it will follow applicable PPA Documents requirements with respect to Subcontractors. Proposer further declares that it has carefully examined the RFP Documents and acknowledges that IFA has determined that a Proposer's efforts to obtain participation by Subcontractors could reasonably be expected to produce 6% Disadvantaged Business Enterprises participation of the Contract Price for the professional services and construction portions of the Work.

I declare under penalty of perjury under the laws of the State of Indiana that the foregoing declaration is true, correct and accurate.

Executed November 11, 2020.

(Signature)

Kevin Ohl, PE, DBIA

(Name Printed)

Vice President Engineering and Alternative Delivery

(Title)

Kokosing Construction Company, Inc.

(Proposer)

# Responsible Proposer and Major Participant Questionnaire

■ Form C-1



VOLUME 1

## FORM C-1

### RESPONSIBLE PROPOSER, EQUITY MEMBER, MAJOR PARTICIPANT AND FINANCIALLY RESPONSIBLE PARTY QUESTIONNAIRE

PROPOSER'S NAME: Kokosing Construction Company, Inc.

NAME OF ENTITY

PROVIDING THIS FORM: Kokosing Construction Company, Inc.

#### Questions

Proposer/Equity Member/Major Participant shall respond either "yes" or "no" to each of the following questions. If the response is "yes" to any question(s), a detailed explanation of the circumstances shall be provided in the space following the questions. Proposer/Equity Member/Major Participant shall attach additional documentation as necessary to fully explain said circumstances. Failure to either respond to the questions or provide adequate explanations may preclude consideration of the proposal and require its rejection. For a limited partnership, Equity Member means the general partners only.

The term "**affiliate**" means a parent company at any tier, subsidiary company at any tier, entity under common ownership, joint venture or partnership involving such entities (but only as to activities of a joint venture or partnership involving Proposer, any Equity Member or any Major Participant as a joint venturer or partner and not to activities of other joint venturers or partners not involving Proposer, any Equity Member or any Major Participant), and other financially liable party for the entity, that within the past five years (measured from the date of issuance of the RFQ) have engaged in business or investment in North America. The information sought for affiliates is limited to the projects and matters that have occurred within the past five years (measured from the date of issuance of the RFQ) in North America. For a limited partnership, Equity Member means the general partners only. Common ownership does not include the holding of stock in a publicly traded company unless such stock ownership is a majority position or results in control of the affected entity.

Has the identified entity, any affiliate, or any officer, director, responsible managing officer or responsible managing employee of such entity or affiliate who has a proprietary interest in such entity:

1. Sought protection under any provision of any bankruptcy act within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes ☐ No ☒

2. Been disqualified, removed, debarred or suspended from performing work for the federal government, any state or local government, or any foreign governmental entity within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

3. Been indicted or convicted of bid (i.e., fraud, bribery, collusion, conspiracy, antitrust, etc.) or other contract related crimes or violations or any other felony or serious misdemeanor within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

4. Been found, adjudicated or determined by any federal or state court or agency (including, but not limited to, the Equal Employment Opportunity Commission, the Office of Federal Contract Compliance Programs and any applicable Indiana governmental agency) to have violated any laws or Executive Orders relating to employment discrimination or affirmative action, including but not limited to Title VII of the Civil Rights Act of 1964, as amended (42 U.S.C. Sections 2000e *et seq.*); the Equal Pay Act (29 U.S.C. Section 206(d)); and any applicable or similar Indiana law within the past 10 years (measured from the date of issuance of the RFQ).

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

5. Been found, adjudicated, or determined by any state court, state administrative agency, including, but not limited to, the Indiana Department of Labor, federal court or federal agency, to have violated or failed to comply with any law or regulation of the United States or any state governing any of common construction wages, (prevailing wages) (including but not limited to payment for health and welfare, pension, vacation, travel time, subsistence, apprenticeship or other training, or other fringe benefits) or overtime compensation within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

6. Been convicted of violating a state or federal law respecting the employment of undocumented aliens within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

7. Been found liable in a civil suit or found guilty in a criminal action for making any false claim or other material misrepresentation to a public entity within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, as to each such inquiry, state the name of the public agency, the date of the inquiry, the grounds on which the public agency based the inquiry, and the result of the inquiry. If no, so state.

Yes \_\_\_\_ No X

8. Performed or managed any construction project that involved serious, repeated or multiple failures to comply with safety rules, regulations, or requirements within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please identify the team members and the projects, provide an explanation of the circumstances, and provide owner contact information including telephone numbers and e-mail addresses. If no, so state.

Yes \_\_\_\_ No X

9. With respect to questions 1 – 8 above, if not previously answered or included in a prior response on this form, been involved in any proceeding, claim, matter, suit, indictment, etc. currently pending against the firm that could result in the firm being found liable, guilty or in violation of the matters referenced above and/or subject to debarment, suspension, removal or disqualification by the federal government, any state or local government, or any foreign governmental entity?

If yes, please explain and provide the information requested as to such similar items set forth in the matters referenced above on separate sheets attached hereto. If no, so state.

Yes \_\_\_\_ No X

10. Had any instance where it submitted a bid on a public works project and found to be nonresponsive or found by an awarding body not to be a responsible bidder?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

11. Had any settled adverse claim, dispute or lawsuit with the owner of a public works project during the last five years in which the claim, settlement or judgment exceeded fifty thousand dollars (\$50,000)?

If yes, please explain the circumstances, including the amount of the claim, settlement or judgment and other details. If no, so state.



Yes \_\_\_\_ No X

12. Had liquidated damages assessed against it during or after completion of a contract in the last five years?

If yes, please explain the circumstances, including the amount and basis for the assessment (e.g., \$X total at \$Y/day). If no, so state.

Yes X No \_\_\_\_

ODOT Project 160500 Ottawa County SR53 has been assessed \$113,200 in liquidated damages due to subcontractor Velotta Bridge Company's failure to complete its work within the original contract completion date, through no fault of Kokosing. Kokosing completed the remainder of the project three months before the project completion date.

ODOT Project 120599 Hamilton I-75 (Hopple Street) was assessed \$630,621 in liquidated damages.

13. Had a surety for the firm or any affiliate complete a contract on the entity's behalf or paid for completion because the entity was in default or terminated by the project owner within the last five years?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

14. Had any license, credential, or registration revoked or suspended in the past five years?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

Explain the circumstances underlying any "yes" answers for the aforementioned questions on separate sheets attached hereto.

Verification / Declaration

I declare under penalty of perjury under the laws of the State of Indiana that the foregoing declaration is true, correct and accurate.

Executed November 9, 2020.



(Signature)

Kevin Ohi, PE,  
DBIA

(Name Printed)

Vice President Engineering and Alternative  
Delivery

(Title)

Kokosing Construction Company, Inc.  
(Name of Organization)

**FORM C-1**

**RESPONSIBLE PROPOSER, EQUITY MEMBER, MAJOR PARTICIPANT AND  
FINANCIALLY RESPONSIBLE PARTY QUESTIONNAIRE**

PROPOSER'S NAME: Kokosing Construction Company, Inc.

NAME OF ENTITY

PROVIDING THIS FORM: Kokosing, Inc.

**Questions**

Proposer/Equity Member/Major Participant shall respond either "yes" or "no" to each of the following questions. If the response is "yes" to any question(s), a detailed explanation of the circumstances shall be provided in the space following the questions. Proposer/Equity Member/Major Participant shall attach additional documentation as necessary to fully explain said circumstances. Failure to either respond to the questions or provide adequate explanations may preclude consideration of the proposal and require its rejection. For a limited partnership, Equity Member means the general partners only.

The term "**affiliate**" means a parent company at any tier, subsidiary company at any tier, entity under common ownership, joint venture or partnership involving such entities (but only as to activities of a joint venture or partnership involving Proposer, any Equity Member or any Major Participant as a joint venturer or partner and not to activities of other joint venturers or partners not involving Proposer, any Equity Member or any Major Participant), and other financially liable party for the entity, that within the past five years (measured from the date of issuance of the RFQ) have engaged in business or investment in North America. The information sought for affiliates is limited to the projects and matters that have occurred within the past five years (measured from the date of issuance of the RFQ) in North America. For a limited partnership, Equity Member means the general partners only. Common ownership does not include the holding of stock in a publicly traded company unless such stock ownership is a majority position or results in control of the affected entity.

Has the identified entity, any affiliate, or any officer, director, responsible managing officer or responsible managing employee of such entity or affiliate who has a proprietary interest in such entity:

1. Sought protection under any provision of any bankruptcy act within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes ☐ No ☒

2. Been disqualified, removed, debarred or suspended from performing work for the federal government, any state or local government, or any foreign governmental entity within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

3. Been indicted or convicted of bid (i.e., fraud, bribery, collusion, conspiracy, antitrust, etc.) or other contract related crimes or violations or any other felony or serious misdemeanor within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

4. Been found, adjudicated or determined by any federal or state court or agency (including, but not limited to, the Equal Employment Opportunity Commission, the Office of Federal Contract Compliance Programs and any applicable Indiana governmental agency) to have violated any laws or Executive Orders relating to employment discrimination or affirmative action, including but not limited to Title VII of the Civil Rights Act of 1964, as amended (42 U.S.C. Sections 2000e *et seq.*); the Equal Pay Act (29 U.S.C. Section 206(d)); and any applicable or similar Indiana law within the past 10 years (measured from the date of issuance of the RFQ).

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

5. Been found, adjudicated, or determined by any state court, state administrative agency, including, but not limited to, the Indiana Department of Labor, federal court or federal agency, to have violated or failed to comply with any law or regulation of the United States or any state governing any of common construction wages, (prevailing wages) (including but not limited to payment for health and welfare, pension, vacation, travel time, subsistence, apprenticeship or other training, or other fringe benefits) or overtime compensation within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

6. Been convicted of violating a state or federal law respecting the employment of undocumented aliens within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

7. Been found liable in a civil suit or found guilty in a criminal action for making any false claim or other material misrepresentation to a public entity within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, as to each such inquiry, state the name of the public agency, the date of the inquiry, the grounds on which the public agency based the inquiry, and the result of the inquiry. If no, so state.

Yes \_\_\_\_ No X

8. Performed or managed any construction project that involved serious, repeated or multiple failures to comply with safety rules, regulations, or requirements within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please identify the team members and the projects, provide an explanation of the circumstances, and provide owner contact information including telephone numbers and e-mail addresses. If no, so state.

Yes \_\_\_\_ No X

9. With respect to questions 1 – 8 above, if not previously answered or included in a prior response on this form, been involved in any proceeding, claim, matter, suit, indictment, etc. currently pending against the firm that could result in the firm being found liable, guilty or in violation of the matters referenced above and/or subject to debarment, suspension, removal or disqualification by the federal government, any state or local government, or any foreign governmental entity?

If yes, please explain and provide the information requested as to such similar items set forth in the matters referenced above on separate sheets attached hereto. If no, so state.

Yes \_\_\_\_ No X

10. Had any instance where it submitted a bid on a public works project and found to be nonresponsive or found by an awarding body not to be a responsible bidder?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

11. Had any settled adverse claim, dispute or lawsuit with the owner of a public works project during the last five years in which the claim, settlement or judgment exceeded fifty thousand dollars (\$50,000)?

If yes, please explain the circumstances, including the amount of the claim, settlement or judgment and other details. If no, so state.

Yes \_\_\_\_ No X

12. Had liquidated damages assessed against it during or after completion of a contract in the last five years?

If yes, please explain the circumstances, including the amount and basis for the assessment (e.g., \$X total at \$Y/day). If no, so state.

Yes \_\_\_\_ No X

13. Had a surety for the firm or any affiliate complete a contract on the entity's behalf or paid for completion because the entity was in default or terminated by the project owner within the last five years?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

14. Had any license, credential, or registration revoked or suspended in the past five years?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

Explain the circumstances underlying any "yes" answers for the aforementioned questions on separate sheets attached hereto.

#### Verification / Declaration

I declare under penalty of perjury under the laws of the State of Indiana that the foregoing declaration is true, correct and accurate.

Executed November 9, 2020.



(Signature)

Timothy J. Freed

(Name Printed)

Chief Financial Officer / Treasurer

(Title)

Kokosing Construction Company, Inc.

(Name of Organization)

## FORM C-1

### RESPONSIBLE PROPOSER, EQUITY MEMBER, MAJOR PARTICIPANT AND FINANCIALLY RESPONSIBLE PARTY QUESTIONNAIRE

PROPOSER'S NAME: Kokosing Construction Company, Inc.

NAME OF ENTITY

PROVIDING THIS FORM: Jacobs Engineering Group Inc.

#### Questions

Proposer/Equity Member/Major Participant shall respond either "yes" or "no" to each of the following questions. If the response is "yes" to any question(s), a detailed explanation of the circumstances shall be provided in the space following the questions. Proposer/Equity Member/Major Participant shall attach additional documentation as necessary to fully explain said circumstances. Failure to either respond to the questions or provide adequate explanations may preclude consideration of the proposal and require its rejection. For a limited partnership, Equity Member means the general partners only.

The term "**affiliate**" means a parent company at any tier, subsidiary company at any tier, entity under common ownership, joint venture or partnership involving such entities (but only as to activities of a joint venture or partnership involving Proposer, any Equity Member or any Major Participant as a joint venturer or partner and not to activities of other joint venturers or partners not involving Proposer, any Equity Member or any Major Participant), and other financially liable party for the entity, that within the past five years (measured from the date of issuance of the RFQ) have engaged in business or investment in North America. The information sought for affiliates is limited to the projects and matters that have occurred within the past five years (measured from the date of issuance of the RFQ) in North America. For a limited partnership, Equity Member means the general partners only. Common ownership does not include the holding of stock in a publicly traded company unless such stock ownership is a majority position or results in control of the affected entity.

Has the identified entity, any affiliate, or any officer, director, responsible managing officer or responsible managing employee of such entity or affiliate who has a proprietary interest in such entity:

1. Sought protection under any provision of any bankruptcy act within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes ☐ No ☒



2. Been disqualified, removed, debarred or suspended from performing work for the federal government, any state or local government, or any foreign governmental entity within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X See Attachment A

3. Been indicted or convicted of bid (i.e., fraud, bribery, collusion, conspiracy, antitrust, etc.) or other contract related crimes or violations or any other felony or serious misdemeanor within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X See Attachment A

4. Been found, adjudicated or determined by any federal or state court or agency (including, but not limited to, the Equal Employment Opportunity Commission, the Office of Federal Contract Compliance Programs and any applicable Indiana governmental agency) to have violated any laws or Executive Orders relating to employment discrimination or affirmative action, including but not limited to Title VII of the Civil Rights Act of 1964, as amended (42 U.S.C. Sections 2000e *et seq.*); the Equal Pay Act (29 U.S.C. Section 206(d)); and any applicable or similar Indiana law within the past 10 years (measured from the date of issuance of the RFQ).

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X See Attachment A

5. Been found, adjudicated, or determined by any state court, state administrative agency, including, but not limited to, the Indiana Department of Labor, federal court or federal agency, to have violated or failed to comply with any law or regulation of the United States or any state governing any of common construction wages, (prevailing wages) (including but not limited to payment for health and welfare, pension, vacation, travel time, subsistence, apprenticeship or other training, or other fringe benefits) or overtime compensation within the past 10 years (measured from the date of issuance of the RFQ)? If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X See Attachment A

6. Been convicted of violating a state or federal law respecting the employment of undocumented aliens within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

7. Been found liable in a civil suit or found guilty in a criminal action for making any false claim or other material misrepresentation to a public entity within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, as to each such inquiry, state the name of the public agency, the date of the inquiry, the grounds on which the public agency based the inquiry, and the result of the inquiry. If no, so state.

Yes \_\_\_\_ No X See Attachment A

8. Performed or managed any construction project that involved serious, repeated or multiple failures to comply with safety rules, regulations, or requirements within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please identify the team members and the projects, provide an explanation of the circumstances, and provide owner contact information including telephone numbers and e-mail addresses. If no, so state.

Yes \_\_\_\_ No X See Attachment A

9. With respect to questions 1 – 8 above, if not previously answered or included in a prior response on this form, been involved in any proceeding, claim, matter, suit, indictment, etc. currently pending against the firm that could result in the firm being found liable, guilty or in violation of the matters referenced above and/or subject to debarment, suspension, removal or disqualification by the federal government, any state or local government, or any foreign governmental entity?

If yes, please explain and provide the information requested as to such similar items set forth in the matters referenced above on separate sheets attached hereto. If no, so state.

Yes \_\_\_\_ No X See Attachment A

10. Had any instance where it submitted a bid on a public works project and found to be nonresponsive or found by an awarding body not to be a responsible bidder?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X See Attachment A

11. Had any settled adverse claim, dispute or lawsuit with the owner of a public works project during the last five years in which the claim, settlement or judgment exceeded fifty thousand dollars (\$50,000)?

If yes, please explain the circumstances, including the amount of the claim, settlement or judgment and other details. If no, so state.

Yes ☐ No ☒ See Attachment A

12. Had liquidated damages assessed against it during or after completion of a contract in the last five years?

If yes, please explain the circumstances, including the amount and basis for the assessment (e.g., \$X total at \$Y/day). If no, so state.

Yes ☐ No ☒ See Attachment A

13. Had a surety for the firm or any affiliate complete a contract on the entity's behalf or paid for completion because the entity was in default or terminated by the project owner within the last five years?

If yes, please explain the circumstances. If no, so state.

Yes ☐ No ☒

14. Had any license, credential, or registration revoked or suspended in the past five years?

If yes, please explain the circumstances. If no, so state.

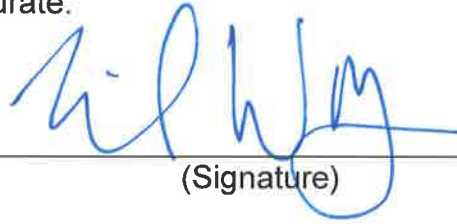
Yes ☐ No ☒ See Attachment A

Explain the circumstances underlying any "yes" answers for the aforementioned questions on separate sheets attached hereto.

Verification / Declaration

I declare under penalty of perjury under the laws of the State of Indiana that the foregoing declaration is true, correct and accurate.

Executed 11-6, 2020.



(Signature)

Michael McCarty

(Name Printed)

Vice President Missouri Operations

(Title)

Jacobs Engineering Group Inc.

(Name of Organization)

**Attachment A to Form C-1**  
**REVISED**  
**JACOBS ENGINEERING GROUP INC.**  
**NOVEMBER 4, 2020**

Jacobs Engineering Group Inc. and its related companies and affiliates form a global organization of over 300 subsidiaries and affiliate companies, in excess of 30,000 employees worldwide and revenues approaching \$13 billion that has the technical, financial, and professional qualifications and resources to deliver the Sherman Minton Corridor Project. As a publicly traded company, Jacobs Engineering Group Inc.'s annual reports, SEC filings, and Proxy reports can be found at <http://invest.JEG.com/investors/JEG-Filings/default.aspx>.

Due to the size and breadth of its operations, the following responses pertain only as to the parent, Jacobs Engineering Group Inc., ("JEG") at this time. Please feel free to contact us for further clarification. JEG has conducted a review of its internal record-keeping systems in order to provide the following responses and in an effort to include accurate and relevant information:

**Form C-1 Response**

**Question 2:**

From time to time in the ordinary course of business, clients will cancel or suspend projects, based on reasons that do not involve or are caused by JEG. JEG has not been debarred in the last ten years. To the best of its actual knowledge JEG has not been disqualified, removed or suspended for cause for performing work for the federal government, any state or local government or any foreign governmental entity with the last 10 years.

Note however on September 22, 2020, Jacobs Engineering Group Inc voluntarily agreed not to pursue any new non-competitively bid contracts with the City of Philadelphia (City) until after January 31, 2021. This agreement was due to an acquired entity's inadvertent non-compliance with the City's regulations on reporting political and other contributions from 2014 to 2018. The City did not suspend, debar, or declare Jacobs to be ineligible and Jacobs made no admission of fault. Under the agreement, Jacobs is allowed to continue its work on existing contracts and the agreement is not expected to have a materially adverse effect on the Company's consolidated financial statements.

**Question 3:**

Based on information and belief, JEG, nor any of its officers or directors, has been indicted or convicted of bid or other contract related crimes or violations or any other felony or serious misdemeanor within the past ten years. JEG does not have records to answer as to its employees but does conduct background checks prior to employment.

**CONFIDENTIAL**

**Question 4:**

From time to time and in the ordinary course of business, JEG is subject to various claims and disputes, including, but not limited to arbitrations, EEOC matters and other legal proceedings. It is JEG's practice to vigorously defend itself in such actions, many of which are generally subject to insurance and none of which are expected to have a materially adverse effect on the company's consolidated financial statement. Based on information and belief, JEG has not been found, adjudicated or determined by any federal or state court or agency to have violated any laws or Executive Orders relating to employment discrimination or affirmative action within the past 10 years.

**Question 5:**

From time to time and in the ordinary course of business, JEG is subject to various claims and disputes, including, but not limited to arbitrations, EEOC matters and other legal proceedings. It is JEG's practice to vigorously defend itself in such actions, many of which are generally subject to insurance and none of which are expected to have a materially adverse effect on the company's consolidated financial statements. Based on information and belief, JEG has not been found, adjudicated or determined by any state court, state administrative agency, including, but not limited to, the Indiana Department of Labor, federal court or federal agency, to have violated or failed to comply with any law or regulation of the United States or any state within the past 10 years governing any of common construction wages, (prevailing wages) (including but not limited to payment for health and welfare, pension, vacation, travel time, subsistence, apprenticeship or other training or other fringe benefits) or overtime compensation.

**Question 7:**

**NO**

JEG leads the global professional services sector delivering solutions for a more connected, sustainable world. With approximately \$12 billion in fiscal 2018 revenue and a talent force of more than 50,000 (excluding the recently divested ECR business), JEG provides a full spectrum of services including scientific, technical, professional, construction, and program management for business, industrial, commercial, government, and infrastructure sectors. From time to time and in the ordinary course of its business, JEG is subject to various claims, disputes, terminations, arbitrations, and other legal proceedings. It is JEG's practice to defend itself in such actions, many of which are generally subject to insurance and none of which are expected to have a materially adverse effect on JEG's consolidated financial statements.

**Question 8:**

JEG will provide professional services on this Project and will not be responsible for construction site safety. JEG has not, within the past 10 years, received an OSHA citation classified as willful. To the best of its knowledge and belief, any citations classified as serious have been settled or will likely settle as other than serious.

**Question 9:**

**NO**

No proceeding, claim, matter, suit, indictment currently pending against JEG and reported in Questions 1-7 above will result in the firm being found liable, guilty or in violation of the matters referenced in Question 1-7 above and/or subject to debarment, suspension, removal or disqualification by federal government, any state or local government, or any foreign governmental entity.

**Question 10:**

This question asks for information without a timeframe. The information required to answer this question would be on the basis of "ever" and since JEG began conducting business. JEG does not have information responsive to answer the question based on the timeframe. Based on information and belief, JEG has not been found to be nonresponsive nor found by an awarding body not to be a responsible bidder, on a bid on a public works project in North America during the past 10 years. As a publicly traded corporation, JEG does not have information as to its owners.

**Question 11:**

From time to time, and in the ordinary course of its business, JEG is subject to various terminations for conveniences, claims and disputes, including but not limited to, arbitrations and other legal proceedings. No such termination is expected to have a materially adverse effect on the Company's consolidated financial statements. During the past five years JEG has not settled any adverse claim, dispute or lawsuit between the owner of a public works project in Indiana and JEG in which the claim, settlement or judgment exceed fifty thousand dollars (\$50,000).

**Question 12:**

As is common in the industry, JEG participates in projects where JEG may under certain circumstances be required to pay financial penalties or liquidated damages, provide additional services, or make additional investments to ensure adequate performance and delivery of the contracted services. If any liquidated damages were assessed against JEG, such liquidated damages would not have a materially adverse effect on the Company's consolidated financial statements. JEG does not track the information requested by this question.

**Question 14:**

Based on information and belief, during the past five years JEG is not aware of having had any license or credential or registration revoked or suspended for cause. From time to time and in the ordinary course of business, JEG may have had a license suspended due to administrative reasons.



**FORM C-1**

**RESPONSIBLE PROPOSER, EQUITY MEMBER, MAJOR PARTICIPANT AND  
FINANCIALLY RESPONSIBLE PARTY QUESTIONNAIRE**

PROPOSER'S NAME: Kokosing Construction Company, Inc.

NAME OF ENTITY

PROVIDING THIS FORM: North Star Painting Company Inc.

**Questions**

Proposer/Equity Member/Major Participant shall respond either "yes" or "no" to each of the following questions. If the response is "yes" to any question(s), a detailed explanation of the circumstances shall be provided in the space following the questions. Proposer/Equity Member/Major Participant shall attach additional documentation as necessary to fully explain said circumstances. Failure to either respond to the questions or provide adequate explanations may preclude consideration of the proposal and require its rejection. For a limited partnership, Equity Member means the general partners only.

The term "**affiliate**" means a parent company at any tier, subsidiary company at any tier, entity under common ownership, joint venture or partnership involving such entities (but only as to activities of a joint venture or partnership involving Proposer, any Equity Member or any Major Participant as a joint venturer or partner and not to activities of other joint venturers or partners not involving Proposer, any Equity Member or any Major Participant), and other financially liable party for the entity, that within the past five years (measured from the date of issuance of the RFQ) have engaged in business or investment in North America. The information sought for affiliates is limited to the projects and matters that have occurred within the past five years (measured from the date of issuance of the RFQ) in North America. For a limited partnership, Equity Member means the general partners only. Common ownership does not include the holding of stock in a publicly traded company unless such stock ownership is a majority position or results in control of the affected entity.

Has the identified entity, any affiliate, or any officer, director, responsible managing officer or responsible managing employee of such entity or affiliate who has a proprietary interest in such entity:

1. Sought protection under any provision of any bankruptcy act within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes ☐ No ☒

2. Been disqualified, removed, debarred or suspended from performing work for the federal government, any state or local government, or any foreign governmental entity within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_ No X

3. Been indicted or convicted of bid (i.e., fraud, bribery, collusion, conspiracy, antitrust, etc.) or other contract related crimes or violations or any other felony or serious misdemeanor within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_ No X

4. Been found, adjudicated or determined by any federal or state court or agency (including, but not limited to, the Equal Employment Opportunity Commission, the Office of Federal Contract Compliance Programs and any applicable Indiana governmental agency) to have violated any laws or Executive Orders relating to employment discrimination or affirmative action, including but not limited to Title VII of the Civil Rights Act of 1964, as amended (42 U.S.C. Sections 2000e *et seq.*); the Equal Pay Act (29 U.S.C. Section 206(d)); and any applicable or similar Indiana law within the past 10 years (measured from the date of issuance of the RFQ).

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_ No X

5. Been found, adjudicated, or determined by any state court, state administrative agency, including, but not limited to, the Indiana Department of Labor, federal court or federal agency, to have violated or failed to comply with any law or regulation of the United States or any state governing any of common construction wages, (prevailing wages) (including but not limited to payment for health and welfare, pension, vacation, travel time, subsistence, apprenticeship or other training, or other fringe benefits) or overtime compensation within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_ No X

6. Been convicted of violating a state or federal law respecting the employment of undocumented aliens within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_ No X

7. Been found liable in a civil suit or found guilty in a criminal action for making any false claim or other material misrepresentation to a public entity within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, as to each such inquiry, state the name of the public agency, the date of the inquiry, the grounds on which the public agency based the inquiry, and the result of the inquiry. If no, so state.

Yes \_\_\_ No X

8. Performed or managed any construction project that involved serious, repeated or multiple failures to comply with safety rules, regulations, or requirements within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please identify the team members and the projects, provide an explanation of the circumstances, and provide owner contact information including telephone numbers and e-mail addresses. If no, so state.

Yes \_\_\_ No X

9. With respect to questions 1 – 8 above, if not previously answered or included in a prior response on this form, been involved in any proceeding, claim, matter, suit, indictment, etc. currently pending against the firm that could result in the firm being found liable, guilty or in violation of the matters referenced above and/or subject to debarment, suspension, removal or disqualification by the federal government, any state or local government, or any foreign governmental entity?

If yes, please explain and provide the information requested as to such similar items set forth in the matters referenced above on separate sheets attached hereto. If no, so state.

Yes \_\_\_ No X

10. Had any instance where it submitted a bid on a public works project and found to be nonresponsive or found by an awarding body not to be a responsible bidder?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_ No X

11. Had any settled adverse claim, dispute or lawsuit with the owner of a public works project during the last five years in which the claim, settlement or judgment exceeded fifty thousand dollars (\$50,000)?



If yes, please explain the circumstances, including the amount of the claim, settlement or judgment and other details. If no, so state.

Yes \_\_\_\_ No X

12. Had liquidated damages assessed against it during or after completion of a contract in the last five years?

If yes, please explain the circumstances, including the amount and basis for the assessment (e.g., \$X total at \$Y/day). If no, so state.

Yes \_\_\_\_ No X

13. Had a surety for the firm or any affiliate complete a contract on the entity's behalf or paid for completion because the entity was in default or terminated by the project owner within the last five years?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

14. Had any license, credential, or registration revoked or suspended in the past five years?

If yes, please explain the circumstances. If no, so state.

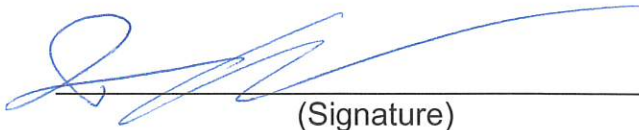
Yes \_\_\_\_ No X

Explain the circumstances underlying any "yes" answers for the aforementioned questions on separate sheets attached hereto.

Verification / Declaration

I declare under penalty of perjury under the laws of the State of Indiana that the foregoing declaration is true, correct and accurate.

Executed November 13, 2020.

  
\_\_\_\_\_  
(Signature)

Ioannis Kalouris  
\_\_\_\_\_  
(Name Printed)

Vice President  
\_\_\_\_\_  
(Title)

North Star Painting Company Inc.  
\_\_\_\_\_  
(Name of Organization)

## FORM C-1

### RESPONSIBLE PROPOSER, EQUITY MEMBER, MAJOR PARTICIPANT AND FINANCIALLY RESPONSIBLE PARTY QUESTIONNAIRE

PROPOSER'S NAME: Kokosing Construction Company

NAME OF ENTITY

PROVIDING THIS FORM: DLZ Indiana, LLC

#### Questions

Proposer/Equity Member/Major Participant shall respond either "yes" or "no" to each of the following questions. If the response is "yes" to any question(s), a detailed explanation of the circumstances shall be provided in the space following the questions. Proposer/Equity Member/Major Participant shall attach additional documentation as necessary to fully explain said circumstances. Failure to either respond to the questions or provide adequate explanations may preclude consideration of the proposal and require its rejection. For a limited partnership, Equity Member means the general partners only.

The term "**affiliate**" means a parent company at any tier, subsidiary company at any tier, entity under common ownership, joint venture or partnership involving such entities (but only as to activities of a joint venture or partnership involving Proposer, any Equity Member or any Major Participant as a joint venturer or partner and not to activities of other joint venturers or partners not involving Proposer, any Equity Member or any Major Participant), and other financially liable party for the entity, that within the past five years (measured from the date of issuance of the RFQ) have engaged in business or investment in North America. The information sought for affiliates is limited to the projects and matters that have occurred within the past five years (measured from the date of issuance of the RFQ) in North America. For a limited partnership, Equity Member means the general partners only. Common ownership does not include the holding of stock in a publicly traded company unless such stock ownership is a majority position or results in control of the affected entity.

Has the identified entity, any affiliate, or any officer, director, responsible managing officer or responsible managing employee of such entity or affiliate who has a proprietary interest in such entity:

1. Sought protection under any provision of any bankruptcy act within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes      No   X

2. Been disqualified, removed, debarred or suspended from performing work for the federal government, any state or local government, or any foreign governmental entity within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

3. Been indicted or convicted of bid (i.e., fraud, bribery, collusion, conspiracy, antitrust, etc.) or other contract related crimes or violations or any other felony or serious misdemeanor within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

4. Been found, adjudicated or determined by any federal or state court or agency (including, but not limited to, the Equal Employment Opportunity Commission, the Office of Federal Contract Compliance Programs and any applicable Indiana governmental agency) to have violated any laws or Executive Orders relating to employment discrimination or affirmative action, including but not limited to Title VII of the Civil Rights Act of 1964, as amended (42 U.S.C. Sections 2000e *et seq.*); the Equal Pay Act (29 U.S.C. Section 206(d)); and any applicable or similar Indiana law within the past 10 years (measured from the date of issuance of the RFQ).

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

5. Been found, adjudicated, or determined by any state court, state administrative agency, including, but not limited to, the Indiana Department of Labor, federal court or federal agency, to have violated or failed to comply with any law or regulation of the United States or any state governing any of common construction wages, (prevailing wages) (including but not limited to payment for health and welfare, pension, vacation, travel time, subsistence, apprenticeship or other training, or other fringe benefits) or overtime compensation within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

6. Been convicted of violating a state or federal law respecting the employment of undocumented aliens within the past 10 years (measured from the date of issuance of the RFQ)?



If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

7. Been found liable in a civil suit or found guilty in a criminal action for making any false claim or other material misrepresentation to a public entity within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, as to each such inquiry, state the name of the public agency, the date of the inquiry, the grounds on which the public agency based the inquiry, and the result of the inquiry. If no, so state.

Yes \_\_\_\_ No X

8. Performed or managed any construction project that involved serious, repeated or multiple failures to comply with safety rules, regulations, or requirements within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please identify the team members and the projects, provide an explanation of the circumstances, and provide owner contact information including telephone numbers and e-mail addresses. If no, so state.

Yes \_\_\_\_ No X

9. With respect to questions 1 – 8 above, if not previously answered or included in a prior response on this form, been involved in any proceeding, claim, matter, suit, indictment, etc. currently pending against the firm that could result in the firm being found liable, guilty or in violation of the matters referenced above and/or subject to debarment, suspension, removal or disqualification by the federal government, any state or local government, or any foreign governmental entity?

If yes, please explain and provide the information requested as to such similar items set forth in the matters referenced above on separate sheets attached hereto. If no, so state.

Yes \_\_\_\_ No X

10. Had any instance where it submitted a bid on a public works project and found to be nonresponsive or found by an awarding body not to be a responsible bidder?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

11. Had any settled adverse claim, dispute or lawsuit with the owner of a public works project during the last five years in which the claim, settlement or judgment exceeded fifty thousand dollars (\$50,000)?

If yes, please explain the circumstances, including the amount of the claim, settlement or judgment and other details. If no, so state.

Yes \_\_\_\_ No X

12. Had liquidated damages assessed against it during or after completion of a contract in the last five years?

If yes, please explain the circumstances, including the amount and basis for the assessment (e.g., \$X total at \$Y/day). If no, so state.

Yes \_\_\_\_ No X

13. Had a surety for the firm or any affiliate complete a contract on the entity's behalf or paid for completion because the entity was in default or terminated by the project owner within the last five years?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

14. Had any license, credential, or registration revoked or suspended in the past five years?

If yes, please explain the circumstances. If no, so state.

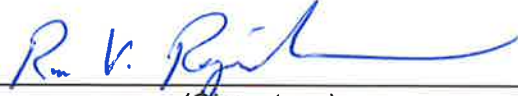
Yes \_\_\_\_ No X

Explain the circumstances underlying any "yes" answers for the aforementioned questions on separate sheets attached hereto.

Verification / Declaration

I declare under penalty of perjury under the laws of the State of Indiana that the foregoing declaration is true, correct and accurate.

Executed November 9, 2020.



(Signature)

Ram Rajadhyaksha, PE

(Name Printed)

Vice President

(Title)

DLZ Indiana, LLC

(Name of Organization)

**FORM C-1**

**RESPONSIBLE PROPOSER, EQUITY MEMBER, MAJOR PARTICIPANT AND  
FINANCIALLY RESPONSIBLE PARTY QUESTIONNAIRE**

PROPOSER'S NAME: Kokosing Construction Co.

NAME OF ENTITY  
PROVIDING THIS FORM: Metric Environmental, LLC

**Questions**

Proposer/Equity Member/Major Participant shall respond either "yes" or "no" to each of the following questions. If the response is "yes" to any question(s), a detailed explanation of the circumstances shall be provided in the space following the questions. Proposer/Equity Member/Major Participant shall attach additional documentation as necessary to fully explain said circumstances. Failure to either respond to the questions or provide adequate explanations may preclude consideration of the proposal and require its rejection. For a limited partnership, Equity Member means the general partners only.

The term "**affiliate**" means a parent company at any tier, subsidiary company at any tier, entity under common ownership, joint venture or partnership involving such entities (but only as to activities of a joint venture or partnership involving Proposer, any Equity Member or any Major Participant as a joint venturer or partner and not to activities of other joint venturers or partners not involving Proposer, any Equity Member or any Major Participant), and other financially liable party for the entity, that within the past five years (measured from the date of issuance of the RFQ) have engaged in business or investment in North America. The information sought for affiliates is limited to the projects and matters that have occurred within the past five years (measured from the date of issuance of the RFQ) in North America. For a limited partnership, Equity Member means the general partners only. Common ownership does not include the holding of stock in a publicly traded company unless such stock ownership is a majority position or results in control of the affected entity.

Has the identified entity, any affiliate, or any officer, director, responsible managing officer or responsible managing employee of such entity or affiliate who has a proprietary interest in such entity:

1. Sought protection under any provision of any bankruptcy act within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes      No X

2. Been disqualified, removed, debarred or suspended from performing work for the federal government, any state or local government, or any foreign governmental entity within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

3. Been indicted or convicted of bid (i.e., fraud, bribery, collusion, conspiracy, antitrust, etc.) or other contract related crimes or violations or any other felony or serious misdemeanor within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

4. Been found, adjudicated or determined by any federal or state court or agency (including, but not limited to, the Equal Employment Opportunity Commission, the Office of Federal Contract Compliance Programs and any applicable Indiana governmental agency) to have violated any laws or Executive Orders relating to employment discrimination or affirmative action, including but not limited to Title VII of the Civil Rights Act of 1964, as amended (42 U.S.C. Sections 2000e *et seq.*); the Equal Pay Act (29 U.S.C. Section 206(d)); and any applicable or similar Indiana law within the past 10 years (measured from the date of issuance of the RFQ).

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

5. Been found, adjudicated, or determined by any state court, state administrative agency, including, but not limited to, the Indiana Department of Labor, federal court or federal agency, to have violated or failed to comply with any law or regulation of the United States or any state governing any of common construction wages, (prevailing wages) (including but not limited to payment for health and welfare, pension, vacation, travel time, subsistence, apprenticeship or other training, or other fringe benefits) or overtime compensation within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

6. Been convicted of violating a state or federal law respecting the employment of undocumented aliens within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

7. Been found liable in a civil suit or found guilty in a criminal action for making any false claim or other material misrepresentation to a public entity within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, as to each such inquiry, state the name of the public agency, the date of the inquiry, the grounds on which the public agency based the inquiry, and the result of the inquiry. If no, so state.

Yes \_\_\_\_ No X

8. Performed or managed any construction project that involved serious, repeated or multiple failures to comply with safety rules, regulations, or requirements within the past 10 years (measured from the date of issuance of the RFQ)?

If yes, please identify the team members and the projects, provide an explanation of the circumstances, and provide owner contact information including telephone numbers and e-mail addresses. If no, so state.

Yes \_\_\_\_ No X

9. With respect to questions 1 – 8 above, if not previously answered or included in a prior response on this form, been involved in any proceeding, claim, matter, suit, indictment, etc. currently pending against the firm that could result in the firm being found liable, guilty or in violation of the matters referenced above and/or subject to debarment, suspension, removal or disqualification by the federal government, any state or local government, or any foreign governmental entity?

If yes, please explain and provide the information requested as to such similar items set forth in the matters referenced above on separate sheets attached hereto. If no, so state.

Yes \_\_\_\_ No X

10. Had any instance where it submitted a bid on a public works project and found to be nonresponsive or found by an awarding body not to be a responsible bidder?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

11. Had any settled adverse claim, dispute or lawsuit with the owner of a public works project during the last five years in which the claim, settlement or judgment exceeded fifty thousand dollars (\$50,000)?

If yes, please explain the circumstances, including the amount of the claim, settlement or judgment and other details. If no, so state.

Yes \_\_\_\_ No X

12. Had liquidated damages assessed against it during or after completion of a contract in the last five years?

If yes, please explain the circumstances, including the amount and basis for the assessment (e.g., \$X total at \$Y/day). If no, so state.

Yes \_\_\_\_ No X

13. Had a surety for the firm or any affiliate complete a contract on the entity's behalf or paid for completion because the entity was in default or terminated by the project owner within the last five years?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

14. Had any license, credential, or registration revoked or suspended in the past five years?

If yes, please explain the circumstances. If no, so state.

Yes \_\_\_\_ No X

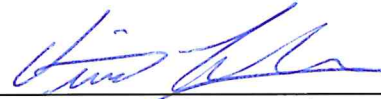
Explain the circumstances underlying any "yes" answers for the aforementioned questions on separate sheets attached hereto.



Verification / Declaration

I declare under penalty of perjury under the laws of the State of Indiana that the foregoing declaration is true, correct and accurate.

Executed November 9, 2020.



\_\_\_\_\_  
(Signature)

Vince L. Epps  
\_\_\_\_\_  
(Name Printed)

Vice President  
\_\_\_\_\_  
(Title)

Metric Environmental, LLC  
\_\_\_\_\_  
(Name of Organization)

# Industrial Safety Record for Proposer, Equity Members and Major Participants ■ Form D

Industrial Safety Record - Form D



VOLUME 1

**FORM D**  
**INDUSTRIAL SAFETY RECORD**  
**FOR PROPOSER, EQUITY MEMBERS AND MAJOR PARTICIPANTS**

PROPOSER'S NAME: Kokosing Construction Company, Inc.

NAME OF TEAM MEMBER: Kokosing Construction Company, Inc.

ROLE OF TEAM MEMBER: Proposer/Design-Build Contractor

This form shall be filled out separately and provided for Proposer, each Equity Member and each Major Participant of Proposer's team that has undertaken work in the United States and will perform or supervise construction and installation Work for the Project, and including information for any entity affiliated with such team member that has undertaken work in the United States. Information must be provided with regard to all construction, installation and integration work undertaken in the United States (including the State of Indiana) by the entity, with separate statistics relative to the State of Indiana. For team members that are members of joint ventures, information as to the joint venture shall be provided as though 100% of the results were for the listed participant. Proposer may be requested to submit additional information or explanation of data which IFA may require for evaluating the safety record.

For purposes of this form, the term "affiliated" means parent companies at any tier, subsidiary companies at any tier, entities under common ownership, joint ventures and partnerships involving such entities (but only as to activities of joint ventures and partnerships involving Proposer, any Equity Member or any Major Participant as a joint venturer or partner and not to activities of other joint venturers or partners not involving Proposer, any Equity Member or any Major Participant), and other financially liable or responsible parties for the entity, that within the past five years (measured from the date of issuance of the RFQ) have engaged in business or investment in the United States. The information sought for affiliates is limited to the projects and matters that have occurred within the past five years in the United States.

		<u>2017</u>	<u>2018</u>	<u>2019</u>	<u>2020</u>
1) Total Hours Worked (in thousands)	<u>Nationwide</u>				
	<u>Indiana</u>				
2) Number of fatalities: *	<u>Nationwide</u>				
	<u>Indiana</u>				
3) Number of lost workdays: *	<u>Nationwide</u>				
	<u>Indiana</u>				

		<u>2017</u>	<u>2018</u>	<u>2019</u>	<u>2020</u>
4) Number of lost workdays* cases:	<u>Nationwide</u>				
	<u>Indiana</u>				
5) Number of injury/illness* cases:	<u>Nationwide</u>				
	<u>Indiana</u>				
6) Number of days of* restricted work activity due to injury/illness:	<u>Nationwide</u>				
	<u>Indiana</u>				
7) Incidence Rate** Lost Workday Cases	<u>Nationwide</u>				
	<u>Indiana</u>				
7) Incidence Rate** Days Lost	<u>Nationwide</u>				
	<u>Indiana</u>				
8) Worker's Compensation Experience Modifier	<u>Nationwide</u>				
	<u>Indiana</u>				

\* The information required for these items is the same as required for columns 3 to 6, Code 10, Log and Summary of Occupational Injuries and Illnesses, OSHA Form 200.

\*\* Incidence Rate = No. Injuries (Cases) x 200,000 / Total Hours Worked

The above information was compiled from the records that are available to me at this time and I declare under penalty of perjury under the laws of the State of Indiana that the information is true and accurate within the limitation of those records.

Executed October 1, 2020.

Kokosing Construction Company, Inc.

Name of Company (Print)



Signature

6235 Westerville Road

Address

Vice President Engineering and Alternative Delivery

Title

Westerville

City

Ohio 43081

State and ZIP Code

614.228.1029

Telephone Number

(or international address, if applicable)



6235 WESTERVILLE RD | WESTERVILLE, OH 43081  
PHONE 614.228.1029

November 9, 2020

Silvia Perez  
Indiana Finance Authority  
One North Capitol Avenue, Suite 900  
Indianapolis, Indiana 46024

Attention Silvia Perez,

Kokosing, Inc., as the parent company of Kokosing Construction Company, Inc., is an affiliated company, but does not self-perform construction services and therefore has no safety records to provide.

Sincerely,

A handwritten signature in blue ink, appearing to read "Timothy J. Freed".

Timothy J. Freed  
Chief Financial Officer / Treasurer



AN EQUAL  
OPPORTUNITY  
EMPLOYER



**FORM D**  
**INDUSTRIAL SAFETY RECORD**  
**FOR PROPOSER, EQUITY MEMBERS AND MAJOR PARTICIPANTS**

PROPOSER'S NAME: Kokosing Construction Company

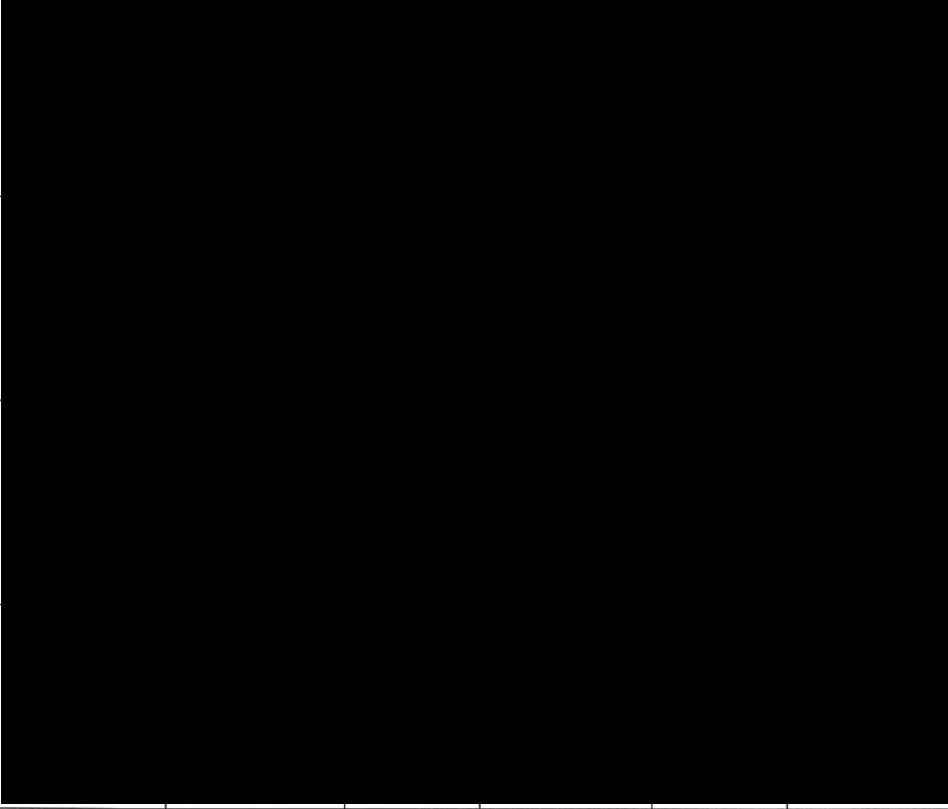
NAME OF TEAM MEMBER: North Star Painting CO. INC.

ROLE OF TEAM MEMBER: Structural Steel Painting Firm

This form shall be filled out separately and provided for Proposer, each Equity Member and each Major Participant of Proposer's team that has undertaken work in the United States and will perform or supervise construction and installation Work for the Project, and including information for any entity affiliated with such team member that has undertaken work in the United States. Information must be provided with regard to all construction, installation and integration work undertaken in the United States (including the State of Indiana) by the entity, with separate statistics relative to the State of Indiana. For team members that are members of joint ventures, information as to the joint venture shall be provided as though 100% of the results were for the listed participant. Proposer may be requested to submit additional information or explanation of data which IFA may require for evaluating the safety record.

For purposes of this form, the term "affiliated" means parent companies at any tier, subsidiary companies at any tier, entities under common ownership, joint ventures and partnerships involving such entities (but only as to activities of joint ventures and partnerships involving Proposer, any Equity Member or any Major Participant as a joint venturer or partner and not to activities of other joint venturers or partners not involving Proposer, any Equity Member or any Major Participant), and other financially liable or responsible parties for the entity, that within the past five years (measured from the date of issuance of the RFQ) have engaged in business or investment in the United States. The information sought for affiliates is limited to the projects and matters that have occurred within the past five years in the United States.



	<u>2017</u>	<u>2018</u>	<u>2019</u>	<u>2020</u>
1) Total Hours Worked (in thousands) Nationwide: Indiana:				
2) Number of fatalities:.* Nationwide: Indiana:				
3) Number of lost workdays:.* Nationwide: Indiana:				
4) Number of lost workdays* cases: Nationwide: Indiana:				
5) Number of injury/illness* cases: Nationwide: Indiana:				
6) Number of days of* restricted work activity due to injury/illness: Nationwide: Indiana:				

	<u>2017</u>	<u>2018</u>	<u>2019</u>	<u>2020</u>
7) Incidence Rate** Lost Workday Cases Nationwide: Indiana: Days Lost Nationwide: Indiana:				
8) Worker's Compensation Experience Modifier Nationwide: Indiana:				

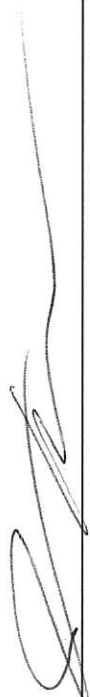
\* The information required for these items is the same as required for columns 3 to 6, Code 10, Log and Summary of Occupational Injuries and Illnesses, OSHA Form 200.

\*\* Incidence Rate = No. Injuries (Cases) x 200,000 / Total Hours Worked

The above information was compiled from the records that are available to me at this time and I declare under penalty of perjury under the laws of the State of Indiana that the information is true and accurate within the limitation of those records.

Executed 10 - 19, 2020.

North Star Painting CO. INC.  
Name of Company (Print)

  
Signature

3526 McCarthy Rd.  
Address

Vice President  
Title

Youngstown OH 44465  
City State and ZIP Code

330 743 2333  
Telephone Number

(or international address, if applicable)

# Personnel Work Assignment Form and Commitment of Availability

■ Form E



VOLUME 1

## FORM E

### PERSONNEL WORK ASSIGNMENT FORM AND COMMITMENT OF AVAILABILITY

Name of Proposer: Kokosing Construction Company, Inc.

Key Personnel Assignment	Name of Individual Assigned and Employer
Project Manager	Vince Martini (Kokosing Construction)
Design Manager	Dan Morris (Jacobs)
Structural Design Lead Engineer	John Finke (Jacobs)
Construction Manager	Brad Young (Kokosing Construction)
Design-Build Coordinator	Mark Maday (Jacobs)
Design Quality Manager	Debra Herrmann (Jacobs)
Construction Quality Manager	Michael Leavitt (DLZ)
Maintenance of Traffic Design-Build Coordinator	Shane Santos (Kokosing Construction)
Structural Steel Painting Manager	Ioannis Kalouris (North Star)
Public Information Coordinator	Brandon Lowe (DLZ)
Environmental Compliance Manager	Samantha Wickizer (Metric Environmental)
Safety Manager	Ashley Hagan (Kokosing Construction)
Project Scheduler	Gary Obert (Kokosing Construction)

Proposer's Name: \_\_\_\_\_ Kokosing Construction Company, Inc. (the "Proposer")

Employer's Name: Kokosing Construction Company, Inc. (the "Employer") *[Note: duplicate as necessary to ensure all employers execute]*

### COMMITMENT OF AVAILABILITY

Understanding IFA's concern that the personnel resources specifically represented and listed in this Proposal actually be assigned to the Project, Proposer and Employer commit that if Proposer is awarded the Agreement, the Employer's named Key Personnel and other individuals of Employer named in the Proposal will be committed, available and active for the periods necessary to fulfill their responsibilities, as more fully set forth in the PPA Documents.

Proposer's Name: Kokosing Construction Company, Inc.

Signed: 

Printed Name: Kevin Ohl, PE, DBIA

Title: Vice President Engineering and Alternative Delivery

***[replicate as necessary:]***

Employer's Name: Kokosing Construction Company, Inc.

Signed: 

Printed Name: Kevin Ohl

Title: Vice President Engineering and Alternative Delivery

Date: NOVEMBER 11, 2020

### COMMITMENT OF AVAILABILITY

Understanding IFA's concern that the personnel resources specifically represented and listed in this Proposal actually be assigned to the Project, Proposer and Employer commit that if Proposer is awarded the Agreement, the Employer's named Key Personnel and other individuals of Employer named in the Proposal will be committed, available and active for the periods necessary to fulfill their responsibilities, as more fully set forth in the PPA Documents.

Proposer's Name: Kokosing Construction Company, Inc.

Signed: 

Printed Name: KEVIN OHL

Title: VICE PRESIDENT, ENGINEERING  
AND ALTERNATIVE DELIVERY

**[replicate as necessary:]**

Employer's Name: Jacobs Engineering Group Inc.

Signed: 

Printed Name: Michael McCarty

Title: Vice President Missouri Operations

Date: NOVEMBER 6, 2020



### COMMITMENT OF AVAILABILITY

Understanding IFA's concern that the personnel resources specifically represented and listed in this Proposal actually be assigned to the Project, Proposer and Employer commit that if Proposer is awarded the Agreement, the Employer's named Key Personnel and other individuals of Employer named in the Proposal will be committed, available and active for the periods necessary to fulfill their responsibilities, as more fully set forth in the PPA Documents.

Proposer's Name: Kokosing Construction Company, Inc.

Signed: 

Printed Name: KEVIN OHL

Title: VICE PRESIDENT, ENGINEER/ARCHITECT AND ALTERNATIVE DELIVERY

**[replicate as necessary:]**

Employer's Name: NORTH STAR PAINTING COMPANY INC.

Signed: 

Printed Name: Tonnis Kalouris

Title: Vice President

Date: 11 - 06 -, 2020

### COMMITMENT OF AVAILABILITY

Understanding IFA's concern that the personnel resources specifically represented and listed in this Proposal actually be assigned to the Project, Proposer and Employer commit that if Proposer is awarded the Agreement, the Employer's named Key Personnel and other individuals of Employer named in the Proposal will be committed, available and active for the periods necessary to fulfill their responsibilities, as more fully set forth in the PPA Documents.

Proposer's Name: Kokosing Construction Company, Inc.


Signed: 

Printed Name: KEVIN OHL

Title: VICE PRESIDENT, ENGINEERING AND ALTERNATIVE DELIVERY

**[replicate as necessary:]**

Employer's Name: DLZ Indiana, LLC

Signed: 

Printed Name: Ram Rajadhyaksha, PE

Title: Vice President

Date: November 9, 2020

### COMMITMENT OF AVAILABILITY

Understanding IFA's concern that the personnel resources specifically represented and listed in this Proposal actually be assigned to the Project, Proposer and Employer commit that if Proposer is awarded the Agreement, the Employer's named Key Personnel and other individuals of Employer named in the Proposal will be committed, available and active for the periods necessary to fulfill their responsibilities, as more fully set forth in the PPA Documents.

Proposer's Name: Kokosing Construction Co.


Signed: 

Printed Name: KEVIN OHL

Title: VICE PRESIDENT ENGINEERING AND ALTERNATIVE DELIVERY

***[replicate as necessary:]***

Employer's Name: Metric Environmental, LLC

Signed: 

Printed Name: Vince L. Epps

Title: Vince President

Date: November 9, 2020

Letter(s) Regarding  
Pre-Proposal  
Submittals



VOLUME 1



6235 WESTERVILLE RD | WESTERVILLE, OH 43081  
PHONE 614.228.1029

November 13, 2020

Silvia Perez  
Indiana Finance Authority  
One North Capitol Avenue, Suite 900  
Indianapolis, Indiana 46024

Attention Silvia Perez,

In accordance with ITP Exhibit B Section 3.2.6 Item (a), the Kokosing Construction Design-Build Team is acknowledging that have no proposed changes within the Proposer's organization, Equity Member of Major Participants since the SOQ Submittal.

Sincerely,

A handwritten signature in blue ink, appearing to read "K. Ohl".

Kevin Ohl, PE, DBIA  
Vice President Engineering and Alternative Delivery



AN EQUAL  
OPPORTUNITY  
EMPLOYER



November 6, 2020

**VIA E-MAIL**

Kokosing Construction Company, Inc.  
Kevin Ohl  
6235 Westerville Rd  
Westerville, OH 43081  
[kao@kokosing.biz](mailto:kao@kokosing.biz)

**RE: Sherman Minton Corridor Project – Public-Private Agreement  
Pre-Proposal Submittal – Key Personnel Approval and Additional Information**

Dear Mr. Ohl,

Reference is made to that certain Request for Proposals issued by the Indiana Finance Authority (“IFA”) on June 15, 2020 to design and construct the Sherman Minton Corridor Project through a Public-Private Partnership (as amended, the “RFP”). Capitalized terms not defined in this letter have the meanings given in the RFP.

We are in receipt of the Pre-Proposal Submittals provided by Kokosing Construction Company, Inc. (“Proposer”) on October 13, 2020, and October 26, 2020 requesting approval of Proposer’s designated Key Personnel.

After reviewing the materials provided, IFA approves the Key Personnel listed by Proposer in its Pre-Proposal Submittals, as set forth in Exhibit A attached.

Please note that, to the extent set forth in the RFP, certain of the materials included in the Pre-Proposal Submittals must still be provided as part of Proposer’s Proposal.

Nothing in this letter modifies or alters the terms of the RFP or the Public-Private Agreement and each of such items, including IFA’s reserved rights under the RFP, and such terms shall remain in full force and effect.

IFA appreciates your continued interest in the Sherman Minton Corridor Project and this procurement.

Sincerely,



Mary E. Loy  
Procurement Contact and Authorized Representative



**EXHIBIT A**  
**LIST OF KEY PERSONNEL**

ROLE	APPROVED DESIGNATED KEY PERSON
Project Manager	Vince Martini
Design Manager	Daniel Morris, PE
Structural Design Lead Engineer	John Finke, PE
Construction Manager	Brad Young
Design-Build Coordinator	Mark Maday, PE
Design-Build Quality Manager	Debra Herrmann
Construction Quality Manager	Michael Leavitt, PE
Maintenance of Traffic Design-Build Coordinator	Shane Santos
Structural Steel Painting Manager	Iaonnis Kalouris
Public Information Coordinator	Brandon Lowe, PE
Environmental Compliance Manager	Samantha Wickizer
Safety Manager	Ashley Hagan
Project Scheduler	Gary Obert, PE



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# Non-Collusion Affidavit

■ Form F



VOLUME 1

**FORM F**

**NON-COLLUSION AFFIDAVIT**

**[TO BE COMPLETED BY PROPOSER AND EACH EQUITY MEMBER AND EACH MAJOR PARTICIPANT THAT IS ON MORE THAN ONE TEAM, AS PERMITTED BY ITP SECTION 2.9.4 (IF "NONE", PROPOSER SHOULD SO INDICATE)]**

STATE OF Ohio )  
 ) SS:  
COUNTY OF Franklin )

Each of the undersigned, being first duly sworn, deposes and says that:

- A. None is the \_\_\_\_\_ of \_\_\_\_\_ and \_\_\_\_\_ is the \_\_\_\_\_ of \_\_\_\_\_, which entity(ies) are the \_\_\_\_\_ of \_\_\_\_\_, the entity making the foregoing Proposal.
- B. The Proposal is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, joint venture, limited liability company or corporation; the Proposal is genuine and not collusive or sham; Proposer has not directly or indirectly induced or solicited any other Proposer to put in a false or sham Proposal, and has not directly or indirectly colluded, conspired, connived or agreed with any Proposer or anyone else to put in a sham Proposal or that anyone shall refrain from proposing; Proposer has not in any manner, directly or indirectly, sought by agreement, communication or conference with anyone to fix the prices of Proposer or any other Proposer, or to fix any overhead, profit or cost element included in the Proposal, or of that of any other Proposer, or to secure any advantage against IFA or anyone interested in the proposed agreement; all statements contained in the Proposal are true; and, further, Proposer has not, directly or indirectly, submitted its prices or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company, association, joint venture, limited liability company, organization, Proposal depository or any member, partner, joint venture member or agent thereof to effectuate a collusive or sham Proposal.
- C. Proposer will not, directly or indirectly, divulge information or data regarding the price or other terms of its Proposal to any other Proposer, or seek to obtain information or data regarding the price or other terms of any other Proposal, until after award of the Agreement or rejection of all Proposals and cancellation of the RFP.





(Signature)

Kevin Ohl

(Name Printed)

VP Engineering and Alternative Delivery

(Title)



(Signature)

Timothy J. Freed

(Name Printed)

Chief Financial Officer / Treasurer

(Title)

Subscribed and sworn to before me this 11<sup>th</sup> day of November, 2020.

Franklin, Ohio

Notary Public in and for  
said County and State



MARY A BLUE  
NOTARY PUBLIC - OHIO

My Commission Expires February 5, 2022

My commission expires: Mary A Blue .:

[Duplicate or modify this form as necessary so that it accurately describes (i) the entity making the Proposal and so that it is signed by and on behalf of all partners, members, joint venture members, (ii) Equity Members of Proposer, and (iii) each Major Participant that is allowed to be on more than one team pursuant to ITP Section 2.9.4.]

# DBE Certification

■ Form G



VOLUME 1

**FORM G**

**DBE CERTIFICATION**

**DBE REQUIREMENTS**

The following goal for participation by Disadvantaged Business Enterprises is established for professional services and construction work on the Project.

**Disadvantaged Business Enterprises: 6%**

**DBE Certification**

By signing the Proposal, Proposer certifies that (1) the above DBE project goal will be met by obtaining commitments equal to or exceeding the DBE percentage or that Proposer will provide a good faith effort to substantiate the attempt to meet the goal; and (2) if awarded the PPA, the Design-Build Contractor will submit a final DBE Performance Plan meeting the requirements set forth in Section 7.1.3 of the PPA.

Failure to submit the DBE Performance Plan will be considered a breach of the requirements of the RFP. As a result, the Proposal Bond provided by Proposer will become property of IFA and Proposer will be precluded from participating in any reprocurement of the PPA for the Project.



\_\_\_\_\_  
[Name]

Vice President Engineering and Alternative Delivery  
[Title]

# Conflict of Interest Disclosure

■ Form H

Conflict of Interest  
Disclosure - Form H



VOLUME 1

## FORM H

### CONFLICT OF INTEREST DISCLOSURE STATEMENT

**[TO BE COMPLETED BY PROPOSER ON ITS BEHALF AND ON BEHALF OF EACH  
OF PROPOSER'S EQUITY MEMBERS,  
MAJOR PARTICIPANTS, OTHER SUBCONTRACTORS IDENTIFIED IN THE  
PROPOSAL, AND FINANCIALLY RESPONSIBLE PARTIES]**

Proposer's attention is directed to 23 CFR Part 636, Subpart A and in particular to Subsection 636.116 regarding organizational conflicts of interest. Section 636.103 defines "organizational conflict of interest" as follows:

Organizational conflict of interest means that because of other activities or relationships with other persons, a person is unable or potentially unable to render impartial assistance or advice to the owner, or the person's objectivity in performing the contract work is or might be otherwise impaired, or a person has an unfair competitive advantage.

Proposers are advised that in accordance with Section 2.9.2 of the Instructions to Proposers, certain firms will not be allowed to participate on any Proposer's team for the Project because of their work with the Project Sponsors in connection with the procurement and document preparation for the Project.

#### **1. Disclosure**

In the space provided below, and on supplemental sheets as necessary, identify all relevant facts relating to past, present, or planned interest(s) of Proposer's team (including Proposer, Design-Build Contractor, the Major Participants, proposed consultants and proposed Subcontractors, and their respective chief executives, directors, and key project personnel) which may result, or could be viewed as, an organizational conflict of interest in connection with this RFP. If no disclosure is necessary, indicate "None".

By way of example only, and in no way limiting Proposer's disclosure obligations, Proposer should disclose (a) any current contractual relationships with the Project Sponsors, (b) any past, present, or planned contractual or employment relationships with any Project Sponsor member, officer, or employee; and (c) any other circumstances that might be considered to create a financial interest in the contract by any Project Sponsor member, officer, or employee if Proposer is awarded the contract. Proposer should also disclose matters such as ownership of 10% or more of the stock of, or having directors in common with, any of the RFP preparers. Proposer should also disclose contractual relationships with an RFP preparer in the nature of a joint venture, as well as relationships wherein the RFP preparer is a contractor or consultant (or subcontractor or subconsultant) to Proposer or a member of Proposer's team. The foregoing is provided by way of



example, and shall not constitute a limitation on the disclosure obligations.

Disclosure:

Not Applicable

**2. Explanation**

In the space provided below, and on supplemental sheets as necessary, identify steps that have been or will be taken to avoid, neutralize, or mitigate any organizational conflicts of interest described herein.

Explanation:

Not Applicable

3. **Certification**

The undersigned hereby certifies that, to the best of his or her knowledge and belief, no interest exists that is required to be disclosed in this Conflict of Interest Disclosure Statement, other than as disclosed above.

Proposer Name: Kokosing Construction Company, Inc.

Signature: 

Name: Kevin Ohl, PE, DBIA

Title: Vice President Engineering and Alternative Delivery

Date: November 9, 2020



# Certification Regarding Buy America ■ Form Q



VOLUME 1

**FORM Q**

**BUY AMERICA CERTIFICATION**

(To be signed by authorized signatory(ies) of Proposer)

The undersigned Proposer hereby certifies on behalf of itself and all Subcontractors (at all tiers) the following with regard to the Project:

- a. Proposer shall comply with the Federal Highway Administration ("FHWA") Buy America Requirements of 23 CFR 635.410, which permits FHWA participation in the PPA Documents only if domestic steel and iron will be used on the Project, as well as Buy America requirements set forth in the Standard Specifications. To be considered domestic, all steel and iron used and all products manufactured from steel and iron must be produced in the United States and all manufacturing processes, including application of a coating, for these materials must occur in the United States. Coating includes all processes that protect or enhance the value of the material to which the coating is applied. This requirement does not preclude a minimal use of foreign steel and iron materials, provided the cost of such materials does not exceed 0.1% of the Contract Price.
- b. A false certification is a criminal act in violation of 18 U.S.C. 1001. Should this PPA be investigated, Proposer has the burden of proof to establish that it is in compliance.
- c. At Proposer's request, IFA may, but is not obligated to, seek a waiver of Buy America requirements if grounds for the waiver exist. However, Proposer certifies that it will comply with the applicable Buy America requirements if a waiver of those requirements is not available or not pursued by IFA.

Date: November 9, 2020

Signature: 

Title: Vice President Engineering and Alternative Delivery

Proposer's Name: Kokosing Construction Company, Inc.

# Certification Regarding Equal Employment Opportunity ■ Form R



VOLUME 1

**FORM R**

**EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION**

*[To be executed by Proposer, Equity Members, each Major Participant and each non-exempt Subcontractor.]*

The undersigned certifies on behalf of Kokosing Construction Company, Inc., that:  
(Name of entity making certification)

*[NTD: check one of the following boxes]*

- ☒ It has developed and has on file at each establishment affirmative action programs pursuant to 41 CFR Part 60-2 (Affirmative Action Programs).
- ☐ It is not subject to the requirements to develop an affirmative action program under 41 CFR Part 60-2 (Affirmative Action Programs).

*[NTD: check one of the following boxes]*

- ☐ It has not participated in a previous contract or subcontract subject to the equal opportunity clause described in Executive Orders 10925, 11114 or 11246.
- ☒ It has participated in a previous contract or subcontract subject to the equal opportunity clause described in Executive Orders 10925, 11114 or 11246 and, where required, it has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

Signature: 

Title: Vice President Engineering and Alternative Delivery

Date: November 9, 2020

If not Proposer, relationship to Proposer: \_\_\_\_\_



Note: The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b)(1)), and must be submitted by Proposers only in connection with contracts which are subject to the equal opportunity clause. Contracts that are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally, only contracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by Executive Orders or their implementing regulations.

Proposer, Major Participants (other than Equity Members) and proposed non-exempt Subcontractors who have participated in a previous contract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

*[Copy this form and modify as needed for execution by Proposer, Equity Members, Major Participants and all proposed Subcontractors]*

**FORM R**

**EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION**

*[To be executed by Proposer, Equity Members, each Major Participant and each non-exempt Subcontractor.]*

The undersigned certifies on behalf of Kokosing, Inc., that:  
(Name of entity making certification)

*[NTD: check one of the following boxes]*

- ☒ It has developed and has on file at each establishment affirmative action programs pursuant to 41 CFR Part 60-2 (Affirmative Action Programs).
- ☐ It is not subject to the requirements to develop an affirmative action program under 41 CFR Part 60-2 (Affirmative Action Programs).

*[NTD: check one of the following boxes]*

- ☒ It has not participated in a previous contract or subcontract subject to the equal opportunity clause described in Executive Orders 10925, 11114 or 11246.
- ☐ It has participated in a previous contract or subcontract subject to the equal opportunity clause described in Executive Orders 10925, 11114 or 11246 and, where required, it has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

Signature: 

Title: Chief Financial Officer / Treasurer

Date: November 9, 2020

If not Proposer, relationship to Proposer: Financially Responsible Party – Parent  
Company of Proposer/Design-Build Contractor  
Kokosing Construction Company, Inc.

Note: The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b)(1)), and must be submitted by Proposers only in connection with contracts which are subject to the equal opportunity clause. Contracts that are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally, only contracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by Executive Orders or their implementing regulations.

Proposer, Major Participants (other than Equity Members) and proposed non-exempt Subcontractors who have participated in a previous contract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

*[Copy this form and modify as needed for execution by Proposer, Equity Members, Major Participants and all proposed Subcontractors]*

**FORM R**

**EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION**

*[To be executed by Proposer, Equity Members, each Major Participant and each non-exempt Subcontractor.]*

The undersigned certifies on behalf of Jacobs Engineering Group Inc., that:  
(Name of entity making certification)

**[NTD: check one of the following boxes]**

- ☒ It has developed and has on file at each establishment affirmative action programs pursuant to 41 CFR Part 60-2 (Affirmative Action Programs).
- ☐ It is not subject to the requirements to develop an affirmative action program under 41 CFR Part 60-2 (Affirmative Action Programs).

**[NTD: check one of the following boxes]**

- ☐ It has not participated in a previous contract or subcontract subject to the equal opportunity clause described in Executive Orders 10925, 11114 or 11246.
- ☒ It has participated in a previous contract or subcontract subject to the equal opportunity clause described in Executive Orders 10925, 11114 or 11246 and, where required, it has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

Signature: \_\_\_\_\_

Title: Vice President Missouri Operations

Date: 11-6, 2020

If not Proposer, relationship to Proposer: Major Participant



Note: The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b)(1)), and must be submitted by Proposers only in connection with contracts which are subject to the equal opportunity clause. Contracts that are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally, only contracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by Executive Orders or their implementing regulations.

Proposer, Major Participants (other than Equity Members) and proposed non-exempt Subcontractors who have participated in a previous contract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

*[Copy this form and modify as needed for execution by Proposer, Equity Members, Major Participants and all proposed Subcontractors]*

**FORM R**

**EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION**

*[To be executed by Proposer, Equity Members, each Major Participant and each non-exempt Subcontractor.]*

The undersigned certifies on behalf of North Star Painting CO. INC., that:  
(Name of entity making certification)

***[NTD: check one of the following boxes]***

- ☒ It has developed and has on file at each establishment affirmative action programs pursuant to 41 CFR Part 60-2 (Affirmative Action Programs).
- ☐ It is not subject to the requirements to develop an affirmative action program under 41 CFR Part 60-2 (Affirmative Action Programs).

***[NTD: check one of the following boxes]***

- ☐ It has not participated in a previous contract or subcontract subject to the equal opportunity clause described in Executive Orders 10925, 11114 or 11246.
- ☒ It has participated in a previous contract or subcontract subject to the equal opportunity clause described in Executive Orders 10925, 11114 or 11246 and, where required, it has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

Signature: \_\_\_\_\_

Title: Vice President

Date: October 19th, 2020

If not Proposer, relationship to Proposer: Major Participant

Note: The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b)(1)), and must be submitted by Proposers only in connection with contracts which are subject to the equal opportunity clause. Contracts that are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally, only contracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by Executive Orders or their implementing regulations.

Proposer, Major Participants (other than Equity Members) and proposed non-exempt Subcontractors who have participated in a previous contract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

*[Copy this form and modify as needed for execution by Proposer, Equity Members, Major Participants and all proposed Subcontractors]*

**FORM R**

**EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION**

*[To be executed by Proposer, Equity Members, each Major Participant and each non-exempt Subcontractor.]*

The undersigned certifies on behalf of DLZ Indiana, LLC, that:  
*(Name of entity making certification)*

***[NTD: check one of the following boxes]***

- ☒ It has developed and has on file at each establishment affirmative action programs pursuant to 41 CFR Part 60-2 (Affirmative Action Programs).
- ☐ It is not subject to the requirements to develop an affirmative action program under 41 CFR Part 60-2 (Affirmative Action Programs).

***[NTD: check one of the following boxes]***

- ☐ It has not participated in a previous contract or subcontract subject to the equal opportunity clause described in Executive Orders 10925, 11114 or 11246.
- ☒ It has participated in a previous contract or subcontract subject to the equal opportunity clause described in Executive Orders 10925, 11114 or 11246 and, where required, it has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

Signature: 

Title: Vice President

Date: November 9, 2020

If not Proposer, relationship to Proposer: Team Member – Major Participant

Note: The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b)(1)), and must be submitted by Proposers only in connection with contracts which are subject to the equal opportunity clause. Contracts that are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally, only contracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by Executive Orders or their implementing regulations.

Proposer, Major Participants (other than Equity Members) and proposed non-exempt Subcontractors who have participated in a previous contract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

*[Copy this form and modify as needed for execution by Proposer, Equity Members, Major Participants and all proposed Subcontractors]*

**FORM R**

**EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION**

*[To be executed by Proposer, Equity Members, each Major Participant and each non-exempt Subcontractor.]*

The undersigned certifies on behalf of Metric Environmental, LLC, that:  
(Name of entity making certification)

*[NTD: check one of the following boxes]*

- ☐ It has developed and has on file at each establishment affirmative action programs pursuant to 41 CFR Part 60-2 (Affirmative Action Programs).
- ☒ It is not subject to the requirements to develop an affirmative action program under 41 CFR Part 60-2 (Affirmative Action Programs).

*[NTD: check one of the following boxes]*

- ☒ It has not participated in a previous contract or subcontract subject to the equal opportunity clause described in Executive Orders 10925, 11114 or 11246.
- ☐ It has participated in a previous contract or subcontract subject to the equal opportunity clause described in Executive Orders 10925, 11114 or 11246 and, where required, it has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

Signature: 

Title: Vice President

Date: November 9, 2020

If not Proposer, relationship to Proposer: Subconsultant

Note: The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b)(1)), and must be submitted by Proposers only in connection with contracts which are subject to the equal opportunity clause. Contracts that are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally, only contracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by Executive Orders or their implementing regulations.

Proposer, Major Participants (other than Equity Members) and proposed non-exempt Subcontractors who have participated in a previous contract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

*[Copy this form and modify as needed for execution by Proposer, Equity Members, Major Participants and all proposed Subcontractors]*

**FORM R**

**EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION**

*[To be executed by Proposer, Equity Members, each Major Participant and each non-exempt Subcontractor.]*

The undersigned certifies on behalf of Modjeski and Masters, Inc., that:  
(Name of entity making certification)

***[NTD: check one of the following boxes]***

- ☒ It has developed and has on file at each establishment affirmative action programs pursuant to 41 CFR Part 60-2 (Affirmative Action Programs).
- ☐ It is not subject to the requirements to develop an affirmative action program under 41 CFR Part 60-2 (Affirmative Action Programs).

***[NTD: check one of the following boxes]***

- ☒ It has not participated in a previous contract or subcontract subject to the equal opportunity clause described in Executive Orders 10925, 11114 or 11246.
- ☐ It has participated in a previous contract or subcontract subject to the equal opportunity clause described in Executive Orders 10925, 11114 or 11246 and, where required, it has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

Signature: Michael F. Britt

Title: President & CEO

Date: Nov 6, 2020

If not Proposer, relationship to Proposer: Subcontractor



Note: The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b)(1)), and must be submitted by Proposers only in connection with contracts which are subject to the equal opportunity clause. Contracts that are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally, only contracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by Executive Orders or their implementing regulations.

Proposer, Major Participants (other than Equity Members) and proposed non-exempt Subcontractors who have participated in a previous contract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

*[Copy this form and modify as needed for execution by Proposer, Equity Members, Major Participants and all proposed Subcontractors]*

# Use of Contract Funds for Lobbying Certification

■ Form S



VOLUME 1

## FORM S

### USE OF CONTRACT FUNDS FOR LOBBYING CERTIFICATE

The undersigned [**NTD**: check one]

Proposer ☒ Equity Member ☐ Major Participant ☐ proposed Subcontractor ☐

The undersigned certifies, to the best of its knowledge and belief, that:

1. The firm/entity for which the undersigned is making this certification has complied with Section 1352, Title 31, U.S. Code, and specifically, that:
  - a. No federal appropriated funds have been paid or will be paid, by or on behalf of such firm/entity, to any person for influencing or attempting to influence an officer or employee of any federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal agreements, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal agreement, grant, loan, or cooperative agreement.
  - b. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with such federal agreement, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL "Disclosure Form to Report Lobbying," in accordance with its instructions.
2. The firm/entity for which the undersigned is making this certification also agrees that it shall require that the language of this certification be included in all contractor agreements including lower tier subcontracts, which exceed \$100,000, and that all such sub recipients shall certify and disclose accordingly. Any person who fails to sign or file this required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each failure

Date: November 9, 2020

Firm/Entity: Kokosing Construction Company, Inc.

Signature: KKL

Title: Vice President Engineering and Alternative Delivery

Proposer: Kokosing Construction Company, Inc.

*[Copy this form and modify as needed for execution by Proposer, Equity Members, Major Participants, and all proposed Subcontractors.]*

**FORM S**

**USE OF CONTRACT FUNDS FOR LOBBYING CERTIFICATE**

The undersigned [**NTD:** check one]

Proposer ☐ Equity Member ☒ Major Participant ☐ proposed Subcontractor ☐

The undersigned certifies, to the best of its knowledge and belief, that:

1. The firm/entity for which the undersigned is making this certification has complied with Section 1352, Title 31, U.S. Code, and specifically, that:
  - a. No federal appropriated funds have been paid or will be paid, by or on behalf of such firm/entity, to any person for influencing or attempting to influence an officer or employee of any federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal agreements, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal agreement, grant, loan, or cooperative agreement.
  - b. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with such federal agreement, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL "Disclosure Form to Report Lobbying," in accordance with its instructions.
2. The firm/entity for which the undersigned is making this certification also agrees that it shall require that the language of this certification be included in all contractor agreements including lower tier subcontracts, which exceed \$100,000, and that all such sub recipients shall certify and disclose accordingly. Any person who fails to sign or file this required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each failure

Date: November 9, 2020

Firm/Entity: Kokosing, Inc.

Signature: \_\_\_\_\_



Title: Chief Financial Officer / Treasurer

Proposer: Kokosing Construction Company, Inc.

*[Copy this form and modify as needed for execution by Proposer, Equity Members, Major Participants, and all proposed Subcontractors.]*

## **FORM S**

### **USE OF CONTRACT FUNDS FOR LOBBYING CERTIFICATE**

The undersigned [**NTD**: check one]


Proposer ☐ Equity Member ☐ Major Participant ☒ proposed Subcontractor ☐

The undersigned certifies, to the best of its knowledge and belief, that:

1. The firm/entity for which the undersigned is making this certification has complied with Section 1352, Title 31, U.S. Code, and specifically, that:
  - a. No federal appropriated funds have been paid or will be paid, by or on behalf of such firm/entity, to any person for influencing or attempting to influence an officer or employee of any federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal agreements, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal agreement, grant, loan, or cooperative agreement.
  - b. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with such federal agreement, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL "Disclosure Form to Report Lobbying," in accordance with its instructions.
2. The firm/entity for which the undersigned is making this certification also agrees that it shall require that the language of this certification be included in all contractor agreements including lower tier subcontracts, which exceed \$100,000, and that all such sub recipients shall certify and disclose accordingly. Any person who fails to sign or file this required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each failure

Date: November 6, 2020

Firm/Entity: Jacobs Engineering Group Inc.

Signature: \_\_\_\_\_

Title: Vice President Missouri Operations

Proposer: Kokosing Construction Company, Inc.

*[Copy this form and modify as needed for execution by Proposer, Equity Members, Major Participants, and all proposed Subcontractors.]*



**FORM S**

**USE OF CONTRACT FUNDS FOR LOBBYING CERTIFICATE**

The undersigned [**NTD**: check one]

Proposer ☐ Equity Member ☐ Major Participant ☒ proposed Subcontractor ☐

The undersigned certifies, to the best of its knowledge and belief, that:

1. The firm/entity for which the undersigned is making this certification has complied with Section 1352, Title 31, U.S. Code, and specifically, that:
  - a. No federal appropriated funds have been paid or will be paid, by or on behalf of such firm/entity, to any person for influencing or attempting to influence an officer or employee of any federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal agreements, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal agreement, grant, loan, or cooperative agreement.
  - b. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with such federal agreement, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL "Disclosure Form to Report Lobbying," in accordance with its instructions.
2. The firm/entity for which the undersigned is making this certification also agrees that it shall require that the language of this certification be included in all contractor agreements including lower tier subcontracts, which exceed \$100,000, and that all such sub recipients shall certify and disclose accordingly. Any person who fails to sign or file this required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each failure

Date: 11-06-, 2020

Firm/Entity: North Star Pairings CO. INC.

Signature: 

Title: Vice President

Proposer: Kokosing Construction Company

*[Copy this form and modify as needed for execution by Proposer, Equity Members, Major Participants, and all proposed Subcontractors.]*

## FORM S

### USE OF CONTRACT FUNDS FOR LOBBYING CERTIFICATE

The undersigned [**NTD**: check one]

Proposer ☐ Equity Member ☐ Major Participant ☒ proposed Subcontractor ☐

The undersigned certifies, to the best of its knowledge and belief, that:

1. The firm/entity for which the undersigned is making this certification has complied with Section 1352, Title 31, U.S. Code, and specifically, that:
  - a. No federal appropriated funds have been paid or will be paid, by or on behalf of such firm/entity, to any person for influencing or attempting to influence an officer or employee of any federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal agreements, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal agreement, grant, loan, or cooperative agreement.
  - b. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with such federal agreement, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL "Disclosure Form to Report Lobbying," in accordance with its instructions.
2. The firm/entity for which the undersigned is making this certification also agrees that it shall require that the language of this certification be included in all contractor agreements including lower tier subcontracts, which exceed \$100,000, and that all such sub recipients shall certify and disclose accordingly. Any person who fails to sign or file this required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each failure

Date: November 9, 2020

Firm/Entity: DLZ Indiana, LLC

Signature: 

Title: Vice President – DLZ Indiana, LLC

Proposer: Kokosing Construction Company

*[Copy this form and modify as needed for execution by Proposer, Equity Members, Major Participants, and all proposed Subcontractors.]*

## **FORM S**

### **USE OF CONTRACT FUNDS FOR LOBBYING CERTIFICATE**

The undersigned [**NTD**: check one]

Proposer ☐ Equity Member ☐ Major Participant ☒ proposed Subcontractor ☐

The undersigned certifies, to the best of its knowledge and belief, that:

1. The firm/entity for which the undersigned is making this certification has complied with Section 1352, Title 31, U.S. Code, and specifically, that:
  - a. No federal appropriated funds have been paid or will be paid, by or on behalf of such firm/entity, to any person for influencing or attempting to influence an officer or employee of any federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal agreements, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal agreement, grant, loan, or cooperative agreement.
  - b. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with such federal agreement, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL "Disclosure Form to Report Lobbying," in accordance with its instructions.
2. The firm/entity for which the undersigned is making this certification also agrees that it shall require that the language of this certification be included in all contractor agreements including lower tier subcontracts, which exceed \$100,000, and that all such sub recipients shall certify and disclose accordingly. Any person who fails to sign or file this required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each failure

Date: November 9, 2020

Firm/Entity: Metric Environmental, LLC

Signature: 

Title: Vice President

Proposer: Kokosing Construction Co.

*[Copy this form and modify as needed for execution by Proposer, Equity Members,  
Major Participants, and all proposed Subcontractors.]*

**FORM S**

**USE OF CONTRACT FUNDS FOR LOBBYING CERTIFICATE**

The undersigned [**NTD**: check one]

Proposer ☐ Equity Member ☐ Major Participant ☐ proposed Subcontractor ☒

The undersigned certifies, to the best of its knowledge and belief, that:

1. The firm/entity for which the undersigned is making this certification has complied with Section 1352, Title 31, U.S. Code, and specifically, that:
  - a. No federal appropriated funds have been paid or will be paid, by or on behalf of such firm/entity, to any person for influencing or attempting to influence an officer or employee of any federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal agreements, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal agreement, grant, loan, or cooperative agreement.
  - b. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with such federal agreement, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL "Disclosure Form to Report Lobbying," in accordance with its instructions.
2. The firm/entity for which the undersigned is making this certification also agrees that it shall require that the language of this certification be included in all contractor agreements including lower tier subcontracts, which exceed \$100,000, and that all such sub recipients shall certify and disclose accordingly. Any person who fails to sign or file this required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each failure

Date: November 6, 2020

Firm/Entity: Modjeski and Masters, Inc.

Signature:   
Michael F. Bratt



Title: President & CEO

Proposer: Kokosing Construction Company

*[Copy this form and modify as needed for execution by Proposer, Equity Members, Major Participants, and all proposed Subcontractors.]*



# Debarment and Suspension Certification

■ Form K



VOLUME 1

## FORM K

### DEBARMENT AND SUSPENSION CERTIFICATION

The undersigned Proposer certifies on behalf of itself and all Equity Members, Major Participants and proposed Subcontractors the following:

The undersigned certifies to the best of its knowledge and belief, that it and its principals:

- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal department or agency;
- b. Have not within a 3-year period preceding this Proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in paragraph 1b of this certification; and
- d. Have not within a 3-year period preceding this application/proposal had one or more public transactions (federal, state or local) terminated for cause or default.

Where Proposer is unable to certify to any of the statements in this certification, it shall attach a certification to its Proposal or bid stating that it is unable to provide the certification and explaining the reasons for such inability.

Date: November 9, 2020

Proposer: Kokosing Construction Company, Inc.

Signature: 

Title: Vice President Engineering and Alternative Delivery

Guarantor  
Commitment Letter  
■ Form P

Guarantor Commitment  
Letter - Form P



VOLUME 1



**FORM P**

**GUARANTOR COMMITMENT LETTER**

November 9, 2020

Indiana Finance Authority  
One North Capitol Avenue, Suite 900  
Indianapolis, Indiana 46204  
Attention: Silvia Perez

RE: GUARANTOR COMMITMENT TO PROVIDE PARENT GUARANTY FOR THE  
SHERMAN MINTON CORRIDOR PROJECT – DESIGN-BUILD CONTRACT

Dear Ms. Perez:

Kokosing, Inc., hereinafter "Guarantor," is the Parent Company of the Proposer.

This commitment letter is provided on behalf of Kokosing Construction Company, Inc. ("Proposer") in connection with Proposer's proposal ("Proposal") for the public-private agreement ("Public-Private Agreement") to design and build the Sherman Minton Corridor Project (the "Project").

Guarantor hereby unconditionally and irrevocably agrees to provide a guaranty, guaranteeing to the Indiana Finance Authority ("IFA") all the obligations of Proposer with respect to the Public-Private Agreement in the form of Exhibit 14 of the Public-Private Agreement and guaranteeing all the obligations of the Design-Build Contractor named in the Proposal that are described in such Exhibit 14 to the Public-Private Agreement. This commitment extends to all Proposal Commitments that will be incorporated into the Public-Private Agreement, and to all changes from the form of Public-Private Agreement included in the Request for Proposals for the Project that may be negotiated between IFA and Proposer.

This commitment is subject only to award of the Public-Private Agreement to Proposer and execution of the Public-Private Agreement by IFA.

Sincerely,



[Title] CHIEF FINANCIAL OFFICER

[Attach evidence of authorization of the signatory to the letter, which may include a Power of Attorney signed by an authorized individual of the entity or other authority, as evidenced by the partnership agreement, joint venture agreement, corporate charter, bylaws or resolution.]

Insurance



VOLUME 1



**Scott Pell - CIC**  
Senior Vice President

Marsh USA Inc.  
125 Ottawa Avenue, N.W.  
Suite 400  
Grand Rapids, MI 49503  
+1 616 233 4200  
scott.j.pell@marsh.com  
www.marsh.com

October 30, 2020

Subject: Kokosing Construction Company, Inc. – Broker Verification Letter – Indiana Financing Authority/INDOT – Sherman Minton Corridor Project

To Whom it May Concern:

As the insurance broker for Kokosing Construction Company, Inc., (the "Client"), we have been requested to provide you with this letter with respect to certain insurance placed by us on the Client's behalf.

In connection with this letter, we have reviewed have read the attached Private Public Agreement(including the insurance requirements) and can confirm that our Client will be able to obtain and maintain the insurance types and amounts required by the PPA Documents and can do so under the terms, and subject to the conditions, specified in Section 9 of the PPA.

We express no view and assume no liability with respect to the above provided information. We assume no obligation to advise you of any developments regarding the provided data subsequent to the date hereof. This letter is given on the condition that you forever waive any liability against us based upon the placement of the Policies and/or the statements made herein except to the extent arising out of our gross negligence or fraud.

This letter may not be republished by you or used for any other purpose without our prior written consent.

Sincerely,  
Marsh USA Inc.

A handwritten signature in black ink, appearing to read "Scott Pell", with a stylized flourish at the end.

Scott Pell – CIC  
Senior Vice President

# Confidential Contents Index



VOLUME 1



# 3.2.16 | Confidential Contents Index

The Kokosing team has identified the specific items noted in the figure below as a trade secret or other confidential information protected from disclosure by an exception in the Public Records Act.

VOLUME	SECTION	PROPOSAL LOCATION
Volume 1	3.2.3 Responsible Proposer and Major Participant Questionnaire Form C-1 and Attachments	Volume 1, Responsible Proposer and Major Participant Questionnaire Form C-1 Tab (includes attachments)
Volume 1	Appendix	Proposer Teaming Agreement or Key Terms Tab
Volume 1	Appendix	Executed Contracts or Term Sheets/Heads of Terms Tab
Volume 2	Appendix	Key Personnel Resumes Tab
Volume 3	All	All of Volume 3, including financials



Kevin Ohl, PE, DBIA  
Vice President Engineering and Alternative Delivery  
Kokosing Construction Company, Inc.



# Preliminary DBE Performance Plan



VOLUME 1

# 3.2.17 Preliminary DBE Performance Plan

## a) A Comprehensive and Aggressive Approach to Achieving the DBE goals

The Kokosing DBT is committed to meeting the 6% DBE goal for the Sherman Minton project. We conduct the first steps to achieving this goal pre-bid. We identify potential work packages for DBE firms early in the bid process and calculate ballpark estimates of the potential value of the DBE work. We then use this preliminary DBE goal attainment report to seek out DBE firms that are willing and capable of performing the work to achieve the goal. We communicate with potential DBE firms that perform work relevant to the project by sending solicitations, follow up emails, and then follow up phone calls to ensure that as many firms as possible were considered. Communications with all DBE firms are logged as part of our Good Faith Effort.

As we receive DBE firm proposals, we carefully review and consider them toward attaining the DBE goal. We track DBE firms known at bid time and continually monitor the KYTC and INDOT DBE sites to identify new DBE firms who may be able to assist with the project during construction.

Kokosing was recently awarded as the 2020 Ohio Transportation Excellence in Diversity and Inclusion – Prime Contractor of the Year as part of the Ohio Civil Rights Transportation Symposium. This recognizes Kokosing's outstanding track record of DBE participation, fostered relationships, and utilization on Ohio Department of Transportation (ODOT) contracts.

Once our team is the awarded bidder for the project, we will sign subcontracts and vender agreements with the selected DBE firms. Prior to starting work on the project, our staff will meet individually with the DBE firms, usually on-site at the project field office, to review the project site conditions, project schedule, safety, maintenance of traffic, erosion control, and any additional requirements relative to the DBE firms' successful performance of their work. DBE firms are continuously tracked throughout the project to ensure we meet the project's goal. Figure 4 provides an example of a Kokosing's DBE tracking report.

As the individual DBE firms perform work on the project, the project staff will keep of record of each firm's contract amounts paid throughout the life of the project. We regularly review the DBE contract amounts paid and progress toward the DBE goal attainment to

DBE TRACKING REPORT									
KCC #15879 ODOT 218(16) - CUY/SUM							DATE: 6/30/2020		
Anticipated Contract	\$135,002,874.88	SUBMITTED DBE	CURRENT DBE (TOTAL EARNED)					PROJECTED FINAL	
DBE Goal	\$16,200,344.99	\$14,410,754.40	\$17,457,637.64					\$17,778,010.19	
DBE Goal (%)	12.00%	10.67%	12.93%					13.17%	
							ENGINEER TO COMPLETE		
Calculations based on Orig. Contract Goal		SUBMITTED DOLLARS	TOTAL PAID (JTD)	RETAINAGE (JTD)	TOTAL EARNED	TOTAL REMAINING	% COMPLETE	PROJECTED FINAL CONTRACT	COMMENTS
SUBCONTRACTORS									
American Roadway Logistics		\$ 751,611.00	\$ 85,903.60	\$ -	\$ 85,903.60	\$ -	100.00%	\$ 85,903.60	
CBD Fabrication		\$ 147,735.00	\$ 147,735.00	\$ -	\$ 147,735.00	\$ -	100.00%	\$ 147,735.00	
Cook Paving		\$ 887,345.00	\$ 881,576.40	\$ 29,347.74	\$ 910,924.14	\$ (193,588.88)	82.47%	\$ 1,104,513.02	
Cuyahoga Fence		\$ 330,526.00	\$ 259,360.33	\$ 19,849.56	\$ 279,209.89	\$ (73,665.96)	79.12%	\$ 352,875.85	
Cuyahoga Supply (Material Mfg)		\$ 619,362.00	\$ 1,018,138.35	\$ -	\$ 1,018,138.35	\$ (31,850.08)	96.97%	\$ 1,049,988.43	
DHDC Engineering Consulting Services, Inc.		\$ 63,550.00	\$ 61,533.03	\$ -	\$ 61,533.03	\$ -	100.00%	\$ 61,533.03	

Figure 4 - Example Kokosing DBE Tracking Report

make sure that that the DBT is on track to meet the project goal.



Key to the success of meeting the DBE goal of this project was adding DBE subconsultant Metric Environmental. Metric Environmental will provide environmental compliance management services along with cultural and historic research and permitting expertise.

### **b) Approach to Encourage Participation of DBE Firms**

The Kokosing DBT regularly attends project-specific DBE Matchmaker events held by ODOT. These events are aimed at encouraging interested DBE firms to make networking connections with prime contractors and understand the project's scope of work and how they might provide benefit to the project. These events have helped us connect with many new DBE firms over the years and enable us to use those connections to reach out and encourage their participation on upcoming projects we are bidding which they may not have otherwise heard about.

For this project we combined both the Kentucky and Indiana DBE data bases and identified potential DBE firms that performed work relative to the project's scope. In total we engaged 104 potential DBE firms

with solicitations, follow-up emails, and follow-up phone calls to reach as many firms as possible. We used a ShareFile site to aid in communication and plan distribution of relevant RFP documents. We logged communications with DBE firms as part of our Good Faith Effort.

To further encourage DBE participation, we have appropriately sized our subcontractor packages as we understand that not all firms are capable of handling large portions of scope on a project of this size. Additionally, we have considered subcontracting work to DBE firms to gain participation on work that we would typically self-perform.

### **c) Approach to Outreach and Assistance for Potential DBE Firms who may be Eligible to Become Certified and Participate as a DBE**

Kokosing has a dedicated team of subcontracting, purchasing, and buyout personnel who are responsible for achieving the company's subcontracting DBE goals. Throughout the bid process, they have used aggressive and innovative strategies to attract DBE firms and they will continue to encourage more potential firms to obtain a DBE status to further support the project.

Kokosing has an ongoing \$160 million design-build project named Opportunity Corridor 3 which is aimed at providing transportation access to underserved neighborhoods and serving the community with job opportunities during the project's construction. Through our work on this project, we have worked with DBE subconsultants Artessa Building Group, Career Development and Placement Strategies, and Brownstone Grey to develop strategies for DBE outreach. Our work with Artessa introduced us to the Spanish American Committee, the Hispanic Business Center, and mentoring of new DBE firms through SCORE's (Service Corps of Retired Executives) mentorship program. All of these groups have provided great resources for us to further develop relationships within the DBE community, and we have drawn upon these resources to encourage further DBE participation.

#### **d) Description of How Proposer Shall Challenge and Expand Technical, Management, and Business Capabilities of DBE Firms**

In the past, Kokosing has participated as a mentor in a partnered ODOT and Ohio Contractor Association Mentor/Protégé program. Through the program, Kokosing spent one year with a DBE firm, educating its staff on the various facets of our business. These efforts included meetings with members of our upper management team in our corporate headquarters, walk-throughs of Kokosing facilities, and tours of several jobsites. This program allowed Kokosing to impart knowledge regarding how we bid, how our accounting system operates, how we make corporate decisions, and ultimately how Kokosing runs projects. From all of this, Kokosing worked with the partnered DBE firm to create a plan of action to expand their firms' capabilities. Some key points we implemented as part of the plan included:

- Conducted readiness assessments reviewing DBE firms' infrastructure, staff, certifications, and bonding. Evaluate firms' access to capital qualification, capability, and capacity.
- Held quarterly workshops focused on best practices, common mistakes to avoid, management, leadership, the importance of marketing, and advertising. Assist in developing practices that will enable long-term viability for future construction projects.
- Strategic Planning Workshop provided a six-step framework to guide DBE firms through their business plan. Quarterly Developmental Workshops will focus on bonding, estimating, scheduling, cost control, prequalification, and general contract knowledge.
- Conducted branding workshops to introduce new innovative concepts to DBE's firms for branding their staff and vehicles, creating business slogans, and training their staff. Business expansion strategies will include social media marketing, search engine optimization, online reviews, networking with potential clients, and getting accredited.

We will utilize our lessons learned from this program to assist DBE firms on the Sherman Minton project wishing to expand their capabilities.



# Prequalification Information

■ Form T



VOLUME 1

## **FORM T**

### **PREQUALIFICATION IDENTIFICATION**

*[This form will be used to provide information about the team members, as of the Proposal Due Date, required for the Proposer team to satisfy the Prequalification Work Type Certification requirements set forth in ITP Section 1.13 (other than clauses (i)-(vi) of Section 1.13(c), which need not be satisfied as of the Proposal Due Date.]*

**Proposer Name: Kokosing Construction Company, Inc.**

<b>ITP Section Cross-Reference</b>	<b>Prequalification Work Type</b>	<b>Proposer Team Member Satisfying Prequalification</b>
<u>Section 1.13(a)</u>	INDOT Certificate of Qualification for at least \$150 million in the aggregate	Kokosing Construction Company, Inc.
<u>Section 1.13(b)(i)</u>	\$Unlimited in the following INDOT construction prequalification work type:  D (a) Highway or Railroad Bridges over Water	Kokosing Construction Company, Inc.
<u>Section 1.13(b)(ii)</u>	\$Unlimited in the following INDOT construction prequalification work type:  D (c) Bridge Involving Protection of Railroad Tracks	Kokosing Construction Company, Inc.
<u>Section 1.13(c)(vii)</u>	INDOT Prequalification Work Type E (l) Structural Steel Erection	Kokosing Construction Company, Inc.
<u>Section 1.13(c)(viii)</u>	INDOT Prequalification Work Type E (m) Cleaning and Painting Bridges	North Star Painting Co. Inc.
<u>Section 1.13(d)(i)</u>	INDOT Prequalification Work	Jacobs Engineering Group

	Type Certification in 3.2 Complex Traffic Capacity and Operations Analysis	Inc.
<u>Section 1.13(d)(ii)</u>	INDOT Prequalification Work Type Certification in 5.6 Waterway Permits	Metric Environmental, LLC
<u>Section 1.13(d)(iii)</u>	INDOT Prequalification Work Type Certification in 5.9 Archaeological Investigations	Metric Environmental, LLC
<u>Section 1.13(d)(iv)</u>	INDOT Prequalification Work Type Certification in 5.10 Historical/Architectural Investigations	Metric Environmental, LLC
<u>Section 1.13(d)(v)</u>	INDOT Prequalification Work Type Certification in 6.1 Topographic Survey Data Collection	DLZ Indiana, LLC
<u>Section 1.13(d)(vi)</u>	INDOT Prequalification Work Type Certification in 7.1 Geotechnical Engineering Services	DLZ Indiana, LLC
<u>Section 1.13(d)(vii)</u>	INDOT Prequalification Work Type Certification in 8.2 Complex Roadway Design	Jacobs Engineering Group Inc.
<u>Section 1.13(d)(viii)</u>	INDOT Prequalification Work Type Certification in 9.1 Level 1 Bridge Design	Jacobs Engineering Group Inc.
<u>Section 1.13(d)(ix)</u>	INDOT Prequalification Work Type Certification in 9.2 Level 2 Bridge Design	Jacobs Engineering Group Inc.
<u>Section 1.13(d)(x)</u>	INDOT Prequalification Work Type Certification in 10.1 Traffic Signal Design	Jacobs Engineering Group Inc.

<u>Section 1.13(d)(xi)</u>	INDOT Prequalification Work Type Certification in 10.3 Complex Roadway Sign Design	Jacobs Engineering Group Inc.
<u>Section 1.13(d)(xii)</u>	INDOT Prequalification Work Type Certification in 10.4 Lighting Design	Jacobs Engineering Group Inc.
<u>Section 1.13(d)(xiii)</u>	INDOT Prequalification Work Type Certification in 10.5 Intelligent Transportation System Design	Jacobs Engineering Group Inc.
<u>Section 1.13(d)(xiv)</u>	INDOT Prequalification Work Type Certification in 10.6 Intelligent Transportation System Integration	Jacobs Engineering Group Inc.
<u>Section 1.13(d)(xv)</u>	INDOT Prequalification Work Type Certification in 14.1 Regular Bridge Inspections	DLZ Indiana, LLC
<u>Section 1.13(d)(xvi)</u>	INDOT Prequalification Work Type Certification in 14.2 Complex Bridge Inspections	DLZ Indiana, LLC
<u>Section 1.13(d)(xvii)</u>	INDOT Prequalification Work Type Certification in 14.5 Bridge Load Capacity Rating & Other Bridge Analysis/Testing	Jacobs Engineering Group Inc.
<u>Section 1.13(d)(xviii)</u>	INDOT Prequalification Work Type Certification in 16.1 Utility Coordination	DLZ Indiana, LLC
<u>Section 1.13(d)(xix)</u>	INDOT Prequalification Work Type Certification in 17.3 Storm Sewer and Detention Design	Jacobs Engineering Group Inc.
<u>Section 1.13(d)(xx)</u>	INDOT Prequalification Work Type Certification in 18.1 Pavement Analysis and	DLZ Indiana, LLC



	Design	
<u>Section 1.13(d)(xxi)</u>	KYTC Prequalification Work Type Certification in [Bridge] Spans Less Than 500 Feet (Including Culvert Design)	Jacobs Engineering Group Inc.
<u>Section 1.13(d)(xxii)</u>	INDOT Prequalification Work Type Certification in Level 3 Design Elements, including design and rehabilitation of deck truss, through truss, and tied-arch through truss type structures	Not applicable, per note below
<u>Section 1.13(d)(xxiii)</u>	KYTC Prequalification Work Type Certification in [Bridge] Spans Greater Than 500 Feet	Jacobs Engineering Group Inc.

Note:

1. In accordance with Section 1.13(d) of the ITP, Proposer (or its Equity Members or Major Participants) must have the required prequalification for either Level 3 Design Elements, including design and rehabilitation of deck truss, through truss, and tied-arch through truss type structures (INDOT) or [Bridge] Spans Greater Than 500 Feet (KYTC).

# Certificate of Qualification

ISSUED BY

## Indiana Department of Transportation

INDIANAPOLIS, IN

September 4, 2020

TO

KOKOSING CONSTRUCTION CO INC

WESTERVILLE, OH

who has filed with the Department a Contractor's Statement of Experience and Financial Condition as required under Indiana Code 8-23-10, is hereby qualified to bid at any Department of Transportation letting in Classes of Work and within the amount and other limitation of each classification as listed below, for such period as the uncompleted work on hand from all sources does not exceed the Aggregate amount. Classification references by name or symbol are in accordance with the definitions in the Contractor's Statement of Experience and Financial Condition. This certificate supersedes any certificate previously issued, but is subject to revision or revocation according to the law, if and when changes in the financial condition of the contracting firm or other facts justify such revision or revocation.

Valid September 4, 2020 Thru July 31, 2021

AGGREGATE .....	\$UNLIMITED
A(A) CONCRETE PAVEMENT: GENERAL .....	\$UNLIMITED
C(A) HEAVY GRADING .....	\$UNLIMITED
D(A) HIGHWAY OR RAILROAD BRIDGE OVER WATER .....	\$UNLIMITED
D(B) HIGHWAY OR RAILROAD BRIDGE OVER HIGHWAY .....	\$UNLIMITED
D(C) HWY/RR BRIDGE REQ RR TRACK PROTECTION .....	\$UNLIMITED
E(B) ASPHALT PVMT: W/O INDOT CERT HMA PLANT .....	\$UNLIMITED
E(C) BRIDGE DECK OVERLAY& MINOR BRIDGE REPAIR .....	\$UNLIMITED
E(E) SMALL STRUCTURES AND DRAINAGE ITEMS .....	\$UNLIMITED
E(F) SURFACE MASONRY AND MISC CONCRETE .....	\$10,000,000
E(H) DEEP SEWER AND/OR EXCAVATION .....	\$UNLIMITED
E(I) PERMANENT SEEDING, SODDING, AND TOP SOIL .....	\$UNLIMITED

  
PREQUALIFICATION ENGINEER

  
COMMISSIONER



6235 WESTERVILLE RD | WESTERVILLE, OH 43081  
PHONE 614.228.1029

November 13, 2020

Silvia Perez  
Indiana Finance Authority  
One North Capitol Avenue, Suite 900  
Indianapolis, Indiana 46024

Attention Silvia Perez,

The preceding Certificate of Prequalification does not list Kokosing Construction Company, Inc. as holding prequalification for Work Type E(L) – Structural Steel Erection.

Per the attached email from Mr. Patrick Goralski at the Indiana Department of Transportation, Kokosing does hold this prequalification, and simply has not received an updated certificate yet.

Sincerely,

A handwritten signature in blue ink, appearing to read "K. Ohl", is positioned above the typed name.

Kevin Ohl, PE, DBIA  
Vice President Engineering and Alternative Delivery  
Kokosing Construction Company, Inc.



AN EQUAL  
OPPORTUNITY  
EMPLOYER



**Kevin Ohl**

---

**From:** Ryan Bodenhorn  
**Sent:** Wednesday, November 4, 2020 8:11 AM  
**To:** Kevin Ohl; Brad Bowers; Brant Mercer  
**Subject:** FW: Work Type Request E I

Please see below for an update from INDOT on our certificate. We are definitely approved for Work Type E(L) and it is registered in their database, but it looks like our certificate may be a few more days until it is received (10-14 business days from 10/27/20 is 11/10/20 – 11/16/20). Would you like me to push to see if there is any way we can get our certificate expedited?

Thanks,

**Ryan Bodenhorn, CPA, Controller**  
Kokosing, Inc.  
[rmb@kokosing.biz](mailto:rmb@kokosing.biz)  
17531 Waterford Road, Fredericktown, Ohio 43019-0226  
Phone: 740.848.4957 | Mobile: 614.404.1691



---

**From:** Goralski, Patrick <PGoralski@indot.IN.gov>  
**Sent:** Wednesday, November 4, 2020 7:51 AM  
**To:** Ryan Bodenhorn <rmb@kokosing.biz>  
**Cc:** Murillo, Jose (INDOT) <JoMurillo@indot.IN.gov>  
**Subject:** RE: Work Type Request E I

**Security Alert** - External Email

**Good Morning Ryan,**

I have verified that the E I work type with unlimited capacity is updated in the preconstruction data base for Kokosing. This is the data base normally reviewed to see if a contractor has all required work types. It could take up to 10 – 14 business days before the certificate is emailed. It was processed on 10/27/2020. With the Covid-19 changes in place the busy season for our department was pushed back by 60 days this year. Emailing the certificates is the last step in the process.

I hope this information helps, if someone needs verbal verification please have them call me or Jose Murillo.

**Patrick Goralski**  
*Contractor Performance Manager*  
*Indiana Department of Transportation*  
100 N. Senate Ave, Room N758-PQ  
Indianapolis, IN 46204  
**Office:** (317) 232-0231  
**Email:** [PGoralski@indot.IN.gov](mailto:PGoralski@indot.IN.gov)  
**Websites:** [Contractors](#) | [Consultants](#)







Firm Information					
Firm Name	Jacobs Engineering Group, Inc.	Registration/General Expiration	05/31/2022	Highest Financial Level	CPA Audit
Prequalification Required	Yes	Technical Expiration	05/31/2022	Financial Expiration	03/27/2021
Prequalification Status	Approved	Approved WorkTypes	Approved for 22 worktypes. <a href="#">Details</a>		

Application is in View Mode, no editing allowed when in view mode.

General Form

Technical Form

Technical Information Form: **Completed**

















Application Type: **General/Technical Modification**

Quick Steps for filling out the Technical Prequalification Application:

- Use the to add a new work type.
- Select a predefined work type or choose 15.1 for specialty not listed.
- If requesting 15.1, add a brief description of the type of work in the text box.
- The firm must associate qualified person(s) to each work type by clicking on the icon. If only one qualifying person is required per the Consultant Prequalification Manual, then the application will only let you submit one person.
- Provide information about the qualifying person, including professional licenses, active registrations, and certifications by clicking on the icon next to the person name.
- List the qualifying person's education.
- List projects related to the requested work type, including detail about each project and the role the qualifying person played in the project.
- Select the work types that were performed on each project entered. At least one project associated to the work type(s) requested is required to submit the application.
- Provide a brief summary of the qualifying person's employment history, experience, and qualifications.
- Use the icon to remove a qualified person from a work type.
- Use the icon to remove a work type.
- Use the icon to view or print a qualified person's details.

Application Work Types

Filter: AND				
Work Type	Work Type Description	Status	QP Min/Max	Qualifying Persons
1.1	Systems Planning	Approved	1/1	Culter, Paul
2.2	Traffic Forecasting	Approved	1/1	Jakobsen, Craig
3.1	Non-Complex Traffic Capacity and Operations Analysis	Approved	1/1	Hammerl, Chad
3.2	Complex Traffic Capacity and Operations Analysis	Approved	1/1	Hammerl, Chad
4.1	Traffic Safety Analysis	Approved	1/1	Hammerl, Chad
5.1	Environmental Document Preparation - EA/EIS	Approved	1/1	Frantz, Jeff
5.2	Environmental Document Preparation - CE	Approved	1/1	Frantz, Jeff
5.3	Environmental Document Preparation - Section 4(f)	Approved	1/1	Frantz, Jeff
5.4	Ecological Surveys	Approved	1/3	Abbott, Matthew J Hook, Robert Johnson, Jeffrey P
5.5	Wetland Mitigation	Firm Removed	1/1	
5.6	Waterway Permits	Firm Removed	1/1	
5.8	Noise Analysis and Abatement Design	Firm Removed	1/1	
8.1	Non-Complex Roadway Design	Approved	1/1	Morris, Daniel M
8.2	Complex Roadway Design	Approved	2/2	Morris, Daniel M Pettit, Tom
9.1	Level 1 Bridge Design	Approved	2/2	Cronin, Michael J Finke, John E
9.2	Level 2 Bridge Design	Approved	2/2	Cronin, Michael J Finke, John E
10.1	Traffic Signal Design	Approved	1/1	Hammerl, Chad
10.2	Traffic Signal System Design	Approved	1/1	Hammerl, Chad
10.3	Complex Roadway Sign Design	Approved	1/1	Bartolucci, William J
10.4	Lighting Design	Approved	1/1	Swanson, Robert
10.5	Intelligent Transportation Systems Design	Approved	2/2	Hammerl, Chad Swanson, Robert
10.6	Intelligent Transportation Systems Integration	Approved	2/2	Duzan, Daniel Swanson, Robert
13.1	Construction Inspection	Approved	2/2	Catalanotte, AJ

				Hernandez, Dennis	Approved		
14.1	Regular Bridge Inspection	INDOT Denied	1/1	Breen, Ryan	Denied		
14.2	Complex Bridge Inspection	INDOT Denied	1/1				
14.3	Underwater/In-Water Bridge Inspection	INDOT Denied	3/3	Breen, Ryan	Not Reviewed		
				Karl, Daniel	Denied		
				Lytle, Geoffrey	Denied		
14.4	Small Structure and Miscellaneous Structure Inspections	INDOT Denied	2/2	Raney, Tucker	Approved		
14.5	Bridge Load Capacity Rating & Other Bridge Analysis/Testing	Approved	1/1	Loureiro, Marco	Approved		
15.1	Aviation Planning/Airport Design	Firm Removed	1/3				
15.1	GIS System Design	Firm Removed	1/3				
15.1	Landscape Architecture	Firm Removed	1/3				
15.1	Transit Planning	Firm Removed	1/3				
15.1	Specialty Not Defined	Firm Removed	1/3				
17.3	Storm Sewer and Detention Design	Approved	1/1	Kokal, Jeffrey F	Approved		
Showing 1-34 of 34					Items Per Page	50	Prev 1 Next

[View All Technical Documents](#)

To sign, click the "I affirm" statement checkbox when all information is completed.  
 If the entered information does not validate, the "I affirm" checkbox will not remain checked and error messages will be displayed.  
 Signature is required before being able to submit the application.

☒ I affirm the information submitted is true to the best of my knowledge.

Technical Information Form has been signed by Tokh, Roshanna on 7/31/2020 12:55:17 PM.

Click here to print the Technical Application.



Click here to print Qualification Person information.



Close

#### Application Submittal

Application Type: [General/Technical Modification](#)

Application submitted by Tokh, Roshanna on 7/31/2020 1:01:19 PM

Submit



**Matthew G. Bevin**  
Governor

**COMMONWEALTH OF KENTUCKY  
TRANSPORTATION CABINET**

Frankfort, Kentucky 40622  
[www.transportation.ky.gov/](http://www.transportation.ky.gov/)

**Greg Thomas**  
Secretary

November 12, 2019

Mr. Mitchell Braun  
Jacobs Engineering Group, Inc.  
401 W. Main Street  
Louisville, KY 40202

Dear Mr. Braun:

The Cabinet's Consultant Prequalification Committee wishes to inform you that your qualifications to perform services for the Kentucky Transportation Cabinet have been updated to include the following:

- Rural Roadway Design
- Urban Roadway Design
- Utility Design: Water & Sewer Level 1 & 2
- Structure Design Spans Under 500'
- Structure Design Spans Over 500'
- Electrical Engineering Roadway Lighting Services
- ITS Architecture Development
- ITS System Design, Deployment & Integration
- ITS Technology, Systems Evaluation
- Geotechnical Engineering Services
- In-depth Structure Inspection
- Underwater Structure Inspection
- Tunnel Inspection
- Highway Noise
- EIS Writing and Coordination

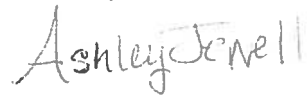
Your firm remains eligible to be considered for contract negotiations whenever the Cabinet's needs required consulting engineering services of the type for which your firm has been prequalified to perform.



An Equal Opportunity Employer M/F/D

Please note that December 1, 2020 is the Anniversary Date of your firm's qualifications. It will be your responsibility to renew your firm's qualifications on your anniversary date. This letter will be the only notification by this agency of the need for your firm to renew its qualifications.

Sincerely,

A handwritten signature in dark ink that reads "Ashley Jewell". The signature is written in a cursive style with a large initial 'A' and a distinct 'J'.

Ashley Jewell  
Consultant Prequalification Committee



# Certificate of Qualification

ISSUED BY

## Indiana Department of Transportation

INDIANAPOLIS, IN

February 26, 2020

TO

NORTH STAR PAINTING CO

YOUNGSTOWN, OH

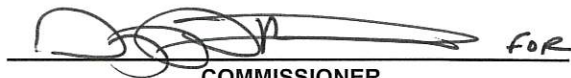
who has filed with the Department a Contractor's Statement of Experience and Financial Condition as required under Indiana Code 8-23-10, is hereby qualified to bid at any Department of Transportation letting in Classes of Work and within the amount and other limitation of each classification as listed below, for such period as the uncompleted work on hand from all sources does not exceed the Aggregate amount. Classification references by name or symbol are in accordance with the definitions in the Contractor's Statement of Experience and Financial Condition. This certificate supersedes any certificate previously issued, but is subject to revision or revocation according to the law, if and when changes in the financial condition of the contracting firm or other facts justify such revision or revocation.

Valid February 11, 2020 Thru January 31, 2021

AGGREGATE .....	\$64,703,000
0998 CLEANING & PAINTING BRIDGES: SSPC QP1 .....	\$64,703,000
E(M) CLEANING & PAINTING BRIDGES: SSPC QP2 .....	\$64,703,000



PREQUALIFICATION ENGINEER



COMMISSIONER

**Prequalified Work Type Certification**  
Issued By  
**Indiana Department of Transportation**

Date Printed: 12/20/2019

**DLZ Indiana, LLC**

**Valid Work Groups**

**Effective:** 12/20/2019

**Expires on:** 10/31/2021

Work Type Code	Work Type Description	Qualifying Person(s)
1.1	Systems Planning	Metzer, Stephen G
2.1	Traffic Data Collection	Fawcett, Charles
2.2	Traffic Forecasting	Tian, Xin
3.1	Non-Complex Traffic Capacity and Operations Analysis	Ghumman, Haseeb A
3.2	Complex Traffic Capacity and Operations Analysis	Ghumman, Haseeb A
4.1	Traffic Safety Analysis	Ghumman, Haseeb A
5.1	Environmental Document Preparation - EA/EIS	Stone, Jason A
5.2	Environmental Document Preparation - CE	Stone, Jason A
5.3	Environmental Document Preparation - Section 4(f)	Stone, Jason A
5.4	Ecological Surveys	Dingledine, Natalie A Metzer, Stephen G
5.5	Wetland Mitigation	Metzer, Stephen G
5.6	Waterway Permits	Kummeth, Michael A
5.8	Noise Analysis and Abatement Design	Winters, Steven J
5.13	ESA Screening and Phase I ESA	Kaughner, Thomas
5.14	Phase II ESA and Further Site Investigation/Corrective Action	Kaughner, Thomas



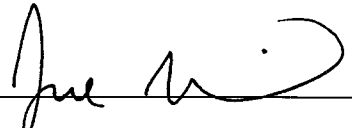
Work Type Code	Work Type Description	Qualifying Person(s)
6.1	Topographic Survey Data Collection	Hathaway, Robert P Jones, Steven A
7.1	Geotechnical Engineering Services	Beahr, Zachary B Hampshire, Timothy A Sucharski, Jody S
8.1	Non-Complex Roadway Design	Smith, Brian M
8.2	Complex Roadway Design	Fisk, Gary K Smith, Brian M
8.3	Roundabout Design	Ghumman, Haseeb A
9.1	Level 1 Bridge Design	Kummeth, Michael A Trana, Pedro A
9.2	Level 2 Bridge Design	Kummeth, Michael A Trana, Pedro A
10.1	Traffic Signal Design	Ghumman, Haseeb A
10.2	Traffic Signal System Design	Swenson, Jeffrey R
10.3	Complex Roadway Sign Design	Ghumman, Haseeb A
10.4	Lighting Design	Swenson, Jeffrey R
11.1	Right of Way Plan Development	Hathaway, Robert P Jones, Steven A
12.1	Project Management for Acquisition Services	Foster, Paul D
12.2	Title Research	Goodrich, Nichole M
12.3	Value Analysis = Qasim Asghar	
12.6	Negotiation	<del>Stroude, J Gregory</del> Qasim Asghar
12.7	Closing	<del>Stroude, J. Gregory</del> Qasim Asghar
13.1	Construction Inspection	Dailey, Brad A Wireman, Ronnie M
14.1	Regular Bridge Inspection	Trana, Pedro A

Jim

Work Type Code	Work Type Description	Qualifying Person(s)
14.2	Complex Bridge Inspection	Trana, Pedro A
14.4	Small Structure and Miscellaneous Structure Inspections	Kummeth, Michael A Trana, Pedro A
14.5	Bridge Load Capacity Rating & Other Bridge Analysis/Testing	Trana, Pedro A
16.1	Utility Coordination	Carrington, Ryan A
17.1	Drainage Design for Driveway Permits	Mann, Martin
17.2	Small Structure and Pipe Design	LaTurner, Jonathan E
17.3	Storm Sewer and Detention Design	LaTurner, Jonathan E
17.4	Bridge Hydraulic Design	LaTurner, Jonathan E Marino, John F
18.1	Pavement Analysis-Design Services	Lehman, Ben B

cc: Prequalification File

**An Equal Opportunity Employer**



Jose M. Murillo, P.E.  
Prequalification Engineer





**Matthew G. Bevin**  
Governor

**COMMONWEALTH OF KENTUCKY  
TRANSPORTATION CABINET**

Frankfort, Kentucky 40622  
[www.transportation.ky.gov/](http://www.transportation.ky.gov/)

**Greg Thomas**  
Secretary

July 17, 2019

Kenneth Beache, President  
METRIC ENVIRONMENTAL, LLC  
6971 Hillsdale Court  
Indianapolis, IN 46250

Subject: DBE/SBE Certification

Dear Mr. Beache:

The Kentucky Transportation Cabinet's DBE Certification Committee has determined that **METRIC ENVIRONMENTAL, LLC** continues to meet the eligibility requirements of a minority-owned Disadvantaged Business Enterprise (DBE) and remains program-eligible pursuant to 49 C.F.R., Part 26.

Along with your DBE continuation, your Small Business Enterprise (SBE) certification has been reciprocally renewed.

**METRIC ENVIRONMENTAL, LLC is DBE/SBE certified to perform the below NAICS code(s), which include the following item(s) of work:**

NAICS code(s) for which DBE/SBE status is recognized: **541330, 541620, and 562910**

**Item(s) of Work:** *Engineering Services, Environmental Consulting Services and Remediation Services*

Absent a finding of regulatory non-compliance, a change in the majority ownership and/or control, or a successful third party challenge, **this certification will be eligible for review on July 30, 2022.**

In accordance with 49 C.F.R. §26.83(j), this firm will be required to submit an affidavit **annually by July 30** to determine whether your firm continues to meet the standards as set forth in 49 C.F.R., Part 26.

Sincerely,

A handwritten signature in blue ink, appearing to read "Melvin Bynes", written over a circular blue ink stamp.

Melvin Bynes  
DBE Liaison Officer  
Small Business Development Branch

mrt/MB



An Equal Opportunity Employer M/F/D



# INDIANA DEPARTMENT OF TRANSPORTATION

100 North Senate Avenue  
Room N725  
Indianapolis, Indiana 46204

PHONE: (317) 232-5095  
FAX: (317) 233-8862

**Eric Holcomb, Governor**  
**Joe McGuinness, Commissioner**

July 21, 2020

Prequalification Section  
(317) 232-5095

Kenneth Beache  
Metric Environmental, LLC  
6971 Hillside Court  
Indianapolis, IN 46250

Re: Consultant Prequalification

Dear Kenneth Beache:

The Consultant Prequalification Financial Other Application submitted on 7/14/2020 has been reviewed by this office. Your firm has been prequalified to provide consulting services to the Indiana Department of Transportation (INDOT) in the work groups listed on the attached Work Type Certification, effective 07/21/2020. This approval supersedes any previous approval for prequalification, but is subject to revision or modification in accordance with the most current edition of the INDOT Consultant Prequalification Manual. Your Financial approval will expire on 06/30/2021. Your General/Technical approval will expire on 05/31/2022.

Your Firm's annual contracting capacity for the CPA Audit Level is \$2,722,626.00 for the fiscal period that ended on 12/31/2019. Your firm was approved for this financial level as notified separately by the External Audit Section. The requested and approved financial level determines the firm's service limitations as stated in the INDOT Consultant Prequalification Manual. Consultant firms must submit their annual financial application within 180 calendar days of the end of each fiscal year.

You are required to submit a modification application in the event of any changes in firm ownership, firm address, form of business entity under which the firm operates, manpower significant enough to affect the firm's qualifications or capacity (or operations of laboratories, facilities, etc.), financial status (such as filing for bankruptcy), or any other change which affects an element INDOT considers when prequalifying a consultant. The Consultant must notify INDOT within 15 days of any change in the information provided in its Prequalification Application and to submit a modification application in a timely manner. Failure to submit a modification application within 15 days after the initial notification will result in the loss of the Consultants Prequalification Status.

Please contact Mr. John Leming, Consultant Prequalification Research Analyst at 317-234-4917 if you have any questions on this matter.

Respectfully,

Jose M. Murillo, P.E.  
Prequalification Engineer

cc: Prequalification File  
External Audit

[www.in.gov/dot/](http://www.in.gov/dot/)

**An Equal Opportunity Employer**

**Prequalified Work Type Certification**  
Issued By  
**Indiana Department of Transportation**

Date Printed: 07/21/2020

**Metric Environmental, LLC**

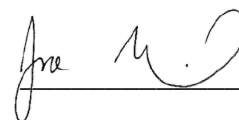
**Valid Work Groups**

**Effective:** 07/21/2020

**Expires on:** 05/31/2022

Work Type Code	Work Type Description	Qualifying Person(s)
5.1	Environmental Document Preparation - EA/EIS	Epps, Vince L
5.2	Environmental Document Preparation - CE	Epps, Vince L
5.3	Environmental Document Preparation - Section 4(f)	Peterson, Jessica
5.4	Ecological Surveys	Epps, Vince L Smith, Amy
5.5	Wetland Mitigation	Smith, Amy
5.6	Waterway Permits	Smith, Amy
5.7	Air Quality Analysis	Beache, Kenneth
5.8	Noise Analysis and Abatement Design	Beache, Kenneth
5.9	Archaeological Investigations	Snell, Samuel P
5.10	Historical/Architectural Investigations	Hillen, Luella B
5.13	ESA Screening and Phase I ESA	Epps, Vince L
5.14	Phase II ESA and Further Site Investigation/Corrective Action	Epps, Vince L

cc: Prequalification File



Jose M. Murillo, P.E.  
Prequalification Engineer

# Responsible Proposer Recertification

*(to be delivered after the Proposal Due Date)*

■ Form C-2

Responsible Proposer  
Recertification - Form C-2



VOLUME 1





# Stipend Agreement



Stipend Agreement

**FORM N**

**FORM OF STIPEND AGREEMENT**

**STIPEND AGREEMENT  
(Sherman Minton Corridor Project)**

THIS STIPEND AGREEMENT is made and entered into as of November 13, 2020, by and between the Indiana Finance Authority, a body corporate and politic, not a state agency but an independent instrumentality exercising essential public functions ("IFA") and Kokosing Construction Company, Inc., a Ohio Corporation ("Proposer"), with reference to the following facts:

A. Proposer is one of the proposers shortlisted to submit Proposals for the Sherman Minton Corridor Project (the "Project"), and wishes to submit a Proposal in response to the Request for Proposals for the Sherman Minton Corridor Project issued by IFA on June 15, 2020 as amended, the "RFP"). Initially capitalized terms not otherwise defined herein shall have the meanings set forth in the RFP.

B. The RFP provides for the execution and delivery of a Stipend Agreement between IFA and each Proposer.

NOW, THEREFORE, Proposer hereby agrees as follows:

1. SERVICES AND PERFORMANCE

(a) By executing this Agreement, Proposer has irrevocably elected to accept payment of a stipend subject to the terms hereof.

(b) IFA hereby retains Proposer to actively participate in good faith in the procurement process and to prepare a responsive and compliant Proposal in response to the RFP. Responsiveness and compliance shall be determined pursuant to the ITP. Proposer shall be considered a vendor for purposes of payment of the stipend.

(c) Subject to the provisions of the RFP Documents regarding ownership of the Proposal and Proposer work product, all work product submitted by Proposer to the Project Sponsors during the procurement and in connection with the Proposal (including all ATCs, written and electronic correspondence, exhibits, photographs, reports, printed material, tapes, disks, designs, concepts, ideas, technology, techniques, methods, processes, drawings, reports, plans, specifications, and other graphic and visual aids generated by or on behalf of Proposer for the purpose of developing its Proposal during this procurement process) shall be considered work for hire, and the products of such work shall become the property of the Project Sponsors without restriction or limitation on their use. Neither Proposer nor any of its team members shall copyright any of the material developed under this Stipend Agreement. The foregoing rights of the Project

Sponsors shall not apply to work product that is expressly required to be returned to Proposer under the RFP.

## 2. TERM

Unless otherwise provided herein, the provisions of this Stipend Agreement shall remain in full force and effect until the earlier to occur of (a) 12 months from the date of the execution of this Stipend Agreement or (b) the date payment is delivered hereunder; provided that if payment has not been made under this Stipend Agreement prior to the date referred to in clause (a) above, this Stipend Agreement shall continue in full force and effect until the date on which such payment has been made by IFA and received by Proposer. Work pursuant to this Stipend Agreement is authorized to commence effective upon the execution date of this Stipend Agreement, and the work product is due and must be delivered to IFA no later than the earlier of (i) 15 days after delivery to Proposer of notice by IFA of the cancellation by IFA of this procurement or (ii) the Proposal Due Date.

## 3. COMPENSATION AND PAYMENT

IFA shall pay to Proposer (or as it may direct) a stipulated stipend payment for this procurement if none of the circumstances in Section 6.3.2 of the ITP apply and preclude Proposer from receiving the stipend. The form of invoice submitted by Proposer shall be as set forth in Exhibit 1 hereto.

## 4. INDEMNITIES AND SURETYSHIP

(a) Proposer agrees that it will indemnify, defend, and hold harmless the Project Sponsors and all of the Project Sponsors' respective officers, agents, representatives, employees, successors and assigns from any claim, loss, damage, cost, judgment, fee, penalty, charge, or expenses (including attorneys' fees and costs) asserted, incurred, suffered or awarded as a result of or that relate to any third party claims, suits, actions, allegations or proceedings arising out of or caused by any acts, actions, negligence, omissions, fault, willful misconduct, violation of law or breach of contract by Proposer, its Equity Members, Major Participants, other team members or their respective agents, employees, or representatives arising out of or relating to the work product performed hereunder or in connection with or contained in the Proposal, whether direct or indirect, and whether to any person or property to which the Project Sponsors or said parties may be subject, except that Proposer shall not be liable under this section for damages arising out of injury or damage to persons or property directly caused or resulting from the sole negligence or willful misconduct of the Project Sponsors or any of their respective officers, agents, representatives or employees. The foregoing indemnity shall survive the expiration or termination of this Stipend Agreement and shall expressly apply to and include all third party claims, suits, actions or allegations of infringement, confidential information, domestic or foreign patent rights, copyrights, intellectual property rights, moral rights, trade secrets, proprietary rights, licensing rights and unauthorized use. Notwithstanding the foregoing, except for such matters covered by the immediately

preceding sentence, the indemnity shall not cover use by the Project Sponsors of such work product performed under this Stipend Agreement after award of the Agreement. Should Proposer become the Design-Build Contractor under the Agreement, the indemnity under this Section 4(a) shall continue to apply in accordance with its terms and be additive to any indemnifications set forth in the Agreement.

(b) Proposer's obligation to indemnify, defend, and pay for the defense or, at the Project Sponsors' option, to participate and associate with the Project Sponsors in defense of any claim and any related settlement negotiations, shall be triggered by either of the Project Sponsors' notice of claim for indemnification to Proposer. Only a final and unappealable adjudication or judgment specifically finding sole negligence or willful misconduct of the Project Sponsors or any of their respective board members, officers, agents, representatives or employees shall excuse performance of this provision. Proposer shall pay all costs and fees related to this obligation and its enforcement by the Project Sponsors. A failure by the Project Sponsors to notify Proposer of a claim shall not release Proposer of the above duty to defend.

(c) For purposes of this Section 4, "third party" means any Person (as defined in the Agreement) other than an Indemnified Party (as defined in the Agreement) and Proposer, except that a "third party" includes any Indemnified Party's employee, agent or contractor who asserts a claim that is (a) against an Indemnified Party, (b) within the scope of the indemnities and (c) not covered by the Indemnified Party's worker's compensation program.

## 5. COMPLIANCE WITH LAWS

(a) Proposer acknowledges that all written correspondence, exhibits, photographs, reports, printed material, tapes, electronic disks, and other graphic and visual aids submitted to the Project Sponsors during this procurement process, are, upon their receipt by the Project Sponsors, the property of the Project Sponsors and are subject to the Public Records Act.

(b) Proposer shall comply with all federal, state, and local laws; ordinances; rules; and regulations applicable to the work or payment for work thereof, and shall not discriminate on the grounds of race, color, religion, sex, national origin, age, or disability in the performance of work under this Stipend Agreement. Proposer shall also comply with all customary vendor payment requirements of the State of Indiana, including completion of a W-8 form.

(c) Proposer covenants and agrees that it and its employees shall be bound by the standards of conduct provided in applicable laws, ordinances, rules, and regulations as they relate to work performed under this Stipend Agreement. Proposer agrees to incorporate the provisions of this paragraph in any subcontract into which it might enter with reference to the work performed pursuant to this Stipend Agreement.

## 6. ASSIGNMENT

Proposer shall not assign, transfer, pledge, sell, or otherwise convey this Stipend Agreement without IFA's prior written consent, in its sole discretion. Any assignment of this Stipend Agreement without the required consent of IFA shall be null and void and may, in IFA's sole discretion, disqualify Proposer from further consideration for the procurement process and the Project.

IFA may assign, transfer, pledge, sell, or otherwise convey this Stipend Agreement (a) without Proposer's consent, to IFA and any other Person that succeeds to the governmental powers and authority of IFA, and (b) to others with the prior written consent of Proposer. Where consent is required but not given, any assignment of this Stipend Agreement shall be null and void.

## 7. MISCELLANEOUS

(a) Proposer and IFA agree that Proposer, its Equity Members, Major Participants and other team members and their respective employees are not agents or representatives of the Project Sponsors as a result of this Stipend Agreement.

(b) All words used herein in the singular form shall extend to and include the plural. All words used in the plural form shall extend to and include the singular. All words used in any gender shall extend to and include all genders.

(c) This Stipend Agreement, together with the RFP, embodies the entire agreement of the parties with respect to the subject matter hereof. There are no promises, terms, conditions, or obligations other than those contained herein or in the RFP, and this Stipend Agreement shall supersede all previous communications, representation, or agreements, either verbal or written, between the parties hereto.

(d) It is understood and agreed by the parties hereto that if any part, term, or provision of this Stipend Agreement is by the courts held to be illegal or in conflict with any law of the State of Indiana, the validity of the remaining portions or provisions shall not be affected, and the rights and obligations of the parties shall be construed and enforced as if the Stipend Agreement did not contain the particular part, term, or provisions to be invalid.

(e) This Stipend Agreement shall be governed by and construed in accordance with the laws of the State of Indiana.

(f) This instrument may be executed in one or more counterparts, each of which shall be deemed an original, but all of which, together, shall constitute one and the same instrument.

(g) As required by IC 5-22-3-7, Proposer, on behalf of itself and the Equity Members certifies that, (i) in accordance with IC 5-22-3-7 (A) Proposer, except for *de minimis* and nonsystematic violations, has not violated the terms of (1) IC 24-4.7 (Telephone Solicitation Of Consumers), (2) IC 24-5-12 (Telephone Solicitations), or (3) IC 24-5-14 (Regulation of Automatic Dialing Machines) in the previous three hundred sixty-five (365) days, even if IC 24-4.7 is preempted by federal law; and (B) Proposer will not violate the terms of IC 24-4.7 for the duration of the Agreement, even if IC 24-4.7 is preempted by federal law; and (ii) an Affiliate or principal of Proposer and any agent acting on behalf of Proposer or on behalf of an Affiliate or principal of Proposer (A) except for *de minimis* and nonsystematic violations, has not violated the terms of IC 24-4.7 in the previous three hundred sixty-five (365) days, even if IC 24-4.7 is preempted by federal Law; and (B) will not violate the terms of IC 24-4.7 for the duration of this Stipend Agreement, even if IC 24-4.7 is preempted by federal Law.

(h) Proposer and its agents shall abide by all ethical requirements that apply to persons who have a business relationship with the Project Sponsors or the State, as set forth in IC 4-2-6 et seq., IC 4-2-7 et seq., the regulations promulgated thereunder, Executive Order 04-08, dated April 27, 2004. If Proposer is not familiar with these ethical requirements, Design-Build Contractor should refer any questions to the Indiana State Ethics Commission, or visit the Indiana State Ethics Commission website at <<<<http://www.in.gov/ethics/>>>>. If Proposer or its agents violate any applicable ethical standards, Proposer may be subject to penalties under IC 4-2-6, 4-2-7, 35-44-1-3, and under any other applicable Laws.

(i) Proposer and its agents shall abide by all requirements of IC 8-15.5-13 in respect of the prohibition on political contributions by Proposer. Neither Proposer nor any individual who has an interest in Proposer, may make any contribution to any candidate, or committee, during and up to and including three (3) years following the term of this Stipend Agreement.

(j) The parties agree that the exclusive original jurisdiction and venue for any legal action or proceeding, at law or in equity, arising out of this Stipend Agreement shall be the Indiana Commercial Court in Marion County, Indiana.

IN WITNESS WHEREOF, this Stipend Agreement has been executed and delivered as of the day and year first above written.

INDIANA FINANCE AUTHORITY

By: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

KOKOSIOL CONSTRUCTION COMPANY, INC.

By: KOL

Name: KEVIN OKI

Title: VICE PRESIDENT ENGINEERING AND ALTERNATIVE DELIVERY

**EXHIBIT 1**

**FORM OF INVOICE**

[See attached]



## FORM OF INVOICE FOR STIPEND AMOUNT

Reference is made to that Request for Proposals to Design and Construct the Sherman Minton Corridor Project through a Public-Private Agreement issued on June 15, 2020 (as amended, the "ITP") by the Indiana Finance Authority ("IFA").

Reference is also made to that certain Stipend Agreement (the "Stipend Agreement") dated as of November 13, 2020, by and between IFA and Kokosing Construction Company, Inc. ("Proposer").

Capitalized terms used, but not defined, herein shall have the meanings ascribed in the ITP.

Pursuant to Section 6.3.1 of the ITP and the Stipend Agreement, Proposer hereby requests payment of \$350,000. Attached to this invoice is an executed irrevocable waiver of protest and full, unconditional and irrevocable release of all claims against IFA, in the form of Form M to the ITP. Proposer represents and warrants to IFA that (a) Proposer submitted to IFA a timely and responsive, but unsuccessful, Proposal by the Proposal Due Date in accordance with the terms and conditions of the RFP Documents and (b) Proposer is eligible for payment pursuant to Section 6.3 of the ITP.

Proposer acknowledges that submission of this invoice, and payment by IFA of any amount in response to this invoice, is in all respect subject to the terms and conditions of the ITP, Stipend Agreement and the other RFP Documents.

Name of Stipend Recipient <sup>1</sup> :	<u>Kokosing Construction Company, Inc.</u>
Invoice Number:	<u>16205-050-01</u>
Invoice Date:	<u>November 13, 2020</u>
Amount of Stipend:	\$350,000
Contract:	Contract B-40719 DES 1702255

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<sup>1</sup> If Proposer wishes to have the stipend paid to one member or partner of Proposer, Proposer must follow the instructions set forth in Section 6.3.1 of the ITP.

### CERTIFICATION

The undersigned Proposer hereby certifies that (a) Proposer is entitled to payment of the stipend pursuant to the terms of the ITP and the Stipend Agreement; (b) the irrevocable waiver of protest and full, unconditional and irrevocable release of all claims against the Project Sponsors, in the form of Form M to the ITP, has been executed and delivered to IFA and is in full force and effect and (c) that this entire invoice and all other supporting documentation are each, and collectively, true, correct and complete.

PROPOSER: Kokosing Construction Company, Inc.

By:  \_\_\_\_\_

Name: Kevin Ohi, PE, DBIA

Title: Vice President Engineering and Alternative Delivery



# Volume 1 Appendices

